

1 Potential non-linearities in the high latitude circulation and ozone 2 response to Stratospheric Aerosol Injection

3 Ewa M. Bednarz^{1,2,3}, Daniele Visioni^{3,4}, Amy H. Butler², Ben Kravitz^{5,6}, Douglas G. MacMartin³,
4 Simone Tilmes⁴

5 1. Cooperative Institute for Research in Environmental Sciences (CIRES), University of Colorado Boulder, Boulder, CO, USA

6 2. NOAA Chemical Sciences Laboratory (NOAA CSL), Boulder, CO, USA

7 3. Sibley School of Mechanical and Aerospace Engineering, Cornell University, Ithaca, NY, USA

8 4. Atmospheric Chemistry Observations and Modelling (ACOM), National Center for Atmospheric Research (NCAR), Boulder, CO,
9 USA

10 5. Department of Earth and Atmospheric Sciences, Indiana University, Bloomington, IN, USA

11 6. Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, WA, USA

12 Key points

13 - Impacts of Stratospheric Aerosol Injection (SAI) depend on how much surface cooling is to be achieved.

14 - High latitude circulation, ozone and modes of extratropical variability can vary non-linearly with the SAI-
15 induced global surface cooling

16 - These potential non-linearities may add to uncertainties in projections of regional surface impacts under
17 SAI

18 Abstract

19 The impacts of Stratospheric Aerosol Injection (SAI) on the atmosphere and surface climate depend on when
20 and where the sulfate aerosol precursors are injected, as well as on how much surface cooling is to be
21 achieved. We use a set of CESM2(WACCM6) SAI simulations achieving three different levels of global mean
22 surface cooling and demonstrate that unlike some direct surface climate impacts driven by the reflection of
23 solar radiation by sulfate aerosols, the SAI-induced changes in the high latitude circulation and ozone are
24 more complex and could be non-linear. This manifests in our simulations by disproportionately larger
25 Antarctic springtime ozone loss, significantly larger intra-ensemble spread of the Arctic stratospheric jet and
26 ozone responses, and non-linear impacts on the extratropical modes of surface climate variability under the
27 strongest-cooling SAI scenario compared to the weakest one. These potential non-linearities may add to
28 uncertainties in projections of regional surface impacts under SAI.

29 Plain Language Summary

30 The injection of reflective aerosols, or their precursors, into the lower stratosphere (Stratospheric Aerosol
31 Injection, SAI) has been proposed as a temporary measure to offset some of the adverse impacts of climate
32 change whilst atmospheric concentrations of greenhouses are being stabilised and, ultimately, reduced.
33 The impacts of SAI on the atmosphere and surface climate would depend on when and where the sulfate
34 aerosol precursors are injected, as well as on how much surface cooling is to be achieved. Here we analyze
35 SAI impacts on stratospheric climate and ozone in a set of Earth system model simulations under varying
36 magnitudes of the SAI-induced global mean cooling. We demonstrate that unlike some of the direct surface
37 climate impacts from the reflection of solar radiation by sulfate aerosols, the SAI-induced changes in
38 stratospheric circulation, chemistry and climate are more complex, with the model simulations pointing
39 towards more non-linear behaviour of the high latitude circulation and ozone under higher SAI scenarios.

40 These potential non-linearities may add to uncertainties in projections of regional surface impacts under
41 SAI.

42 **1. Introduction**

43 The injection of reflective aerosols, or their precursors, into the lower stratosphere (Stratospheric Aerosol
44 Injection, SAI) has been proposed as a temporary measure to offset some of the adverse impacts of climate
45 change whilst atmospheric concentrations of greenhouses are being stabilised and, ultimately, reduced.
46 Research in support of informed decision making for potential future SAI requires a detailed assessment of
47 the effectiveness and efficiency of SAI as well as the associated side-effects. The latter include the warming
48 in the tropical lower stratosphere from the absorption of radiation by sulfate aerosols, which can then
49 impact the large-scale Brewer Dobson Circulation (BDC) and stratospheric polar jets, driving changes in both
50 transport of stratospheric ozone and the mid and high latitude surface climate via stratosphere-troposphere
51 coupling (e.g., Ferraro et al., 2015; McCusker et al., 2015; Jones et al., 2022; Banerjee et al., 2021; Bednarz
52 et al., 2022; Tilmes et al., 2021; 2022). In addition, the activation of atmospheric halogens on aerosol
53 surfaces can accelerate catalytic ozone depletion and, thus, slow down the ongoing recovery of
54 stratospheric ozone layer to its pre-1980 levels (e.g. Tilmes et al., 2021; 2022).

55 The effectiveness of SAI in reducing surface temperatures and mitigating regional climate change will
56 depend on where and when the aerosol precursors are injected (Visioni et al., 2023a; Bednarz et al., 2023a;
57 2023b; Zhang et al., 2023). In addition, the effectiveness of parallel GHG emission reductions will determine
58 the overall magnitude of SAI needed to maintain or cool the temperatures to a desired level, and the
59 resulting SAI impacts will thus also depend on this desired temperature target (MacMartin et al., 2022;
60 Visioni et al., 2023b). Visioni et al., (2023b) analysed some of the surface climate responses in a set of SAI
61 simulations using the same injection strategy (i.e. the same location of SO₂ injections) but achieving
62 different levels of global mean surface cooling (though different total magnitudes of SAI), and showed that
63 many of the resulting changes scale broadly linearly with the amount of SAI-induced cooling.

64 Though the direct radiative changes at the surface behave quasi linearly with the amount of SAI, the
65 behaviour of the stratosphere-troposphere coupled circulation has been shown to be non-linear or regime-
66 like in character in response to external forcings, both idealised thermal forcings and climate change
67 (Charney and Drazin, 1961, Wang et al., 2012; Manzini et al., 2018; Walz et al., 2023), and thus harder to
68 predict. Similarly, in the stratosphere the concentrations of chemical tracers like ozone are driven by a range
69 of chemical and dynamical processes, the relative contribution of which could change under SAI. Here we
70 extend the work of Visioni et al., (2023b) by analysing the impacts of SAI on stratospheric climate and ozone
71 under varying magnitudes of global mean cooling. We demonstrate that while the tropical stratospheric
72 changes behave largely linearly, the resulting high latitude dynamical responses to SAI are more complex
73 and could vary non-linearly with increasing magnitudes of SAI. These in turn could lead to non-linear impacts
74 on high-latitude climate and ozone that may add to uncertainties in projections of some regional surface
75 impacts under SAI.

76 **2. Methods**

77 We use the CESM2(WACCM6) earth system model (Gettelman et al., 2019; Danabasoglu et al., 2020) with
78 interactive modal aerosol microphysics (MAM4, Liu et al., 2016) and interactive middle atmosphere
79 chemistry (Davis et al., 2023). The horizontal resolution is 1.25° longitude by 0.9° latitude, with 70 vertical
80 levels in hybrid-pressure coordinates up to ~140 km. The simulations used are introduced in MacMartin et
81 al. (2022) and described in detail in Visioni et al. (2023b). The Coupled Model Intercomparison Project Phase
82 6 (CMIP6) Shared Socioeconomic Pathway SSP2-4.5 experiment is chosen as a background emission

83 scenario. In all SAI simulations SO₂ is injected at 21.5 km at four off-equatorial latitudes – 30°S, 15°S, 15°N,
84 30°N – using a feedback algorithm that controls for the global mean surface temperature as well as its large
85 scale interhemispheric and equator-to-pole gradients.

86 Three SAI scenarios, each consisting of three ensemble members, start in 2035 and continue until 2069
87 inclusive. ‘SAI1.5’ maintains the above three temperature objectives at the levels corresponding to 1.5°C
88 above preindustrial conditions, with total SO₂ injection of 8.6 Tg-SO₂/yr averaged over the last 20 years of
89 simulations. This baseline was chosen as corresponding to the 2020-2039 mean of the CESM2 SSP2-4.5
90 simulation (‘BASE1.5’). ‘SAI1.0’ and ‘SAI0.5’ are similar to SAI1.5 but aim to achieve more surface cooling by
91 injecting more SO₂ (17.0 and 25.6 Tg-SO₂/yr averaged over the last 20 years of simulations, respectively),
92 with the desired global mean surface temperatures of 1.0°C and 0.5°C above preindustrial conditions,
93 respectively; these baseline periods correspond in CESM2 to the mean over the 2008-2027 and 1993-2012
94 periods, respectively.

95 We analyse the last 20-years of the simulations (2050-2069) and compare them against the same period of
96 the control SSP2-4.5 simulation and/or against the same BASE1.5 baseline period representative of quasi-
97 present day conditions. This avoids complications from the different contributions of the concurrent
98 changes in GHGs and ozone depleting substances if the SAI responses are compared against each individual
99 baseline period instead (see Visoni et al. 2023b for more discussion on the role of the choice of baseline
100 period).

101 **3. Changes in tropical stratospheric climate**

102 The introduction of sulfate aerosols into the stratosphere and the resulting scattering of a portion of coming
103 solar radiation reduces tropical tropospheric temperatures, with the strongest reduction, by design, found
104 in SAI0.5 and smallest in SAI1.5 (Fig. 1a). In the lower stratosphere, the absorption of the portion of the
105 outgoing terrestrial and incoming solar radiation by sulfate increases local temperatures. The magnitude of
106 this effect is in tight linear relationship with the global mean surface cooling in each of the SAI simulation,
107 with $R^2 = 0.95$ for the goodness of fit of the individual ensemble members and $R^2=1.00$ for the fit to the
108 ensemble means (Fig. 1e).

109 The SAI-induced lower stratospheric warming drives changes in the large-scale circulation, decelerating the
110 shallow branch of the BDC and accelerating the deep branch (see Fig. 1b for changes in residual vertical
111 velocities; by mass continuity, these are closely related to changes in horizontal velocities). Changes in the
112 large-scale transport modulate stratospheric distribution of chemical tracers, most importantly ozone. In
113 the tropics (Fig. 1d), this increases ozone in the tropical lower stratosphere (from reduced input of ozone-
114 poor tropospheric air) and decreases ozone above it at ~30 hPa (from enhanced input of lower stratospheric
115 air with lower ozone concentrations). Climatologically, the absorption of solar radiation by ozone
116 constitutes the dominant source of heat in the stratosphere and, thus, any changes in its concentration act
117 to further modulate stratospheric temperatures. A tight correlation between SAI-induced changes in
118 tropical temperatures, ozone and transport was shown to hold also in a multi-model context (Bednarz et
119 al., 2023a). In the extratropics, SAI-induced strengthening of the BDC enhances ozone transport from its
120 tropical photochemical production region to higher latitudes, thereby increasing total column levels in the
121 mid and high latitudes (See Section 5). Finally, the SAI-induced warming around the cold point tropical
122 tropopause allows more water vapour to enter the stratosphere (Fig. 1c), and this acts to offset some of the
123 direct surface cooling as water vapour traps a portion of the outgoing terrestrial radiation (Bednarz et al.,
124 2023b). Increased stratospheric water vapour also modulates the rates of chemical ozone loss, as well as
125 provides additional stratospheric cooling.

126 Overall, the magnitudes of these responses scale linearly with increasing magnitude of SAI. Whilst a strong
127 linear relationship was found for the magnitudes of lower stratospheric warming (Fig. 1e) and BDC changes
128 (Fig. 1f), some deviations from a linear relationship begin to emerge for changes in lower stratospheric
129 water vapour (Fig. 1g) and ozone (Fig. 1h) under the strongest SAI scenario. The latter may reflect certain
130 nonlinearities in aerosol microphysics under high inject rates (Visoni et al., 2023b) or a contribution of the
131 apparent non-linearities at higher latitudes (Sections 4 and 5).

132 **4. High latitude dynamical response**

133 **4.1. Stratosphere**

134 The enhancement of the meridional temperature gradients as the result of SAI-induced warming in the
135 tropical lower stratosphere drives strengthening of the stratospheric jets in both hemispheres, and the
136 magnitude of the response increases with the magnitude of SO₂ injection (Fig. 2a-c). The degree of linearity
137 of this response with respect to the amount of global mean surface cooling depends on the season under
138 analysis.

139 In the Southern Hemisphere (SH) during austral winter (Fig. 2e), where the very strong climatological jet
140 prohibits much planetary wave propagation and, thus, any changes are mainly radiatively driven via the
141 thermal wind relationship, a strong linear relationship ($R^2=0.94$ for the fit to the ensemble means of SAI1.5,
142 SAI1.0 and SAI0.5) is found between the magnitude of the SH jet strengthening and the global mean surface
143 cooling. However, in spring (SON, Fig. 2g), when interactions with both planetary waves and with the SAI-
144 induced ozone depletion within the polar vortex (Section 5) can occur, a more non-linear relationship
145 emerges: the jet strengthening in the largest SAI scenario (SAI0.5) is disproportionately larger than that
146 inferred for SAI1.0 and SAI1.5 (9 m/s, 4 m/s and 2 m/s, respectively). For the Northern Hemisphere (NH)
147 during winter (DJF, Fig. 2d) the apparent non-linearity is even stronger: the NH jet strengthening simulated
148 in SAI0.5 is also disproportionately larger than that in SAI1.0 and SAI1.5 (8 m/s, 4 m/s and 3 m/s, respectively),
149 and is also characterised by a much larger spread in the zonal wind responses simulated across the individual
150 ensemble members (blue crosses) than it is the case for either SAI1.0 and SAI1.5.

151 Non-linearity of the NH polar vortex response has been previously found in response to increased CO₂
152 forcing (Manzini et al. 2018) and to idealized heating in a dry dynamical model (Wang et al. 2012, Walz et
153 al. 2023), and may be related to either differences in tropospheric wave forcing that arise from non-linear
154 changes in sea ice (Kretschmer et al., 2020) or sea surface temperatures, or to regime-like behaviour in the
155 stratospheric planetary wave guide (Walz et al. 2022).

156 **4.2. Northern Hemisphere troposphere**

157 Through wave-mean flow interactions, extratropical stratospheric wind changes can propagate down to the
158 troposphere and affect surface climate (e.g. Baldwin and Dunkerton, 2001; Thompson and Wallace 2000);
159 in the NH this coupling maximises in winter. In the absence of SAI for the SSP2-4.5 scenario, increasing
160 tropospheric temperatures in CESM2(WACCM6) cause strengthening of zonal winds in the subtropics and
161 weakening of zonal winds in the Arctic region (Figure S3). Thus, a comparison of SAI against SSP2-4.5 for the
162 same future time period reflects in part the response to climate change itself (Fig. S4). In order to better
163 isolate the influence of SAI-induced changes in the stratosphere, Figure 3 shows the tropospheric SAI
164 responses compared to the BASE1.5 period (i.e. present day) instead.

165 We find that the NH stratospheric westerly changes compared the present-day period only propagate down
166 to the troposphere under the strongest SAI scenario (SAI0.5), Fig. 3a-c. The surface response in NH winter
167 manifests as the pattern of sea-level pressure changes projecting on the positive phase of the North Atlantic

168 Oscillation (NAO) (Fig. 3d-f) diagnosed also from each individual ensemble member of SAI0.5 (Fig. S5 and
169 S6). The positive NAO response drives a dynamically induced warming over northern Eurasia, which is large
170 enough to locally offset the large-scale cooling from the reduction in the global mean surface temperatures
171 (Fig. S6). In contrast, no significant tropospheric jet strengthening or NAO-like sea-level pressure response
172 is found in the two smaller SAI scenarios (SAI1.0 and SAI1.5. Fig 3a-f). While the pattern of sea-level pressure
173 changes in SAI1.0 resembles that of a positive NAO, the ensemble mean response is very weak and not
174 statistically significant, with little agreement between the responses simulated across the individual
175 ensemble members (Fig. S5 and S6).

176 The strength of the stratosphere-troposphere coupling can be assessed by correlating the changes in the
177 NH stratospheric jet with the NAO index for each of the ensemble members and scenarios. Following our
178 earlier work (Bednarz et al., 2023a) we calculate the model NAO index as the difference in sea-level pressure
179 between the Atlantic mid-latitudes (280°E-360°E, 30°N-60°N) and the Arctic polar cap (70°N-90°N, all
180 longitudes). Over the 20-year mean period analysed here, we find a strong relationship between the
181 strength of the stratospheric winds and surface NAO responses for the three ensemble members of the
182 strongest SAI scenario (SAI0.5), with stronger stratospheric westerly anomalies being associated with more
183 positive NAO values (blue points in Fig. 3g). In contrast, no such relationship can be inferred for the
184 responses in the individual ensemble members of the two smaller SAI scenarios (SAI1.0 and SAI1.5). An
185 analysis of temporal evolution of the responses reveals that the apparent non-linearity emerges toward the
186 end of the simulations (Fig. S8), where the injection rates are highest.

187 Such apparent nonlinearity in the NH surface responses may result from the non-linearity in the
188 stratospheric jet response itself (Section 4.1), or from non-linearities in the tropospheric circulation or sea
189 ice and sea surface temperatures that either discourage or promote the canonical downward coupling from
190 the stratosphere on the NAO (Kolstad et al., 2022). Another possibility is that the enhanced stratosphere-
191 troposphere coupling under the largest SAI scenario arises because the response is only for that case strong
192 enough to emerge from the background natural variability, which is particularly high in the NH winter (e.g.
193 Bittner et al., 2016; DallaSanta and Polvani, 2022).

194 **4.3. Southern Hemisphere troposphere**

195 Anomalies in the SH stratospheric jet can also propagate down to the troposphere and affect the SH surface
196 climate; such stratospheric influence tends to maximise in austral spring and summer (SON and DJF). We
197 find that the SAI-induced westerly stratospheric anomalies do not propagate down to the surface in any of
198 the SAI simulations (Fig. 3h-j). This is the case even for the strongest SAI0.5 scenario (Fig. 3j) that shows
199 disproportionately larger stratospheric jet perturbation in spring than the smaller SAI1.0 and SAI1.5. We
200 would expect a strengthened SH stratospheric jet in austral spring to lead to a later than average seasonal
201 transition of the polar vortex and an associated shift towards the positive phase of the Southern Annular
202 Mode (SAM) in austral summer (e.g. Thompson et al., 2005). Instead, all SAI scenarios give rise to a pattern
203 of sea-level pressure changes projecting onto the negative phase of SAM, inferred both from DJF (Fig. 3h-j)
204 and yearly mean (Fig. S9) data, with no clear linear relationship between the strength of the SAM-like sea-
205 level pressure pattern and the SAI magnitude. This suggests that factors other than the magnitude of the
206 injection, especially the meridional distribution of sulfate in the stratosphere (e.g. Bednarz et al., 2022, GRL),
207 are more important in determining the SH high latitude tropospheric and surface response to SAI.

208 **5. Impacts on Arctic and Antarctic ozone**

209 **5.1 Antarctic ozone**

210 In austral spring, the SH high latitude ozone columns decrease under all three SAI scenarios compared to
211 the same period of SSP2-4.5 because of the enhancement of heterogeneous halogen activation on sulfate
212 and the resulting catalytic stratospheric ozone depletion inside the Antarctic polar vortex (Fig. 4a). In
213 addition, the strengthening of the polar vortex inhibits mixing with the more ozone-rich mid-latitude air,
214 thereby further reducing polar ozone levels. We find similar Antarctic (65°S-90°S) ozone losses of 26 DU (=
215 9%) and 30 DU (= 11%) for the two lower SAI scenarios, SAI1.5 and SAI1.0, respectively. In contrast, a
216 significantly higher Antarctic ozone loss of 43 DU (= 15%) is found for the largest SAI0.5 scenario.

217 A tight linear relationship is found between the polar ozone column reduction and the strengthening of the
218 Antarctic polar vortex across the simulations (Fig. 4d), and also between the ozone changes and the
219 increased aerosol surface area densities (SAD, Fig. 4g). A stronger and colder polar vortex under more
220 aggressive SAI scenario accelerates halogen activation on sulfate as well as delays final vortex break up and
221 the resulting termination of the catalytic ozone loss by in-mixing of the mid-latitude NO₂-rich, air; both
222 factors enhance Antarctic ozone loss under SAI. Conversely, enhanced ozone depletion under higher sulfate
223 surface area densities results in dynamical impact on the polar vortex itself, cooling the polar stratosphere
224 and strengthening the stratospheric zonal winds (e.g. Keeble et al., 2014). The strong linear relationship
225 between these quantities under varying SAI levels demonstrates how the same processes operate under all
226 three SAI scenarios. The cause of the apparent non-linearity and thus the significantly higher magnitude of
227 the Antarctic springtime ozone loss in SAI0.5 compared to SAI1.0 and SAI1.5 is thus dynamical in origin, in
228 line with the significantly larger strengthening of the polar vortex in SAI0.5 than the other two scenarios.

229 **5.2 Arctic ozone**

230 Unlike in the SH, the NH ozone column largely increases under SAI during boreal winter and spring (Fig. 4b-
231 c) due to the SAI-induced changes in the BDC and the resulting ozone transport (Section 3). Owing to the
232 Arctic vortex being climatologically weaker and more variable than its SH counterpart, the chemical impacts
233 from the SAI-induced enhancement of the heterogeneous halogen processing on the elevated SAD are
234 generally smaller. They do however still contribute to the simulated column ozone changes, alongside
235 dynamical impacts from the reductions in mixing under the strengthened Arctic polar vortex.

236 Consistently, SAI1.5 shows increased NH winter total ozone columns in the mid- and high latitudes up to
237 ~75°N, with a small total column ozone decrease poleward. For SAI1.0, the total column ozone changes are
238 positive everywhere and larger in magnitude than for SAI1.5; this indicates that the impact of SAI on the
239 strength of the BDC dominates over chemically driven ozone reductions in this scenario. In spring, ozone
240 columns increase throughout the NH in the ensemble mean for both SAI1.5 and SAI0.5, albeit with larger
241 variability between the individual ensemble members than during winter (dashed lines in Fig. 4b-c).

242 An interesting picture emerges for the largest SAI0.5 scenario: whilst ozone columns increase in winter in
243 the ensemble mean throughout the NH, the magnitude of the response is sharply reduced in the Arctic
244 region, with substantially larger variability between the individual ensemble members. In fact, one
245 ensemble member of SAI0.5 shows the strongest decrease in Arctic ozone at the pole from all the SAI
246 simulations and members. The large intra-ensemble variability continues into spring, with individual
247 members of SAI0.5 showing both the most positive and the most negative Arctic column ozone
248 perturbations. The large springtime ozone variability extends to the mid-latitudes, as anomalies in polar
249 ozone mix-in with the mid-latitude air following the vortex break-up. The contrastingly different ozone
250 behaviour in SAI0.5 is concurrent with the strongest and more non-linear high latitude dynamical response
251 identified above (Section 4.1-2). Owing to the interplay of various dynamical and chemical processes in the

252 Arctic, with its opposing impacts on total ozone column, the previously identified linear relationship
253 between changes in the Antarctic ozone, polar vortex and sulfate SAD (Fig. 4d,g) is generally not found in
254 the Arctic during winter(Fig. 5e,h). The inverse relationship between changes in polar ozone and vortex
255 strength is only apparent under the strongest SAI0.5 scenario, facilitated by the much larger variability
256 between the ensemble members.

257 Recent studies highlighted the role of dynamical and chemical ozone reductions inside the Arctic polar
258 vortex in modulating the northern polar jet dynamics (Friedel et al., 2022a; 2022b; Kult-Herdin et al., 2023).
259 However, it was also demonstrated that this ozone feedback, as manifested by the inverse relationship
260 between polar ozone and jet strength, is only found under the present-day (i.e. high) levels of ozone-
261 depleting substances where ozone variability is larger (Kult-Herdin et al., 2023). It is possible that the same
262 occurs under SAI, i.e. the feedback from interactive ozone in our runs only starts to play a significant role in
263 contributing to the polar vortex behaviour under the strongest SAI0.5 scenario, where the aerosol SAD and,
264 thus, chemical ozone depletion is largest.

265 In spring, the inverse relationship between polar ozone and the vortex strength (Fig. 4f) or SAD (Fig. 4i)
266 emerges for each individual SAI scenario. This indicates that the differences in springtime ozone responses
267 across the different SAI scenario (Fig. 4c) are driven predominantly by the SAI-induced changes in the BDC,
268 whereas the intra-ensemble spread in each scenario is associated more linearly with chemical-dynamical
269 feedbacks.

270 **6. Summary and discussion**

271 The impacts of Stratospheric Aerosol Injection on the atmosphere and surface climate would depend on
272 when and where the sulfate aerosol precursors are injected, as well as on how much surface cooling is to
273 be achieved. Here we extend our recent work that explored the linearity of some of the direct surface
274 climate impacts in a set of CESM2(WACCM6) SAI simulations achieving three different levels of a global
275 mean surface cooling (Visioni et al., 2023b). We demonstrate that unlike some of the direct surface climate
276 impacts from the reflection of solar radiation by sulfate aerosols, the SAI-induced changes in stratospheric
277 circulation, chemistry and climate are more complex, with the model simulations pointing towards more
278 non-linear behaviour of the high latitude circulation and ozone under higher SAI scenarios.

279 We find that the SAI-induced changes in the tropical stratospheric temperatures, upwelling, water vapour
280 and ozone scale roughly linearly with the magnitude of global mean cooling in CESM2 under the multi-
281 objective SAI strategy used. A significantly more non-linear behaviour is found for the associated
282 extratropical stratospheric zonal wind responses, in particular in seasons when the wave-mean flow
283 coupling plays an important role. In those cases, a disproportionately stronger westerly jet anomaly is
284 simulated for the largest SAI scenario (SAI0.5) compared to the more modest ones. In the SH, this is
285 associated with markedly stronger (~50%) Antarctic springtime ozone depletion in SAI0.5. In the NH, the
286 non-linearity manifests in part as the significantly larger intra-ensemble spread of the SAI-induced changes
287 in the stratospheric jet strength and Arctic ozone columns in SAI0.5. The scenario also gave rise to much
288 stronger NH stratosphere-troposphere coupling, facilitating the propagation of the stratospheric westerly
289 down to the surface in the form of the positive North Atlantic Oscillation, which was otherwise not
290 reproduced for the two smaller SAI scenarios. Regarding impacts on the Southern Annular Mode, the
291 analogous propagation of the SH polar vortex strengthening to the troposphere is not found under any SAI
292 scenario; this points to other factors like the meridional distribution of sulfate in the stratosphere (and thus
293 the location of the injection) being more important in determining the SAI impacts in the region.

294 The results highlight the complexity of the impacts of SAI on the stratospheric climate, high latitude
295 circulation and stratospheric ozone, including the complex interplay of various chemical, radiative and
296 dynamical processes. Dynamical mechanisms for abrupt regime changes driving the dynamical responses
297 to thermal perturbations were previously found in idealised models (e.g. Wang et al., 2012; Walz et al.,
298 2023). Whether these mechanisms apply also to more complex climate models is still not well understood,
299 but non-linearities in the stratospheric jet response to different levels of global warming have previously
300 been found (Manzini et al., 2018). The role of chemically driven Arctic and Antarctic ozone reductions in
301 modulating the polar vortex behaviour has also been highlighted as a potentially important feedback
302 mechanism that is still not sufficiently understood (Keeble et al., 2014; Friedel et al., 2022a; 2022b; Kult-
303 Herdin et al., 2023). Here evidence of such feedback was shown to be particularly strong under the largest
304 SAI scenario, i.e. when the higher stratospheric aerosol levels drive larger chemical ozone losses that can
305 then modulate the polar vortex. Finally, though not examined in detail in this study, changes in stratospheric
306 water vapour have also been shown to drive changes in the high latitude circulation (Maycock et al., 2013;
307 Seabrook et al., 2023), as well as enhance catalytic ozone loss (e.g. Tilmes et al., 2021), but uncertainties
308 remain as to the details of such responses. Since SAI-induced lower stratospheric warming also drives
309 significant increases in stratospheric water vapour, this process constitutes an additional source of
310 uncertainty to the overall SAI impacts in the high latitudes.

311 We note that our results could be model dependent. In addition, with three ensemble members per
312 experiment, a rigorous assessment of the origin of these dynamical differences is beyond the scope of the
313 current study. However, the apparent non-linear behaviour of the high latitude circulation and ozone
314 response to SAI merits further assessment in a multi-model framework and with larger ensembles, as part
315 of ongoing efforts in narrowing the uncertainties in the climate response to SAI.

316

317 **Acknowledgements**

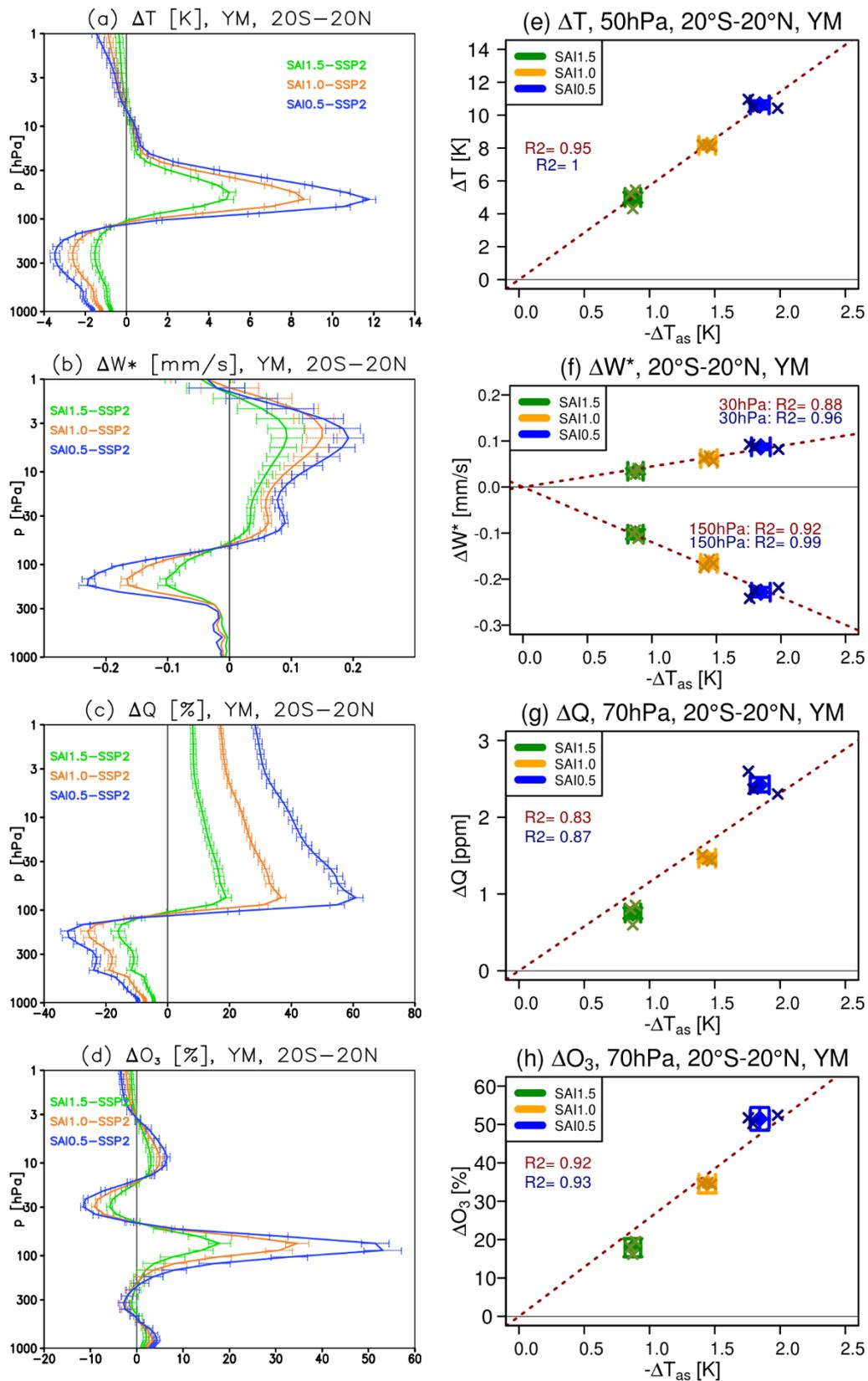
318 We would like to acknowledge high-performance computing support from Cheyenne
319 (<https://doi.org/10.5065/D6RX99HX>) provided by NCAR's Computational and Information Systems
320 Laboratory, sponsored by the National Science Foundation. Support was provided by the NOAA cooperative
321 agreement NA22OAR4320151, NOAA Earth's Radiative Budget initiative, Atkinson Center for a Sustainability
322 at Cornell University, and by the National Science Foundation through agreement CBET-2038246. Support
323 for BK was provided in part by the National Science Foundation through agreement SES-1754740 and the
324 Indiana University Environmental Resilience Institute. The Pacific Northwest National Laboratory is
325 operated for the US Department of Energy by Battelle Memorial Institute under contract DE-AC05-
326 76RL01830.

327

328 **Data access**

329 Data used in this manuscript is available from doi: 10.5281/zenodo.7976364.

330



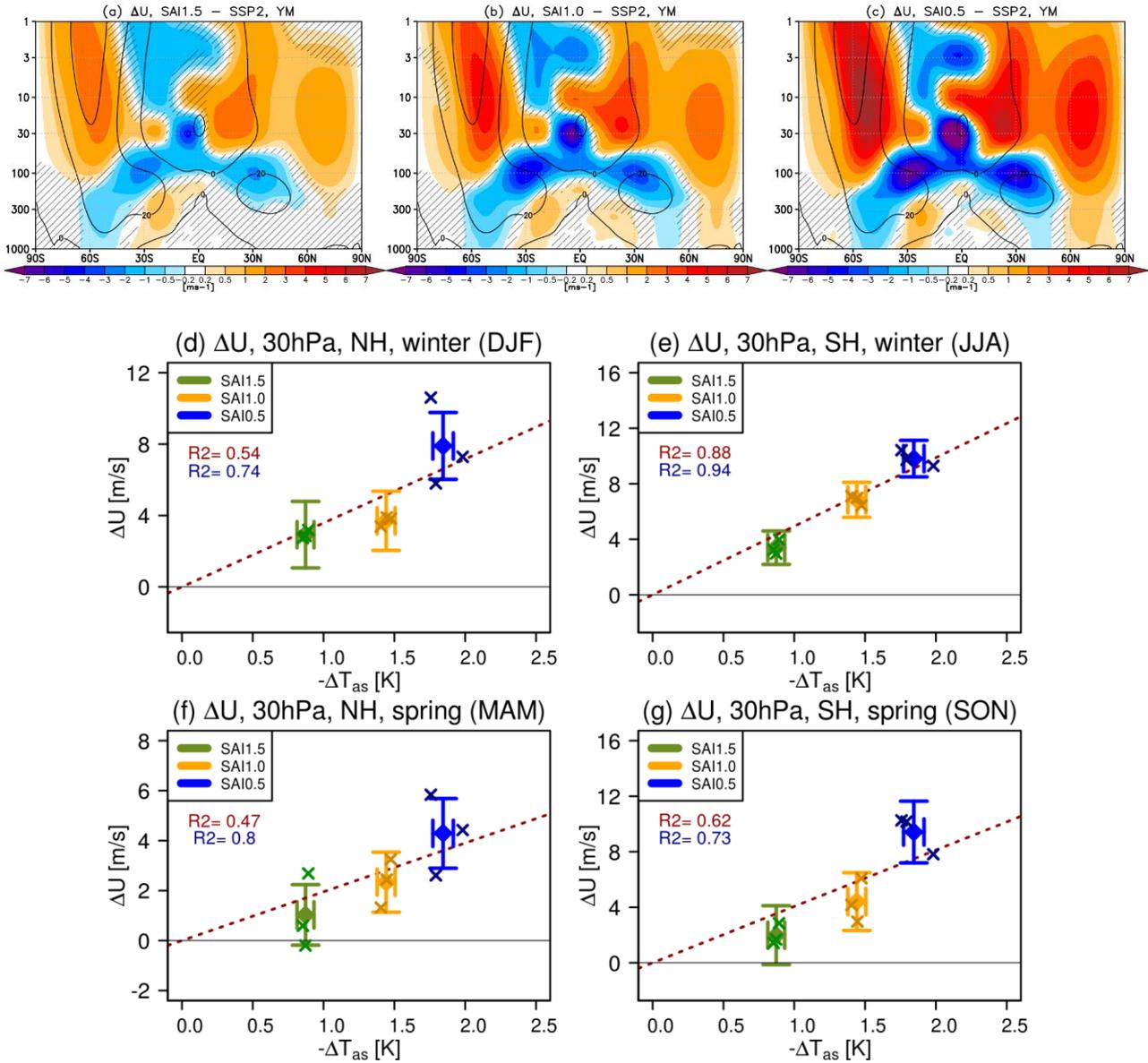
331

332 **Figure 1.** Left: Yearly mean changes in the ensemble mean tropical (a,e) temperatures, (b,f)
 333 TEM vertical velocity, (c,g) water vapour and (d,h) ozone for each of the SAI scenarios
 334 compared to the control SSP2-4.5 simulation for the same period (2050-2069). Error bars
 335 denote ± 2 standard errors of the difference in means. Right: Scatterplot of the SAI
 336 stratospheric responses against the magnitude of the global mean surface cooling. Diamonds
 337 and whiskers indicate ensemble mean response ± 2 standard error, and the crosses indicate

338 the responses in the individual ensemble members (compared to the ensemble mean of
 339 SSP2-4.5). Value of R^2 shown in red and blue corresponds to the value calculated for the
 340 single ensemble members and the ensemble means, respectively. See **Fig. S1** in Supplement
 341 for the analogous responses compared to the present day BASE1.5 baseline period.

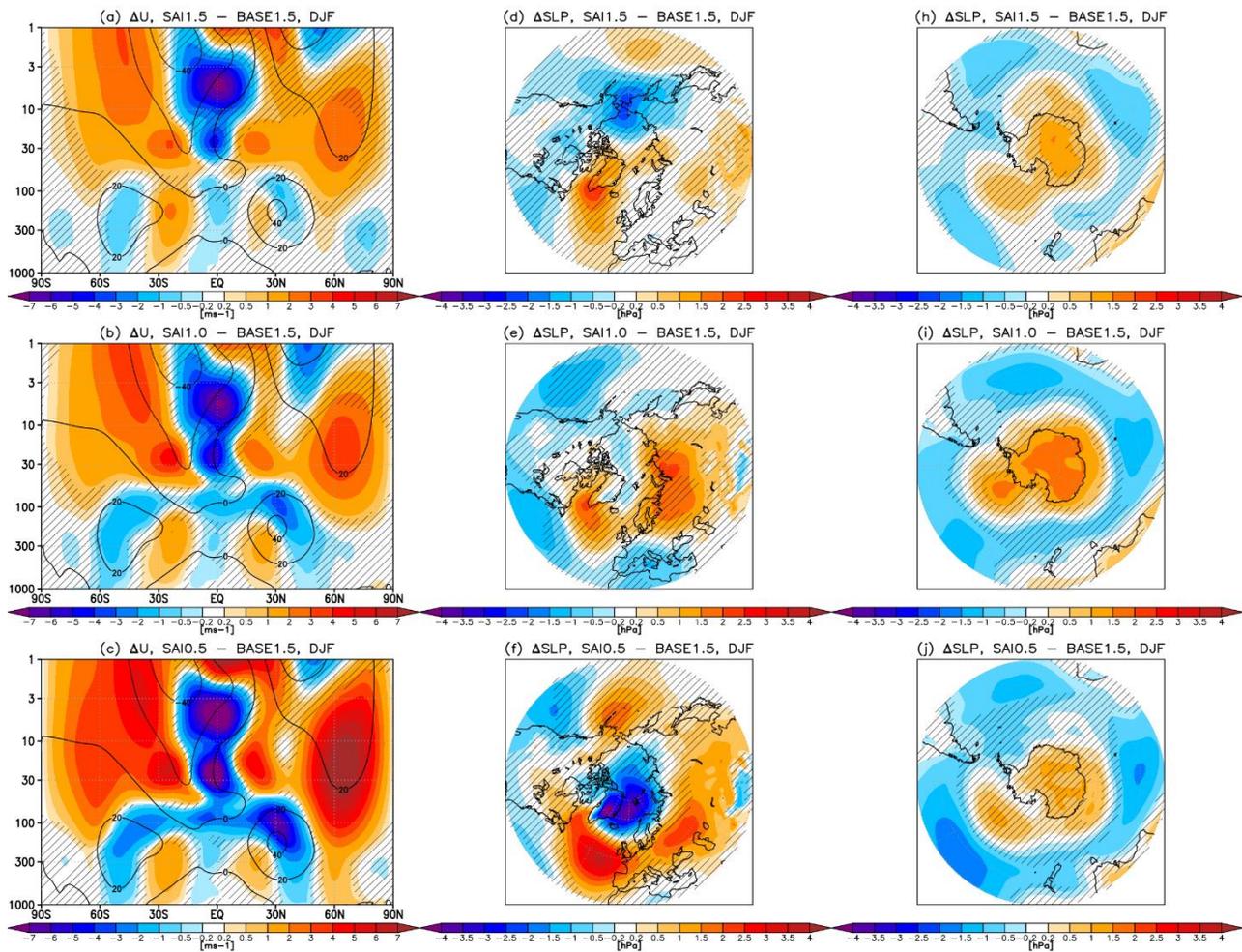
342

343



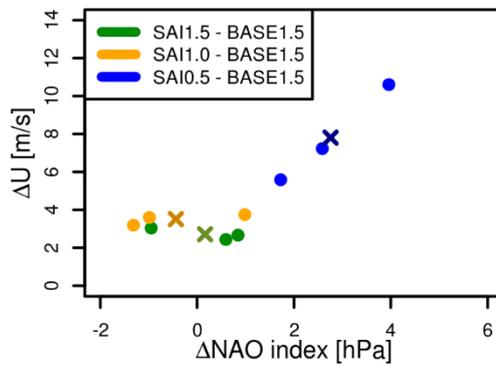
344

345 **Figure 2.** (a-c) Shading: yearly mean changes in zonal winds in each of the SAI simulation
 346 compared to SSP2-4.5. Contours show the values in SSP2-4.5 for reference. Hatching marks
 347 the regions where the response is not statistically significant (taken as ± 2 standard error of
 348 the difference in means). (d-g) Changes in the strength of the NH (60°N, d,f) and SH (50°S,
 349 e,g) polar vortex at 30 hPa in winter (d,e) and spring (f,g) in each of the SAI scenario vs the
 350 magnitude of the global mean surface cooling compared to SSP2-4.5. Diamonds and whiskers
 351 indicate ensemble mean response ± 2 standard error, and the crosses indicate the responses
 352 in the individual ensemble members (compared to the ensemble mean of SSP2-4.5). Value
 353 of R^2 shown in red and blue corresponds to the value calculated for the single ensemble
 354 members and ensemble means, respectively. See **Fig. S2** in Supplement for the analogous
 355 responses compared to the present day BASE1.5 baseline period.



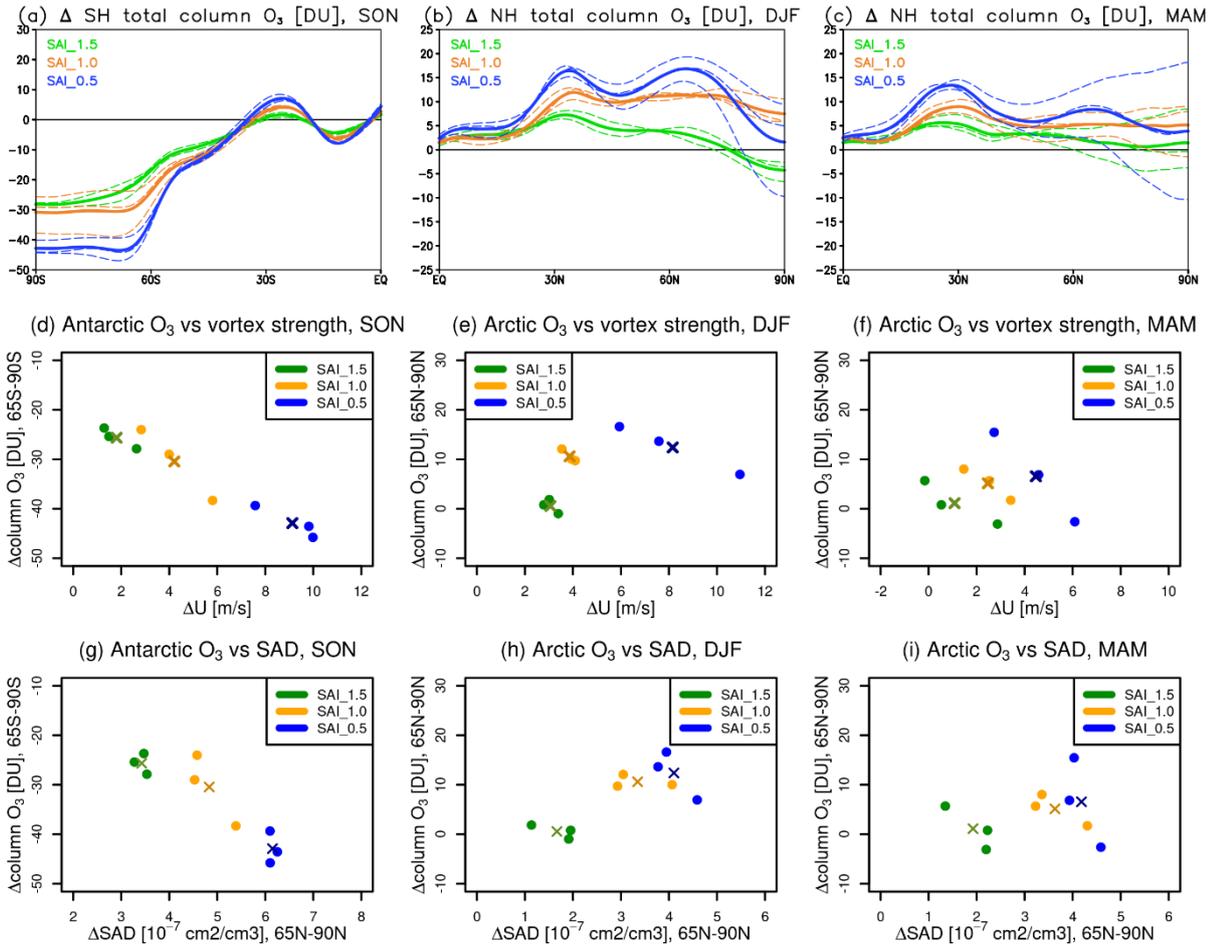
356

(g) NAO vs vortex strength, DJF



357

358 **Figure 3.** DJF changes in: (a-c) zonal winds, (d-f) sea-level pressures northward of 30°N, and
 359 (h-j) sea-level pressures southward of 30°S for each of the SAI scenarios compared to
 360 BASE1.5. Hatching as in Fig. 2. (g): Correlation between the DJF changes in the strength of
 361 the NH stratospheric polar vortex (60°N, 30 hPa) and the NAO sea-level pressure index for
 362 each of the SAI scenarios compared to BASE1.5. Points illustrate the responses for each of
 363 the ensemble members, and crosses the corresponding ensemble mean responses. See Fig.
 364 **S4** in Supplement for the analogous responses compared to SSP2-4.5.



365

366 **Figure 4.** Impacts on the Arctic and Antarctic ozone. (a-c) Seasonal mean changes in total
 367 column ozone (left) in SON in the SH, (middle) DJF in the NH and (right) MAM in the NH for
 368 each of the SAI scenarios compared to SSP2-4.5. Thick lines denote the ensemble mean
 369 response and dashed lines the responses in each individual ensemble member (compared to
 370 the ensemble mean response in SSP2-4.5). (d-i) The correlation between seasonal mean
 371 changes in (d-f) polar ozone and stratospheric vortex strength, and between changes in (g-
 372 i) polar ozone and polar aerosol surface area density at 170 hPa. Each point represents the
 373 response in each individual ensemble member, and the cross represents the ensemble mean
 374 response.

375

376

377 **References**

- 378 Baldwin. M. P., and Dunkerton. T. J.: Stratospheric Harbingers of Anomalous Weather Regimes, *Science*,
379 294, 581-584, DOI:10.1126/science.1063315, 2001.
- 380 Banerjee, A., Butler, A. H., Polvani, L. M., Robock, A., Simpson, I. R., and Sun, L.: Robust winter warming over
381 Eurasia under stratospheric sulfate geoengineering – the role of stratospheric dynamics, *Atmos. Chem.*
382 *Phys.*, 21, 6985–6997, <https://doi.org/10.5194/acp-21-6985-2021>, 2021.
- 383 Bednarz, E. M., Vioni, D., Kravitz, B., Jones, A., Haywood, J. M., Richter, J., MacMartin, D. G., and Braesicke,
384 P.: Climate response to off-equatorial stratospheric sulfur injections in three Earth system models – Part 2:
385 Stratospheric and free-tropospheric response, *Atmos. Chem. Phys.*, 23, 687–709,
386 <https://doi.org/10.5194/acp-23-687-2023>, 2023a.
- 387 Bednarz, E. M., Butler, A. H., Vioni, D., Zhang, Y., Kravitz, B., and MacMartin, D. G.: Injection strategy – a
388 driver of atmospheric circulation and ozone response to stratospheric aerosol geoengineering, *EGUsphere*
389 [preprint], <https://doi.org/10.5194/egusphere-2023-495>, 2023b.
- 390 Bednarz, E. M., Vioni, D., Richter, J. H., Butler, A. H., MacMartin, D. G.: Impact of the latitude of
391 stratospheric aerosol injection on the Southern Annular Mode, *Geophysical Research Letters*, 49,
392 e2022GL100353. <https://doi.org/10.1029/2022GL100353>, 2022.
- 393 Bittner, M., Schmidt, H., Timmreck, C., and Sienz, F.: Using a large ensemble of simulations to assess the
394 Northern Hemisphere stratospheric dynamical response to tropical volcanic eruptions and its uncertainty,
395 *Geophys. Res. Lett.*, 43, 9324– 9332, doi:10.1002/2016GL070587, 2016.
- 396 Charney, J. G., and Drazin, P. G.: Propagation of planetary-scale disturbances from lower into upper
397 atmosphere, *Journal of Geophysical Research*, 66, 83–88, 10.1029/JZ066i001p00083, 1961.
- 398 DallaSanta, K. and Polvani, L. M.: Volcanic stratospheric injections up to 160 Tg(S) yield a Eurasian winter
399 warming indistinguishable from internal variability, *Atmos. Chem. Phys.*, 22, 8843–8862,
400 <https://doi.org/10.5194/acp-22-8843-2022>, 2022.
- 401 Danabasoglu, G., Lamarque, J.-F., Bacmeister, J., Bailey, D. A., DuVivier, A. K., Edwards, J., Emmons, L. K.,
402 Fasullo, J., Garcia, R., Gettelman, A., Hannay, C., Holland, M. M., Large, W. G., Lauritzen, P. H., Lawrence, D.
403 M., Lenaerts, J. T. M., Lindsay, K., Lipscomb, W. H., Mills, M. J., Neale, R., Oleson, K. W., Otto-Bliesner, B.,
404 Phillips, A. S., Sacks, W., Tilmes, S., van Kampenhout, L., Vertenstein, M., Bertini, A., Dennis, J., Deser, C.,
405 Fischer, C., Fox-Kemper, B., Kay, J. E., Kinnison, D., Kushner, P. J., Larson, V. E., Long, M. C., Mickelson, S.,
406 Moore, J. K., Nienhouse, E., Polvani, L., Rasch, P. J., and Strand, W. G.: The Community Earth System Model
407 Version 2 (CESM2), *J. Adv. Model. Earth Sy.*, 12, e2019MS001916, <https://doi.org/10.1029/2019MS001916>,
408 2020.
- 409 Davis, N. A., Vioni, D., Garcia, R. R., Kinnison, D. E., Marsh, D. R., Mills, M. J., Richter, J. H., Tilmes, S.,
410 Bardeen, C., Gettelman, A., Glanville, A. A., MacMartin, D. G., Smith, A. K., and Vitt, F.: Climate, variability,
411 and climate sensitivity of “Middle Atmosphere” chemistry configurations of the Community Earth System
412 Model Version 2, Whole Atmosphere Community Climate Model Version 6 (CESM2(WACCM6)),
413 <https://doi.org/10.22541/essoar.167117634.40175082/v1>, 2022.
- 414 Ferraro, A. J., Charlton-Perez, A. J., and Highwood, E. J.: Stratospheric dynamics and midlatitude jets under
415 geoengineering with space mirrors and sulfate and titania aerosols, *J. Geophys. Res.-Atmos.*, 120, 414–429,
416 2015.

417 Friedel, M., G. Chiodo, A. Stenke, D. Domeisen, and T. Peter: Effects of Arctic ozone on the stratospheric
418 spring onset and its surface impact, *Atmospheric Chemistry and Physics*, DOI:10.5194/acp-22-13997-2022,
419 2022.

420 Friedel, M., Chiodo, G., Stenke, A. D. Domeisen, S. Fueglistaler, J. Anet, and T. Peter. Springtime arctic ozone
421 depletion forces northern hemisphere climate anomalies. *Nat. Geosci.* 15, 541–547,
422 <https://doi.org/10.1038/s41561-022-00974-7>, 2022.

423 Gettelman, A., Mills, M. J., Kinnison, D. E., Garcia, R. R., Smith, A. K., Marsh, D. R., Tilmes, S., Vitt, F., Bardeen,
424 C. G., McInerny, J., Liu, H.-L., Solomon, S. C., Polvani, L. M., Emmons, L. K., Lamarque, J.-F., Richter, J. H.,
425 Glanville, A. S., Bacmeister, J. T., Phillips, A. S., Neale, R. B., Simpson, I. R., DuVivier, A. K., Hodzic, A., and
426 Randel, W. J.: TheWhole Atmosphere Community ClimateModel Version 6 (WACCM6), *J. Geophys. Res.-*
427 *Atmos.*, 124, 12380–12403, <https://doi.org/10.1029/2019JD030943>, 2019.

428 Jones, A., Haywood, J. M., Scaife, A. A., Boucher, O., Henry, M., Kravitz, B., Lurton, T., Nabat, P., Niemeier,
429 U., Séférian, R., Tilmes, S., and Vioni, D.: The impact of stratospheric aerosol intervention on the North
430 Atlantic and Quasi-Biennial Oscillations in the Geoengineering Model Intercomparison Project (GeoMIP)
431 G6sulfur experiment, *Atmos. Chem. Phys.*, 22, 2999–3016, <https://doi.org/10.5194/acp-22-2999-2022>,
432 2022.

433 Keeble, J., Braesicke, P., Abraham, N. L., Roscoe, H. K., and Pyle, J. A.: The impact of polar stratospheric
434 ozone loss on Southern Hemisphere stratospheric circulation and climate, *Atmos. Chem. Phys.*, 14, 13705–
435 13717, <https://doi.org/10.5194/acp-14-13705-2014>, 2014.

436 Kolstad, E. W., Lee, S. H., Butler, A. H., Domeisen, D. I. V., & Wulff, C. O.: Diverse surface signatures of
437 stratospheric polar vortex anomalies. *Journal of Geophysical Research: Atmospheres*, 127, e2022JD037422,
438 <https://doi.org/10.1029/2022JD037422>, 2020.

439 Kretschmer, M., Zappa, G., and Shepherd, T. G.: The role of Barents–Kara sea ice loss in projected polar
440 vortex changes, *Weather Clim. Dynam.*, 1, 715–730, <https://doi.org/10.5194/wcd-1-715-2020>, 2020.

441 Kult-Herdin, J., T. Sukhodolov, G. Chiodo, R. Checa-Garcia, and H. Rieder: The impact of different CO₂ and
442 ODS levels on the mean state and variability of the springtime Arctic stratosphere, *Environmental Research*
443 *Letters*, DOI:10.1088/1748-9326/acb0e6, 2023.

444 Liu, X., Ma, P.-L., Wang, H., Tilmes, S., Singh, B., Easter, R. C., Ghan, S. J., and Rasch, P. J.: Description and
445 evaluation of a new four-mode version of the Modal Aerosol Module (MAM4) within version 5.3 of the
446 Community Atmosphere Model, *Geosci. Model Dev.*, 9, 505–522, [https://doi.org/10.5194/gmd-9-505-](https://doi.org/10.5194/gmd-9-505-2016)
447 2016, 2016.

448 MacMartin, D. G., Vioni, D., Kravitz, B., Richter, J., Felgenhauer, T., Lee, W. R., Morrow, D. R., Parson, E. A.,
449 Sugiyama, M.: Scenarios for modeling solar radiation modification. *Proceedings of the National Academy*
450 *of Sciences*, 119(33). <https://doi.org/10.1073/pnas.2202230119>, 2022.

451 Manzini, E., Karpechko, A. Y., & Kornblueh, L.: Nonlinear response of the stratosphere and the North
452 Atlantic-European climate to global warming. *Geophysical Research Letters*, 45, 4255– 4263,
453 <https://doi.org/10.1029/2018GL077826>, 2018.

454 Maycock, A. C., Joshi, M. M., Shine, K. P., & Scaife, A. A.: The circulation response to idealized changes in
455 stratospheric water vapor. *Journal of Climate*, 26(2), 545–561. <https://doi.org/10.1175/JCLI-D-12-00155.1>,
456 2013.

457 McCusker, K. E., Battisti, D. S., & Bitz, C. M.: Inability of stratospheric sulfate aerosol injections to preserve
458 the West Antarctic Ice Sheet. *Geophysical Research Letters*, 42(12), 4989–4997, 2015.

459 Seabrook, M., Smith, D. M., Dunstone, N. J., Eade, R., Hermanson, L., Scaife, A. A., & Hardiman, S. C.:
460 Opposite impacts of interannual and decadal Pacific variability in the extratropics. *Geophysical Research*
461 *Letters*, 50, e2022GL101226, <https://doi.org/10.1029/2022GL101226>, 2023.

462 Thompson, D. W. J., M. P. Baldwin, and S. Solomon: Stratosphere–Troposphere Coupling in the Southern
463 Hemisphere. *J. Atmos. Sci.*, 62, 708–715, <https://doi.org/10.1175/JAS-3321.1>, 2005.

464 Thompson, D. W. J., & Wallace, J. M.: Annular modes in the extratropical circulation. Part I: Month-to-month
465 variability. *Journal of Climate*, 13(5), 1000–1016. [https://doi.org/10.1175/1520-0442\(2000\)01360;1000:amitec62;2.0.co;2](https://doi.org/10.1175/1520-0442(2000)01360;1000:amitec62;2.0.co;2), 2000.

467 Tilmes, S., Richter, J. H., Kravitz, B., MacMartin, D. G., Glanville, A. S., Visionsi, D., Kinnison, D. E., and Müller,
468 R.: Sensitivity of total column ozone to stratospheric sulfur injection strategies, *Geophys. Res. Lett.*, 48,
469 e2021GL094058, <https://doi.org/10.1029/2021GL094058>, 2021.

470 Tilmes, S., Visionsi, D., Jones, A., Haywood, J., Séférian, R., Nabat, P., Boucher, O., Bednarz, E. M., and
471 Niemeier, U.: Stratospheric ozone response to sulfate aerosol and solar dimming climate interventions
472 based on the G6 Geoengineering Model Intercomparison Project (GeoMIP) simulations, *Atmos. Chem.*
473 *Phys.*, 22, 4557–4579, <https://doi.org/10.5194/acp-22-4557-2022>, 2022.

474 Walz, R., H. Garny, and T. Birner: Stratospheric Modulation of Tropical Upper-Tropospheric Warming-
475 Induced Circulation Changes in an Idealized General Circulation Model. *J. Atmos. Sci.*, 80, 611–631,
476 <https://doi.org/10.1175/JAS-D-21-0232.1>, 2023.

477 Wang, S., E. P. Gerber, and L. M. Polvani: Abrupt circulation responses to tropical upper-tropospheric
478 warming in a relatively simple stratosphere-resolving AGCM. *J. Climate*, 25, 4097–4115,
479 <https://doi.org/10.1175/JCLI-D-11-00166.1>, 2012.

480 Visionsi, D., Bednarz, E. M., Lee, W. R., Kravitz, B., Jones, A., Haywood, J. M., and MacMartin, D. G.: Climate
481 response to off-equatorial stratospheric sulfur injections in three Earth system models – Part 1:
482 Experimental protocols and surface changes, *Atmos. Chem. Phys.*, 23, 663–685,
483 <https://doi.org/10.5194/acp-23-663-2023>, 2023a.

484 Visionsi, D., Bednarz, E. M., MacMartin, D. G., Kravitz, B., Goddard, P.: The choice of baseline period
485 influences the assessments of the outcomes of Stratospheric Aerosol Injection, *Earth’s Future*, 2023b
486 (submitted).

487 Zhang, Y., MacMartin, D. G., Visionsi, D., Bednarz, E., and Kravitz, B.: Introducing a Comprehensive Set of
488 Stratospheric Aerosol Injection Strategies, *EGUsphere* [preprint], <https://doi.org/10.5194/egusphere-2023-117>, 2023.

490