

1 ***“Money was the Problem”*: Financial Difficulty is the Main Reason for Treatment**

2 **Abandonment by Children with Cancer in South West Uganda**

3

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27List of abbreviations

|       |  |
|-------|--|
| HIC   | High-income countries                                |
| LIC   | Low-income countries                                 |
| MRRH  | Mbarara Regional Referral Hospital                   |
| MUST  | Mbarara University of Science and Technology         |
| REC   | Review and Ethics Committee                          |
| UNCST | Uganda National Council of Science and<br>Technology |

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31

## 32Abstract

33**Introduction** - Treatment abandonment contributes significantly to poor survival of  
34children with cancer in low-middle-income countries (LMICs). In order to inform an  
35approach to this problem at our Cancer Unit, we investigated why caregivers withdraw  
36their children from treatment.

37**Methods** – In a qualitative study, in-depth interviews were conducted with caregivers of  
38children who had abandoned cancer treatment at the Paediatric Cancer Unit (PCU) of  
39Mbarara Regional Referral Hospital (MRRH) in South Western Uganda, between May  
402017 and September 2020. Recorded in-depth interviews with caregivers were

41transcribed and analyzed to identify themes of caregiver self-reported reasons for  
42treatment abandonment.

43**Results** - Seventy-seven out of 343 (22.4%) children treated for cancer at MRRH  
44abandoned treatment during the study period; 20 contactable and consenting caregivers  
45participated in the study. The median age of children's caregivers was 37 years and  
46most (65%) were mothers. At the time of this study, eight (40%) children were alive and  
475 (62.5%) were males; with a median age of 6.5 years. Financial difficulties, other  
48obligations, the child falsely appearing cured, preference for alternative treatments,  
49belief that cancer was incurable, fear that the child's death was imminent and  
50chemotherapy side-effects were the caregivers' reasons for treatment abandonment.

51**Conclusions and Recommendation** – Treatment abandonment among children with  
52cancer in Uganda is, most times, as a result of difficult conditions beyond the  
53caregivers' control and needs to be approached with empathy and support.

54

## 55**Introduction**

56Treatment abandonment is defined as the failure to either begin or complete cancer  
57curative therapy and/or missing treatment appointments for more than one month<sup>1</sup>. It is  
58recognized as a major contributor to therapeutic failure in paediatric cancer patients<sup>2,3,4</sup>  
59and is particularly a challenge in low-income countries (LIC) where only 10-20% of  
60children diagnosed with cancer are cured compared to the 80% in the high income  
61countries (HIC)<sup>5,6</sup>.

62Worldwide, treatment abandonment rates range from 3% to 30% in HIC and LICs,  
63respectively, and are predicted by lower Gross National Product per capita, absence of

64national health insurance schemes and high prevalence of economic hardship<sup>7</sup>. Others  
65include, low socio-economic status, poor literacy, increased travel time and lack of  
66affordable local treatment.<sup>8,9,10</sup> Caregiver self-reported reasons for treatment  
67abandonment in LIC include: financial constraints, misplaced incurability of cancer, false  
68perception of cure, preference for alternative medicine, fear of adverse treatment effects  
69and perceived poor prognosis for cancer.<sup>3,4,7,11,12</sup>

70In Uganda, treatment abandonment rates range from 10-33%<sup>13,14</sup>, however the reasons  
71for abandonment have not been studied. Cancer diagnosis and treatment at the  
72Paediatric Cancer Unit (PCU) of Mbarara Regional Referral Hospital (MRRH) are  
73provided free by the Uganda government and philanthropy. However, a quarter of the  
74children diagnosed with cancer do not start or complete therapy<sup>15</sup>. This study was  
75therefore designed to find out why caregivers at our unit abandon therapy in order to  
76design mitigating interventions for this problem.

77

## 78**Methods**

79

### 80*Study setting.*

81This study was conducted at the PCU of MRRH, located in Mbarara City, south western  
82Uganda, about 260km from the capital, Kampala. The PCU is a 16-bed capacity ward  
83and an outpatient clinic. It is one of the four paediatric cancer treatment facilities in  
84Uganda and the only one in South Western Uganda, serving a population of about 6  
85million people<sup>16</sup>. On average, 120 children (aged below 16 years) are enrolled with  
86newly diagnosed cancer annually (Unpublished Cancer Unit medical records).

87

88At the unit, all caregivers, upon a new cancer diagnosis of their child, have a private  
89counseling session with a paediatric oncologist. During this session, the diagnosis of the  
90child is revealed, the treatment plan discussed, the side-effects of the treatment  
91revealed and the child's expected chances of survival explained. Caregivers who  
92abandon treatment are routinely followed up with phone calls from the clinic staff until  
93either they return, indicate they are not willing to return, or reveal that the child is dead.

94

#### 95*Study design*

96We conducted a qualitative study in, October and November 2020, of caregivers whose  
97children had been diagnosed with cancer from May 2017 to August 2020 and had  
98abandoned treatment. Telephone contacts of the caregivers of children who abandoned  
99treatment were retrieved from the medical records. Telephone calls were made to them  
100to make appointments for home visits, without revealing the reason for the visit. The  
101research team visited the caregivers who accepted the home visits and conducted in-  
102depth interviews with them.

103Prior to the interviews, the caregivers provided written informed consent to participate in  
104the study and to have their responses tape-recorded. Interviews were conducted by 2  
105research assistants in the commonly used local language, and recorded with tape  
106recorders. One research assistant interviewed the caregiver and the other took notes  
107about his/her non-verbal communication. Each interview took about 60 minutes and a  
108maximum of 4 interviews were conducted each day. Team debriefing sessions were

109held the day after the interviews to discuss the important findings from the data  
110collected.

111

112The recorded interviews were transcribed verbatim for analysis. Data was analyzed  
113using NVivo software (version 12, QSR International, Burlington, Mass.). Thematic  
114content analysis was used to analyze the data and a code book was generated  
115comprising all of the major themes. The emerging themes were organized into an  
116explanatory logic that provided a succinct conceptual model of treatment abandonment.

117

118The research proposal was approved by the Review and Ethics Committee of MUST  
119(39/01-20) and registered with Uganda National Council of Science and Technology  
120(HS966ES).

121

## 122**Results**

123

124Three hundred and forty-three children below 16 years of age were diagnosed with  
125cancer from 1<sup>st</sup> May 2017 to 30<sup>th</sup> September 2020 and 77 (22.4%) of them abandoned  
126therapy. Sixty-eight of those who abandoned therapy (88.3%) had some chemotherapy  
127while 9 (11.7%) did not start treatment. The phone contacts of 51 out of these 77  
128caregivers were either not available (22), switched off (25), or calls were answered by  
129someone who claimed not to know the child in question (4). Caregivers of 26/77  
130(33.8%) children were reached by phone to request a home visit by the research team  
131and 20 (76.9%) accepted, as shown in Figure 1.

132

### 133 *Demographic characteristics caregivers*

134 The demographic characteristics of the primary caregivers are shown in table 1. Their  
135 ages ranged from 24 to 65 years, with a median age of 37 years. Thirteen (65%) were  
136 the children's mothers, six (30%) were their fathers while one (5%), a grandfather. The  
137 children of 8 (40%) caregivers were alive while those of 12 (60%) had died.

138

### 139 *Demographic and clinical characteristics of the living children*

140 The demographic and clinical characteristics of the 8 children who were still alive at the  
141 time of the study are shown in table 2. Their ages ranged from 4 to 15 years, with a  
142 median age of 6.5 years and 5 (62.5%) were males. Their diagnoses were as follows: 2  
143 nephroblastoma, 2 Hodgkin lymphoma, 2 lymphoblastic lymphoma, one chronic myeloid  
144 leukemia, and one acute lymphoblastic leukemia. Three (37.5%) had cancer disease  
145 symptoms.

146

### 147 *Reasons for Treatment Abandonment*

148 Several important thematic reasons for treatment abandonment emerged from the in-  
149 depth interviews with the caregivers who had withdrawn their children from care and are  
150 shown in Table 3.

151

152 Financial difficulty was overwhelmingly, the most commonly cited reason for treatment  
153 abandonment. Caregivers reported experiences of struggling to raise money to buy food

154and other necessities during the often prolonged and repeated hospital stays that  
155characterize cancer treatment.

156 *“My husband used to borrow money from a saving group, which we’re still paying*  
157 *back up to now. One time when I called him, he told me he didn’t have money*  
158 *and that I should take the child home”, said caregiver number 15, tears running*  
159 *down her cheeks.*

160

161Some caregivers reported selling their property to meet the repeated costs after which  
162they had nothing else to sell.

163 *“For us, we did our best. We sold almost everything, including goats, cattle and*  
164 *land. After that, we did not have any more money and gave up. So, money was*  
165 *the problem”, said caregiver number 9, folding her arms and beginning to cry.*

166

167Money was also required for transport to repeated hospital visits. When caregivers  
168could not raise money for transportation anymore, they stopped coming.

169 *“We did not go back because we failed to get money for transport; haven’t you*  
170 *seen that our place is very far?” said caregiver number 6.*

171

172Caregivers reported feeling conflicted about exhausting all the money on one sick child  
173at the expense of the needs of the other children and family members.

174 *“The father had a small piece of land and we considered selling it but wondered*  
175 *where we would put the rest of the children. We therefore decided to leave him,”*  
176 *caregiver number 19.*

177

178For some caregivers, other responsibilities and social obligations competed with the  
179care of the child.

180 *“My wife was almost due and she needed to go to the hospital so I became*  
181 *confused and left”, said caregiver number 1, looking away and silent for a*  
182 *moment.*

183

184Many caregivers said they regarded traditional and spiritual healers as alternatives to  
185the medical care, especially in the context of disease progression and perceived poor  
186prognosis.

187 *“Ever since we left the hospital, we have been giving him herbal medicine and*  
188 *that’s what is keeping him healthy. We even planted it ourselves and so it doesn’t*  
189 *run out”, caregiver number 4.*

190

191Some caregivers reported feeling discouraged at the thought that cancer is incurable,  
192often from conversations with other caregivers and observing other children who  
193relapsed or progressed and died.

194 *“This disease does not cure; so even when we were taking care of him, we knew*  
195 *that he was going to die”, said caregiver number 17, shaking her head.*

196

197Occasionally, caregivers withdrew their children from treatment because they felt the  
198child was very sick and death was imminent and preferred the child dies at or near  
199home to avoid the inconvenience of transporting the body. They either took the child

200away from hospital without asking for an official discharge or they did not to bring them  
201back when the review dates were due.

202 *“I saw other children dying and I feared mine too would die from the hospital and*  
203 *I struggle bringing the body. So, I decided to bring him early so he dies from*  
204 *home”, said caregiver number 10, keeping silent for some moments and folding*  
205 *her lips.*

206

207Yet, on the other hand, some caregivers said they thought that their children did not  
208need any further treatment, since they looked fine.

209 *“We saw that she was well and so we decided to stay at home”, caregiver*  
210 *number 5.*

211

212Some caregivers also got concerned watching their children suffer treatment side  
213effects and this motivated their decision to abandon treatment.

214 *“From home she would be talking, walking and eating but after giving her drugs,*  
215 *she would fail to eat, get mouth sores and diarrhea. That one made me hate*  
216 *going back”, caregiver number 16.*

217

## 218**Discussion**

219Our study found that financial difficulties, other obligations, child appearing cured,  
220preference for alternative treatments, belief that cancer was incurable, fear that the child  
221was about to die, and fear of chemotherapy side-effects were the main reasons for  
222treatment abandonment.

223

224The inadequacy of money for food, transport and other necessities was the most  
225common contributor to treatment abandonment among our children. This is not  
226surprising since Uganda's population is 74% rural<sup>17</sup> with limited economic activities.  
227Most people are subsistent farmers and spend most of their time growing food for  
228survival. Quite often, the family benefactor is the one taking care of the child in hospital,  
229thereby, cutting off family income abruptly with disastrous consequences for the sick  
230child and the rest of the dependents.

231

232Much as meals are provided to sick children and their attendants during their hospital  
233stay and chemotherapy and supportive drugs are free, these do not seem adequate,  
234because money is required to meet other in-hospital costs. Funds are also required for  
235transport and lack of it is likely to discourage families that have to make repeated visits,  
236usually for several months or even years. Financial difficulties have been reported as a  
237reason for treatment abandonment in other studies, especially in the developing  
238world<sup>8,18-20</sup> and low social economic status has been previously identified as the most  
239important predictor of treatment abandonment.<sup>7,21</sup> In Kampala, Uganda, where money  
240for meals during hospital stay and for transport and were provided, treatment  
241abandonments were indeed reduced to below 10%<sup>13</sup>.

242

243As in previous studies,<sup>12,22</sup> we found that caregivers had other commitments and  
244obligations and faced difficulty dividing their attention between other responsibilities and  
245the care of their sick children. This was especially so if the other commitments involved

246further financial expenses and especially where the parent staying in hospital with the  
247sick child was the family bread winner. Uganda is a country with a high total fertility rate  
248of 5.6 children per woman of child bearing age<sup>17</sup>, so families tend to be large, with  
249parents of limited means.

250

251Children with cancer tend to be admitted with severe symptoms which respond to  
252treatment quickly after initial chemotherapy. Most families, who are financially hard-  
253pressed with so many other obligations, may see their children appearing healthier and  
254no longer a priority. This has also been previously described in other studies<sup>8,23,24</sup> as a  
255cause for treatment abandonment as caregivers shift their attention to more obviously  
256immediate obligations.

257

258As in our study, other studies<sup>22,25</sup> have described preference for other modalities of  
259treatment among the commonest reasons caregivers report for abandoning treatment.  
260In this community, as in many others in Africa, sickness is inherently looked at as both a  
261physical and a spiritual problem. Communities are often convinced that there are  
262spiritual explanations for physical disease symptoms and consult spiritual healers and  
263herbalists for answers<sup>26</sup>. Children may be taken for alternative treatment before, during  
264and after visiting the hospital, especially if the former are cheaper and within their  
265vicinity.

266

267In conclusion, seeking care for children with cancer involves a lot of expenses and  
268families with limited incomes find it expensive and out of reach. Consequently,

269economic difficulty, which is beyond the caregivers' control, is the cause of most  
270treatment abandonments. Caregivers with limited resources and many dependents and  
271who falsely think that their children are cured, are likely to abandon treatment. They are  
272also likely to choose alternative means of care that are cheaper and procurable closer  
273to their homes.

274We recommend that on top of the free meals, diagnosis and treatment that are currently  
275provided free at the PCU, financial support to off-set transport and upkeep costs should  
276also be provided to caregivers. Health-care related costs, like radiological and  
277laboratory investigations should be offered free to the patients by the hospital in order to  
278relieve the burden off the caregivers. A full-time counselor needs to be recruited by the  
279hospital for the PCU to hold on-going counseling sessions with caregivers and explore  
280their coping mechanisms. Such a counselor would particularly identify caregivers with  
281treatment abandonment ideation, so that they can be given empathetic support to avert  
282abandonment.

283

284The study was limited by the failure to make contact with the majority of the caregivers  
285who had abandoned treatment, which likely caused a selection bias. The strength of the  
286study was the success of recruiting caregivers from across a large geographical area  
287who agreed to be interviewed face-to-face in their homes.

288

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292

### 293 **Conflict of Interest**

294 The authors declare no Conflict of Interest.

295

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381  
382**Figure 1 Caregiver Tracking Schema**

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