

1    **The heterozygous mutation of c.346-1G>A in *SOHLH1* gene is**  
2    **irrelevant to nonobstructive azoospermia**

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4    Mohan Liu<sup>a,b#</sup>, Yihong Yang<sup>c#</sup>, Yaqian Li<sup>a</sup>, SuRen Chen<sup>d\*</sup>, Ying Shen<sup>a\*</sup>

5    <sup>a</sup> Department of Obstetrics/Gynecology, Joint Laboratory of Reproductive Medicine  
6    (SCU-CUHK), Key Laboratory of Obstetric, Gynecologic and Pediatric Diseases  
7    and Birth Defects of Ministry of Education, West China Second University Hospital,  
8    Sichuan University, Chengdu 610041, China

9    <sup>b</sup> State Key Laboratory of Biotherapy and Cancer Center, West China Hospital,  
10    Sichuan  
11    University and Collaborative Innovation Center, Chengdu 610041, China.

12    <sup>c</sup> Reproduction Medical Center of West China Second University Hospital, Key  
13    Laboratory of Obstetric, Gynecologic and Pediatric Diseases and Birth Defects of  
14    Ministry of Education, Sichuan University, Chengdu 610041, China

15    <sup>d</sup> Education Key Laboratory of Cell Proliferation & Regulation Biology, College of  
16    Life Sciences, Beijing Normal University, Beijing 100875, China

17    <sup>#</sup> These authors contributed equally to this work

18    \*Correspondence should be addressed to: SuRen Chen: chensr@bnu.edu.cn; Ying  
19    Shen: yingcaishen01@163.com

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## 24 **Abstract**

25 Nonobstructive azoospermia (NOA) is an important cause of male infertility, and the  
26 genetic pathogenesis is still incompletely understood. The previous study reported  
27 that heterozygous mutation of c.346-1G>A in *SOHLHI* gene was identified in two  
28 NOA patients. However, in our research, this heterozygous mutation was confirmed in  
29 a Chinese infertile patient who was suffered from teratozoospermia, and intriguingly,  
30 a homozygous mutation of c.346-1G>A in *SOHLHI* gene was detected in another  
31 patient with severe oligozoospermia. Additionally, we correlated the good prognosis  
32 of intracytoplasmic sperm injection (ICSI) in the patient carrying the heterozygous  
33 mutation of c.346-1G>A in *SOHLHI* gene. Thus, we suggested that the heterozygous  
34 mutation of c.346-1G>A in *SOHLHI* may not be the direct genetic cause for NOA,  
35 and this homozygous mutation might impair spermatogenesis and further lead to the  
36 reduced sperm count and abnormal sperm morphology, eventually causing male  
37 infertility.

38 **Key words:** *SOHLHI*, heterozygous mutation, homozygous mutation, male  
39 infertility, variant screening, ICSI

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46 Infertility is one of the major issues affecting human health, and the pathogenesis is  
47 not completely veiled (Ji G et al., 2012). As whole-exome sequencing (WES) is being  
48 widely used, many genetic variations that are responsible for male infertility have  
49 been discovered. In 2010, Choi Y et al. reported the heterozygous mutation in  
50 *SOHLH1* gene (NG\_033784.1: c.346-1G>A) caused the original splicing site  
51 disruption and partial deletion within exon 4, thus generated a truncated bHLH  
52 domain and resulted in nonobstructive azoospermia (NOA) in two Korean patients  
53 (Choi et al., 2010). The authors also performed hematoxylin-eosin staining (H&E) to  
54 exam patient's testis and the result showed a severe dysfunction in spermatogenesis  
55 characterized by no spermatogonia, spermatocyte, spermatids, or mature spermatozoa.  
56 Therefore, the author assumed this heterozygous mutation in *SOHLH1* resulted in  
57 NOA due to the absence of normal spermatogenesis.

58 Interestingly, we found this heterozygous mutation in a Chinese interfile male (patient  
59 A) by WES (Figure 1a). This patient was not affected to NOA, whose sperm  
60 concentration and sperm count were normal, while almost all the sperm were  
61 immotile (Table 1). Particularly, most of the sperm from patient A had amorphous  
62 head and the anomies in flagella compared to the normal control by Papanicolaou  
63 staining (Figure 1b). Moreover, scanning electron microscopy (SEM) further

64 confirmed that the sperm of patient A had aberrant head including round-head,  
65 double-head, tapered-head, pyriform head and/or irregular head with short, bent,  
66 coiled and flagella (Figure 1c). Additionally, we analyzed the ultrastructure of the  
67 spermatozoa by transmission electron microscopy (TEM). TEM analysis represented  
68 that normal spermatozoa had proper ratio of head's length to width, and the 9+2  
69 structure (nine peripheral microtubule doublets and two central microtubules,  
70 surrounded by outer dense fiber and fibrous sheath) was integrated and regularly  
71 arranged. For patient A, we observed that sperm plasma membrane was swollen and  
72 damaged, cell nucleus contained vacuoles, and mitochondria was empty foamed,  
73 combined with an atypical 9+0 arrangement of axonemal microtubules in the sperm  
74 flagella (Figure 1d). According to these findings, we speculated that patient A was  
75 suffered from teratozoospermia but not NOA.

76 Remarkably, we detected a homozygous mutation of c.346-1G>A in *SOHLHI* gene in  
77 another Chinese patient B (Figure 1a). For this patient, his sperm count was extremely  
78 low. In addition, we found his sperm morphology was also abnormal. Though  
79 Papanicolaou staining, we observed deformities of head and flagella (Figure 1b).  
80 SEM and TEM further identified abnormality of sperm morphology and defective  
81 sperm ultrastructure (Figure 1c and d). Thus, patient B was affected to severe  
82 oligozoospermia with aberrant sperm morphology. Although c.346-1G>A in *SOHLHI*  
83 gene is a deleterious mutation which disrupted splice site, we have provided evidence  
84 that heterozygous c.346-1G>A in *SOHLHI* gene was not responsible for NOA, and  
85 homozygous mutation led to severe oligozoospermia.

86 Notably, due to the patient A showed the normal sperm count, ICSI would be a useful  
87 technology for conception. To date, no study has determined whether  
88 teratozoospermia patients with mutation in *SOHLHI* have a good prognosis following  
89 ICSI. The patient A, his wife had normal basal endocrine assessment and regular  
90 menstrual cycle. She underwent a long gonadotrophin-releasing hormone (GnRH)  
91 agonist protocol (Table 2). The couple was following one ICSI cycle, and 16 oocytes  
92 were retrieved after GnRH treatment. Then, 14 mature oocytes (metaphase II, M II)  
93 were successfully microinjected, and finally, 6 oocytes were normally fertilized (2PN/  
94 injected oocytes = 42.85%). Following the extend culture, we obtained 3 day-3 good-  
95 quality embryo (8-cell, GradeII) and 3 day-5 blastocysts (4BB,4BC,4BC).  
96 Subsequently, two 8-cell blastocysts were transferred into the uterus with no obvious  
97 complications (Table 2). This study first presented that teratozoospermia patient  
98 associated with *SOHLH* heterozygous mutation could be rescued by ICSI.  
99 *SOHLHI* is a transcription factor which specifically expresses in germ cells, and plays  
100 an important role in the development of spermatogenic cells through transcriptional  
101 regulation of downstream genes such as *SYCP1* (Ballou D et al., 2006; Li et al.,  
102 2019). Some researchers have focused on the relationship between *SOHLHI* and male  
103 infertility. *Sohlh1* knockout male mice (*Sohlh1*<sup>-/-</sup>) had a large number of apoptotic  
104 spermatocytes in the meiotic stage, resulting in failure of spermatogenesis and led to  
105 male infertility (Li et al., 2019). Similarly, our patient B carrying homozygous  
106 *SOHLHI* mutation showed the severe reduction in sperm number. Considering the  
107 low homology of *SOHLHI* gene in humans and mice

108 (<https://www.ncbi.nlm.nih.gov/homologene/>), it is reasonable that there are some  
109 differences in phenotypes between humans and mice with homozygous variation of  
110 *SOHLHI*, but both could contribute to a decrease in the number of spermatozoa.  
111 However, Li et al. didn't mention any sperm parameter about heterozygous male  
112 mice, and we speculate that heterozygous male mice had normal fertility thus could  
113 produce the homozygous mice. Moreover, our patient A with the heterozygous  
114 mutation showed the normal sperm number. All the observations might be explained  
115 that another normal allele of *SOHLHI* could totally translated into the intact protein  
116 and further performed normal biology functions, while the homozygous mutation led  
117 to the complete absence of SOHLH1 expression. Moreover, Song B et al. performed  
118 single nucleotide polymorphism (SNP) linkage analysis of *SOHLHI*, and discovered  
119 that there was no relationship between the rs558113 in *SOHLHI* gene and  
120 susceptibility to NOA in Chinese population, suggesting that heterozygous mutation  
121 in *SOHLHI* may not associated to NOA (Song et al., 2015). In addition, no evidence  
122 suggests the *SOHLHI* gene has haploinsufficiency (<https://clinicalgenome.org/>). More  
123 prominently, patient A in our study followed ICSI, and his wife became pregnant and  
124 had successful delivery, suggesting that patients with heterozygous c.346-1G>A  
125 mutation could provide sperm to complete fertilization. Actually, we didn't deny that  
126 heterozygous mutation of *SOHLHI* has a certain degree of contribution to male  
127 infertility, and it may combine with other gene mutations or factors to drive the  
128 occurrence and development of NOA, or epigenetic modifications affect the gene  
129 transcriptional expression level.

130 According to our evidences, the heterozygous mutation of c.346-1G>A in *SOHLI*  
131 was not the directly genetic cause for NOA. Due to the complexity of the  
132 spermatogenesis process, and the etiological factors of male infertility are intricate,  
133 we should be more cautious to identify the genetic cause on infertile patients and  
134 performed more functional experiments to constitute the relationship between  
135 genotype and phenotype, so that we can provide more strong and accurate evidence  
136 for clinical diagnosis.

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## 138 **Figure Legend**

139 Figure 1 The morphology and ultrastructure of spermatozoa from two patients. (a)  
140 Sequence chromatograms of two patients. Sanger sequencing confirmed the *SOHLI*  
141 mutation in the patients. The red arrow denotes the mutation site (NG\_033784.1:  
142 c.346-1G>A). (b) Papanicolaou staining of patients' sperm, most of which were  
143 amorphous head and irregular flagella. (c) The abnormal sperm phenotypes were  
144 observed in the patients by SEM. (d) TEM showed the abnormal ultrastructures of the  
145 head and flagella from the patients' spermatozoa.

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## 154 **CONFLICT OF INTEREST**

155 The authors declare no conflict of interests.

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## 157 **DATA AVAILABILITY STATEMENT**

158 The data that support the findings of this study are available from the corresponding  
159 author upon reasonable request.

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## 161 **WEB RESOURCE**

162 NCBI: <https://www.ncbi.nlm.nih.gov/homologene>

163 ClinGen: <https://clinicalgenome.org>

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