

Demographic history shapes genetic variability in cryptic fish species of high
ecologic and economic relevance

Jessika M. M. Neves^{1,*}, Zachary J. Nolen², Nidia N. Fabr  ¹, Tam   Mott^{1,  },
Ricardo J. Pereira^{2,  ,*}

¹Instituto de Ci  ncias Biol  gicas e da Sa  de, Universidade Federal de Alagoas, 57072-900,
Macei  , Alagoas, Brazil.

²Division of Evolutionary Biology, Faculty of Biology II, Ludwig-Maximilians-Universit  t
M  nchen, Grosshaderner Strasse 2, 82152 Planegg-Martinsried, Germany.

^{  }These authors contributed equally to this work

*corresponding authors: jessika.neves@icbs.ufal.br, ricardojn.pereira@gmail.com

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ABSTRACT

Human overexploitation of natural resources has placed conservation and management as one of the most pressing challenges in modern societies, particularly regarding highly vulnerable marine ecosystems. Although a large effort has been made to design Marine Protected Areas (MPAs) worldwide, it is still unclear how many species actually exist in these MPAs, what is the genetic connectivity between areas with different protective regimes, and what is their relative genetic diversity. We answer these questions using morphologically cryptic species of the genus *Mugil* that are sympatric in the largest MPA in the Tropical Southwestern marine province. Population structure analyses show the existence of five highly divergent species ($F_{ST} > 0.855$) and no genetic divergence between two estuaries with different protection status ($F_{ST} = 0.005$). Sympatric individuals are assigned to single clusters and show strong concordance among hundreds of independent gene trees, consistent with full reproductive isolation and no ancestral nor ongoing hybridization. Differences of genetic diversity within species suggest that effective population sizes differ up to two-fold, probably reflecting differences in the magnitude of population expansions during the evolutionary history of these species, rather than recent impact of fisheries. Together, our results suggest that designing MPAs with areas of integral protection in between areas where fisheries are permitted could be an effective way to manage cryptic species that cannot have species-specific quotas. More generally, this work shows a cost-efficient approach that is transferable to other marine or terrestrial organisms of special concern, helping to implement science-based regulations for management and conservation.

Keywords: Conservation, Evolution, Diversity, Demography, Cryptic Species, Grey Mulletts.

1 INTRODUCTION

Human overexploitation of natural resources has placed conservation and management as one of the most pressing challenges in modern societies. The heavy targeting by fisheries is one of the causes of more than 36% of decline in marine species and 81% of freshwater species during the last four decades (WWF, 2016). This has not only caused a direct decrease in fish stocks, but also an imbalance on ecosystem functioning, as fish species play an important role on fluxes of energy and matter between trophic levels and the environment (Holmlund & Hammer, 1999). Thus, understanding the temporal changes on the distribution of fish species, in their population size, and in the genetic connectivity between populations is fundamental for establishing strategies to protect biological diversity and the evolutionary processes that sustain it (Cook & Sgrò, 2019; Crandall, Bininda-Emonds, Mace, & Wayne, 2000; Moritz, 2002).

Addressing these gaps is particularly challenging in morphologically cryptic species because they are difficult to distinguish based on external morphology alone (Bickford et al., 2006), preventing straightforward assessment of biodiversity, and threatening fisheries sustainability (Garcia-Vazquez, Machado-Schiaffino, Campo, & Juanes, 2012; Lyon, Tonkin, Moloney, Todd, & Nicol, 2018). When such assessments are possible, difficulties in species identification further limit the implementation of protective measures by legislators and fishery managers. Studies integrating information from fast evolving mitochondrial genes are revealing an exponentially growing number of cryptic species, including in previously known tropical hotspots of biodiversity (Benzaquem, Oliveira, Da Silva Batista, Zuanon, & Porto, 2015; Carnaval, Hickerson, Haddad, Rodrigues, & Moritz, 2009) and in marine ecosystems (Asgharian, Sahafi, Ardalan, Shekarritz, & Elahi, 2011; Brandão et al., 2016). Although many cryptic species have allopatric distributions, many others are partially or fully sympatric

(McBride, Van Velzen, & Larsen, 2009; Moritz et al., 2018). This suggests that genetic and/or ecological differences maintain species boundaries, and thus that cryptic species play a meaningful role in the ecosystem. What is less clear is whether cryptic species are evolving in complete genetic isolation in sympatry or whether leaky reproductive barriers can lead to interspecific gene flow in parts of their genomes (Wu, 2001), possibly even reverting species formation (Seehausen, van Alphen, & Witte 1997; Taylor et al., 2006).

Despite major advances provided by mitochondrial studies in identifying cryptic species and mapping their distribution (Ward, Hanner, & Hebert, 2009), mitochondrial markers cannot be used to assess demographic processes relevant for conservation (Galtier, Nabholz, Glémin, & Hurst, 2009). Among other constraints, mitochondrial diversity can be biased by processes disproportionally affecting females (Prugnolle & de Meeus, 2002), by natural selection (Ballard & Whitlock, 2004), or by mitochondrial introgression between closely related species (Toews & Brelsford, 2012). It is thus necessary to use hundreds or thousands of independent nuclear markers to properly understand the evolutionary history of cryptic species, and better inform sustainable conservation measures (Allendorf, 2017; Grewe et al., 2015; Grundler, Singhal, Cowan, & Rabosky, 2019). Recent advances in sequencing technology and statistical methods now offer unprecedented opportunities for the field of conservation biology, providing new insights into the relative abundance of species (Hansson & Westerberg, 2008), genetic connectivity (Pedersen et al., 2018), and even in their adaptive genetic variation (Librado et al., 2017). Understanding these processes remains an important task in marine ecosystems where overfishing was found to be correlated with lower genetic diversity, which in turn can affect species' adaptation capacity (Pinsky & Palumbi, 2014).

To understand the evolutionary processes underlying the genetic diversity of cryptic marine fish of high ecological and commercial importance, we focus on a group of sympatric

84 and species of the genus *Mugil*, commonly known as mullets. These species have a global
85 distribution in tropical, subtropical and temperate waters (González-Castro & Ghasemzadeh,
86 2016), and are heavily targeted by traditional and industrial fisheries (Pacheco-Almanzar,
87 Ramírez-Saad, Velázquez-Aragón, Serrato, & Ibáñez, 2017; Whitfield, Panfili, & Durand,
88 2012), reaching about 140k tons in 2013 worldwide (Crosetti, 2016). *Mugil* species live in
89 fresh and brackish waters during most of their life cycle, migrating to the sea to reproduce
90 (Nordlie, 2016). Thus, they play a fundamental role in transferring energy between estuaries
91 and coastal systems (Lebreton, Richard, Parlier, Guillou, & Blanchard, 2011), helping in the
92 maintenance of biological productivity and, consequently, the yield of other fisheries. Several
93 *Mugil* species occur sympatrically in the Coral Coast, the largest Marine Protected Area
94 (MPA) of the Tropical Southwestern marine province (Souza, Batista, & Fabré, 2012).
95 Although sympatric species from this MPA are highly divergent (between 29 and 6 mya;
96 Neves, Almeida, et al., 2020) they are extremely challenging to identify based on external
97 morphology alone (Neves, Perez, Fabré, Pereira, & Mott, *in review*), leading to strong
98 disagreements among biologists regarding the number and distribution of species, and leading
99 to difficulty in establishing species-specific regulations by legislators and fisheries. Even
100 though the Coral Coast MPA is organized by zones with different management actions
101 (ICMBio, 2013), *Mugil* species are targeted by traditional fisheries throughout the MPA
102 without species-specific quotas. Nevertheless, data from the most commercially valuable
103 species (*M. curema* and *M. liza*) have shown decreases on census sizes in the last 25 years
104 (Mendonça & Bonfante, 2011; Sant’Ana et al., 2017; Vieira, Román-Robles, Rodrigues,
105 Ramos, & dos Santos, 2019). It is yet unclear whether current patterns of genetic diversity
106 reflect such recent human driven dynamics or older demographic history that could differ
107 among cryptic species.

108 Here, we use double-digest restriction of genomic DNA associated with high
109 throughput sequencing to genotype thousands of markers across nominal species of *Mugil* that
110 are sympatric in the Coral Coast MPA. We use population structure analyses to test how
111 many species exist in the MPA and whether there are barriers to gene flow between two
112 estuaries with different levels of protection. We use phylogenomic methods to estimate a
113 species tree and infer the degree of incomplete lineage sorting among species. Finally, we use
114 demographic analyses to estimate relative effective population size of each species and test
115 for demographic changes during their evolution. Our results provide new insights into the
116 evolution of these cryptic species and provide guidelines for sustainable management.

2 MATERIALS AND METHODS

2.1 Sampling

2.1.1 Specimen collection

We used 94 muscle tissue samples of *Mugil* species that occur sympatrically in the Coral Coast MPA (Fig. 1): 14 *Mugil liza*, 16 *Mugil brevirostris*, 17 *Mugil rubrioculus*, 17 *Mugil curema* and 30 *Mugil curvidens*, all assigned based on a diagnostic COI barcoding gene (Table S1). Importantly, a previous study integrating mitochondrial and morphometric data has shown some disagreement between these alternative categorization methods, suggesting either low informativity of morphologic traits used by taxonomic keys, or interspecific hybridization (Neves, Perez, et al., *in review*). This is the case of two individuals included in this present study that were mitochondrially classified as *M. curema* but that have the morphological traits of *M. incilis*.

While most specimens were collected in a partial reserve of the MPA where traditional fisheries exploit *Mugil* without restrictions (Santo Antonio estuary), 15 of the 30 individuals of *Mugil curvidens* were collected in an estuary 38km away where fisheries have some restrictions to protect manatees populations (Manguaba estuary; Fig. S1). We include this population to test if populations from different estuaries function as a single panmitic population, or if some barrier to gene flow exists between locations.

2.1.2 Genomic sequencing

Tissue samples were sent to DArT™ (Diversity Array Technology), who performed DNA extraction, tested two combinations of enzymes (PstI/HpaII and PstI/SphI), performed high-throughput sequencing, assembled the loci, and called genotypes for the 94 individuals.

Based on a subset of 8 samples from 4 species, we chose to genotype all samples for the enzymes PstI/SphI, since this combination showed the highest reproducibility (Table S2).

Each individual is characterized by an array of SNPs, where 0 is homozygous for the major allele, 1 is heterozygous, 2 is homozygous for the minor allele, and - represents missing data. Each SNPs is characterized by: reproducibility (proportion of technical replicate assay pairs for which the marker score is consistent), call rate (the proportion of individuals scored for that locus), and polymorphism information content (PIC: index for evaluating the informative extent of a SNP marker, varying between zero for no allelic variation and 1.0 for maximum allele variation).

Because focal species have diverged between ~29 to ~6 million years ago (Neves, Almeida, et al., 2020) and some have diverged in chromosome number and structure (Galetti Jr., Aguilar, & Molina, 2000; Nirchio, Cipriano, Cestari, & Fenocchio, 2005; Nirchio et al., 2017; Rossi, Gornung, Sola, & Nirchio, 2005), it is possible that the restriction enzymes will not cut the same genomic regions across species. We test for biases on the distribution of missing data by plotting the missing data per individual and the call rate per species, using the package dartR (Gruber, Unmack, Berry, & Georges, 2018) in R software.

2.1.3 Data filtering

We used the package dartR (Gruber et al., 2018) to filter the data and to produce the input files for all downstream analyses. We retained the SNPs with the following criteria: 1) SNPs with reproducibility above 97%, to avoid genotyping error; 2) one SNP per locus favoring higher PIC values, to assure statistical independence among SNPs; 3) SNPs that are in Hardy-Weinberg equilibrium, to satisfy a central assumption of population genetic

methods; and 4) loci with trimmed sequence tags that are distinct enough to avoid paralogous loci.

Because missing data was not equally distributed among species (Fig. S2), for performing comparative analyses across species we built data sets with three stringency levels for the 6 *Mugil* species (hereafter “6sp”): “0MD”, the most stringent filtering without missing data using a call rate of 100%; “20MD”, a conservative filtering allowing for a maximum of 20% missing data using a call rate of 80%; and “40MD”, a less stringent filtering allowing for a maximum of 40% missing data using a call rate of 60%. Because *M. liza* contained most of the missing data (Fig. S2), we repeated this process excluding *M. liza* (“5sp”), without missing data. This resulted in 4 data sets for studies of genetic variability between species: 6sp_0MD, 6sp_20MD, 6sp_40MD, 5sp_0MD.

For studies of genetic variability within species, we have produced datasets following the criteria described above for no missing data. This resulted in 5 species specific datasets: *liza*_0MD, *brevirostris*_0MD, *rubrioculus*_0MD, *curema*_0MD, and *curvidens*_0MD. The individuals of *M. incilis* were found to belong to *M. curema* and therefore were included in that species dataset (see Results; Fig. 2).

For analyses that also require invariable sites (“inva”), we produced a data set including 5 species supported by our genetic data, where all variable and invariable sites are present (skipping criterium 2 above), and randomly assigning the heterozygous sites; 0MD_inva.

All filtered data sets and respective analyses are summarized in Table S3.

2.2 Analyses

2.2.1 Population structure

In order to assess how many evolutionary lineages compose our sampling, we performed two analyses of population structure using the 4 comparative data sets, without *a priori* information on grouping of the individuals.

First, we performed a Principal Coordinates Analysis (PCoA) to visualize how the genetic variance is distributed among samples based on presence or absence of alleles, using the package *dartR* (Gruber et al., 2018) in the R software. Second, we estimated the number of genetic clusters and tested if there is ongoing hybridization between them, based on Hardy-Weinberg equilibrium. We used *STRUCTURE* v.2.3.4 (Pritchard, Stephens, & Donnelly, 2000) to assign individuals to one or more K ancestral populations, varying K between 1 and 10, with 5 replicates for each K. We considered 10k iterations as burn-in, 10k MCMC steps, independent allelic frequencies, and no prior on the assignment of individuals. We chose the most likely K based on log-likelihood values (Pritchard et al., 2000). The graphic output was built using *Clumpak* (Kopelman, Mayzel, Jakobsson, Rosenberg, & Mayrose, 2015). We repeated this second test for the data set with *Mugil curvidens* (*curvidens_0MD*) to test whether there is population structure between the two estuaries.

For both analyses, we expect that individuals will be assigned either to 6 clusters, as suggested by the taxonomic key based on external morphology, or in 5 clusters, as suggested by the mitochondrial clades (Table S1; Neves, Perez, et al., *in review*). If species hybridize in sympatry, we expect that individuals sampled in Santo Antonio estuary will be assigned to more than one cluster.

2.2.2 Phylogenetic relationships

We evaluated phylogenetic relationships among species based on our genomic data, both using phylogenomic and population genomic methods, that differ in their assumptions.

First, we built a Maximum Likelihood (ML) phylogenetic tree describing the relationships between all the 94 individuals, without an *a priori* classification of these into species. We used the comparative data sets of the 6 species (6sp_0MD, 6sp_20MD, and 6sp_40MD) to produce a fasta alignment containing a concatenation of all loci, with a random allele in heterozygous sites, using dartR. We used RAxML v.8 (Stamatakis, 2014) through CIPRES gateway (Miller, Pfeiffer, & Schwartz, 2010) to perform 1,000 bootstrap replicates (bs), with GTR+GAMMA model and calculate a consensus tree according to majority rule. We visualized the ML tree using FigTree v.1.4.4 (<http://tree.bio.ed.ac.uk/software/figtree/>), rooting the tree with *M. liza* (Neves, Almeida, et al., 2020). We expect individuals of the same species to form monophyletic clades, either with individuals morphologically classified as *M. incilis* nested within the clade of *M. curema*, as found in mitochondrial DNA, or forming their own clade, if previous results are driven by mitochondrial introgression.

Second, we built a coalescent species tree describing the relationships between the species included in our sampling. Using SNAPP (Bryant, Bouckaert, Felsenstein, Rosenberg, & Roychoudhury, 2012), we estimated a gene tree for each independent SNP and a single species tree allowing for incomplete lineage sorting. We used the most stringent data sets without missing data (6sp_0MD) to generate a nexus alignment where individuals were grouped *a priori* according to the 5 genetic clusters inferred by our population structure analyses (Fig. 2). This analysis was performed in Beauti and Beast software v.2.5.2 (Bouckaert et al., 2019), using the following parameters: 1 million MCMC, recorded every 1,000 generations, and two replicated runs to assess convergence. All the other parameters were set as default. LogCombiner v.2.5.2 was used to combine the two generated trees, and TreeAnnotator v.2.5.2 was used to generate a maximum clade credibility tree and access

posterior probabilities values (PP) of every node. The species tree was visualized in DensiTree v.2.0 and maximum clade credibility tree was visualized in FigTree.

2.2.3 Genetic variability

We estimated genetic variability between and within species. To assure an unbiased comparison among species, we used the comparative data set without missing data (6sp_0MD).

First, we estimated genetic differentiation between all pairwise comparisons of species, using the fixation index F_{ST} (Wright, 1943), as implemented in dartR. We grouped the individuals into species and considered the two sampling locations of *M. curvidens* as separated populations. Second, we estimated several indices of genetic variability within each species, as a proxy for relative differences in effective population size (N_e). Using the dartR package and the dataset 0MD_inva, which allowed more than one SNP per loci, we estimated expected heterozygosity (H_e) and observed heterozygosity (H_o). Using the DNAsp software v.6.12.03 (Rozas et al., 2017) and the dataset including invariable sites (0MD_inva), we estimated nucleotide diversity (θ and π), number of singletons, and departures from demographic stability with Tajima's D. Because $\pi = 4 N_e \mu$, where μ is the mutation rate per nucleotide site per generation, ratios of diversity indexes calculated from the same loci directly reflect relative N_e . For visualization purposes, all the indices of diversity within species (H_e , H_o , θ and π) were divided by the diversity of *M. brevirostris*, which showed the lowest diversity, thus reflecting N_e of each species relative to the N_e of *M. brevirostris*.

2.2.4 Demographic history

We inferred the demographic history that better explains the observed patterns of genetic variability within each of the five species specific datasets.

We use the diffusion approximation methods implemented in DaDi (Gutenkunst, Hernandez, Williamson, & Bustamante, 2009) to simulate patterns of intraspecific genetic variability under 4 demographic models of increasing complexity: 1) a *neutral* model, assuming a constant population size; 2) a *two-epoch* model, describing an instantaneous change in population size (N_{e1}) at a certain time (T_1); 3) a *bottlegrowth* model, describing an instantaneous size change similar to the previous one, but followed by a period of continuous population size change to the present size (N_{e2}); and 4) a *three-epoch* model, describing two instantaneous size changes at times T_1 and T_2 . To apply these models to our observed data, we converted our data sets into a VCF file using the radiator package (Gosselin, 2017), and further into the DaDi's format (.snp) using the python script available at https://github.com/CoBiG2/RAD_Tools/blob/master/vcf2DaDi.py. To explore the parameter space properly while assuring convergence, we used the following parameters: initial values of 1 for N_e and of 0.5 for T , lower boundaries for N_e and T set at 0.001, and upper boundaries for N_e set at 100. We used several rounds of parameter optimizations, using the highest likelihood parameters of the previous round as the initial parameters of the next (Portik et al., 2017). We replicated this approach three times per species and model combination to ensure convergence on model selection and parameter estimation. To select the most likely demographic model for each species while accounting with the different number of parameters of the 4 models, we used the Akaike's information criterion (AIC) (Link & Barker, 2006). Standard deviation for each parameter was calculated through Fisher information matrix (Coffman, Hsieh, Gravel, & Gutenkunst, 2016).

3 RESULTS

3.1 Data filtering

Our raw data (Fig. S2) was composed by 55,507 loci of ~69bp with 71,585 SNPs. We observed a large amount of missing data (53%) that is not homogeneously distributed across species. By plotting the call rate of all loci by species (Fig. S3) we consistently observed bimodal distributions, showing that loci are either always or never called across individuals of the same species, and thus genotyping is highly consistent for individuals of the same species. The most divergent species, *Mugil liza*, shows the largest amount of missing data (85%), followed by *M. curema* (72%). This phylogenetic signal on the distribution of missing data is consistent with the chosen enzymes not cutting highly divergent genomes, rather than a methodological error.

Considering the 6 nominal species (i.e. in the 6sp data sets), we found 7,762 SNPs with 40%MD, 3,508 SNPs with 20%MD and 987 SNPs with 0%MD (Fig. S4). By removing *Mugil liza* (i.e. in the 5sp_0MD), the number of SNPs increases more than two-fold (2,332 SNPs).

When considering each species separately, the number of SNPs is still considerably large, despite allowing for no missing data: 555 SNPs for *M. liza*, 756 for *M. brevirostris*, 1,389 for *M. rubrioculus*, 918 for *M. curema*, and 3,240 for *M. curvidens*.

When considering all sites with 0% MD, including invariable sites and multiple SNPs per locus, our alignment has 82,148 bp and 1,293 SNPs.

3.2 Analyses

3.2.1 Population structure

The first four dimensions of the PCoA using the comparative data set without missing data (6sp_0MD) explained 95.3% of the data variability (Fig. 2A). In general, individuals

from the same species strongly overlap with each other and there is no overlap of individuals from different species. The exceptions are the two localities of *M. curvidens*, which completely overlap with each other, and the 2 individuals of *M. incilis*, which overlap with *M. curema*. PC1 explains 41% of the variance, reflecting a strong separation of *M. liza* and all other species. PC2 explains 27.5% the variance, with *M. curvidens* and *M. brevirostris* in each extreme. PC3 explains 18% the variance, with *M. curema* and *M. curvidens* in each extreme. PC4 explains 8.8% the variance, further separating *M. brevirostris* and *M. rubrioculus*. Allowing for missing data did not change these results (Fig. S5).

In agreement, our STRUCTURE analyses show that the sampled individuals are assigned to five well-differentiated clusters, with the highest likelihood values at K=5 (Fig. 2B; Fig. S6). The clusters correspond perfectly with the five mitochondrial lineages, with the 2 individuals morphologically classified as *M. incilis* being assigned to the *M. curema* cluster. The two populations of *M. curvidens* are assigned to the same cluster. Our results show no sign of ongoing hybridization, as every individual is entirely assigned to a single cluster. These results remained constant when performing the same analysis without *M. liza* (2,332 SNPs, Fig. 7A) or when only considering *M. curvidens* (3,240 SNPs, Fig. S7B).

3.2.2 Phylogenetic relationships

Our ML tree (Fig. 3A) recovered five well supported clades (bs= 100), with a consistent topology to what was previously described using a fragment of the mitochondrial COI gene (Neves, Perez, et al., in review). The *M. curvidens* individuals sampled in the Manguaba and Santo Antonio estuaries form a single clade, suggesting no population-level divergence. Also, in agreement with mtDNA, the two individuals morphologically identified as *M. incilis* nested within the clade of *M. curema*, being sister of *M. curvidens*. *Mugil brevirostris* is sister of *M.*

rubrioculus, having the shortest branch lengths between species. The analysis adding missing data showed the same topology (Fig. S8).

Our estimated species tree (Fig. S9) showed the same topology and relative branch lengths of the ML tree, with the two most recent splits between species being well supported ($pp > 0.99$) and the basal split between *M. brevirostris* + *M. rubrioculus* and *M. curema* + *M. curvidens* being less supported ($pp = 0.49$). The large majority of SNPs follow the same topology of the species tree. There is no gene tree discordance at the two most recent splits, and there is a small fraction of genes that follow two alternative topologies at basal splits (Fig. 3B).

3.2.3 Genetic variability

Our measures of genetic variability between populations showed extremely high F_{ST} values between the 5 species identified by our population structure analyses (Table 1), showing that most SNPs are fixed between species. The minimum differentiation was observed between *M. brevirostris* and *M. rubrioculus* ($F_{ST} = 0.855$), and the maximum was between *M. liza* and *M. brevirostris* ($F_{ST} = 0.951$). Again, the individuals morphologically assigned to *M. incilis* are genetically identical to *M. curema*, with a F_{ST} of 0.025, despite the low sample size of *M. incilis* that could lead to an inflation of estimated F_{ST} . The two sampling localities of *M. curvidens* are also genetically identical, with a F_{ST} of 0.005.

Our estimated levels of genetic variability within species showed consistent patterns across summary statistics (Table S4). *M. brevirostris* consistently showed the lowest values across all diversity indexes, while *M. liza* showed the highest diversity, except for observed heterozygosity (Fig. 4A). All species show a negative Tajima's D, reflecting an excess of singletons across all populations (Fig. 4B). According to Tajima's D estimations, only *M.*

curvidens show a significant deviation from the neutral expectations of a population with a constant N_e ($p < 0.05$).

3.2.4 Demographic history

All species rejected the *neutral* model of constant population size, in favor of two similar models showing a recent range expansion: *two-epoch* and *bottlegrowth* (Fig. 4C). The comparisons of observed and simulated SFS under each demographic scenario (Fig. S10) showed that we observe an excess of singletons and a deficit of low frequency SNPs across all species, when assuming a constant effective population size. The fit of the SFS is resolved by including an instantaneous change in effective population size (N_{e1}) at time $T1$ for all species, with an additional continuous change in population size (N_{e2}) for *M. brevirostris*, *M. curema*, and *M. curvidens*. The AIC weights (Fig. 4C, Table S5) support these two simpler models showing a change in effective population size and show no support for a more complex model of two changes in N_e (*three-epoch* model). The parameter estimations for the model with the best fit always show an increase of current N_e across species relative to ancestral N_e (Table S6); between 2-fold for *M. liza* and 10-fold for *M. brevirostris* and *M. curvidens*.

4 DISCUSSION

Numerous efforts have been made to protect and manage species of high economic and ecologic importance. Yet, designing sustainable and science-based measures for managing wild populations requires a fundamental knowledge on the number of species, their genetic connectivity, relative abundance, and demographic history. This is particularly challenging in morphologically cryptic species such as the *Mugil* fishes, which are heavily targeted by fisheries and play an essential role in the ocean carbon cycling. Here, we use a genomic approach to resolve these evolutionary questions and inform measures for managing these species in the largest Marine Protected Area (MPA) in the Tropical Southwestern Atlantic province.

4.1 Five species of *Mugil* occur in sympatry and show high vagility

The number of species of *Mugil* that are sympatric in the Coral Coast MPA in Brazil has been debated (Fig. 1) (Barletta & Dantas, 2016; Menezes, De Oliveira, & Siccha-Ramirez, 2015). A previous study reported that all specimens morphologically assigned to *M. incilis* had the mitochondrial lineage of *M. curema*, among other discordances (Table S1; Neves, Perez, et al., *in review*), suggesting the absence of this species in the area or mitochondrial introgression. Testing the number of independent evolutionary lineages in this highly cryptic species complex required extensive sampling of the nuclear genome, as presented in this study.

Our population structure analyses conclusively show that all sampled specimens belong to five well defined genetic clusters (Fig. S6), irrespective of the amount of missing data allowed (from 2,332 to 987 SNPs; Fig. S7A), and of the assumptions of the clustering method (Fig. 2). These clusters align perfectly with the barcoding gene COI (Table S1), showing that

this gene can reliably distinguish between the five cryptic species that cannot always be differentiated using external morphological data. Importantly, the two individuals morphologically assigned to *M. incilis* are entirely assigned to *M. curema*, ruling out the hypothesis of mitochondrial introgression through hybridization, and conclusively reducing the number of species in the study area to 5: *M. liza*, *M. brevirostris*, *M. rubrioculus*, *M. curema* and *M. curvidens*.

Our results also show that there is no population structure in *M. curvidens* sampled in the two estuaries. This result is robust to a 2-fold increase of the number of SNPs by considering this species alone (Fig. S7B). This shows that the heterogeneous habitat in these 38 km are not enough to restrict gene flow and that the areas with different conservation regimes harbor a single meta population of *M. curvidens*. Although this result is perhaps not surprising because adults of *Mugil* species migrate long distances along the shoreline (Livi, Sola, & Crosetti, 2011), and because dispersal also occurs passively through pelagic eggs and larvae (Livi et al., 2011; Whitfield et al., 2012), this hypothesis had not been tested at the genomic level in *Mugil*. Such level of genetic connectivity across heterogeneous habitats contrasts with genomic studies in other marine species (Hauser & Carvalho, 2008; Riginos & Nachman, 2001; Selkoe et al., 2016), and has relevant implications for conservation, as discussed below.

4.2 *Mugil* species show complete reproductive isolation despite full sympatry

According to a mitochondrial study (Neves, Almeida, et al., 2020), these focal species of *Mugil* have diverged between ~29 mya, when *M. liza* split from the remaining species, and ~6 mya, when *M. brevirostris* and *M. rubrioculus* diverged. Despite such a long time since initial divergence, it has not been tested if these lineages are reproductively isolated from each other in areas of sympatry.

Our assignment tests show that every individual is assigned to a single cluster, irrespective of the stringency of the filtering (Fig. 2B, Fig. S7A). Importantly, every individual that showed a mismatch between the morphological and mitochondrial assignments (Table S1) is also assigned to a single cluster (probability of assignment > 0.99). This shows that sympatric species of *Mugil* show no ongoing hybridization in sympatry.

Our maximum likelihood phylogenetic analysis using concatenated loci (Fig. 3A) shows a topology and branch lengths that is in large agreement with that previously estimated from mitochondrial loci (Neves, Almeida, et al., 2020), irrespective of the missing data allowed (Fig. S8). This tree shows that *M. brevirostris* and *M. rubrioculus* are the species pair that diverged most recently, followed by the split between *M. curema* (which includes the “*M. incilis*” individuals discussed above) and *M. curvidens* (which includes both sampling localities). Interestingly, most SNPs are fixed among all species pairwise comparisons, reflected in the extremely high values of fixation indices among the 5 species or clades (Table 1), and consistent with old divergence without gene flow.

By using a coalescent approach that co-estimates independent gene trees of each independent SNP and the species tree that each is embedded in (Razkin et al., 2016), we can infer the number of topologies that disagree with the species tree. Our results show that the vast majority of the gene trees agree with the species tree (Fig. 3B), particularly at the two most recent species splits described above. A small fraction of two alternative topologies reflect disagreements in the deeper nodes of the tree that a previous time calibrated tree have dated between ~29 and ~23 mya (Neves, Almeida, et al., 2020). Given the age of these nodes, no evidence for shared variants between more closely related taxa (Table 1), and no ongoing admixture between any species (Fig. 2B), we interpret this small fraction of disagreement as reflective of incomplete lineage sorting rather than gene flow.

Together, our results show that these five species of *Mugil* have experienced a long period of independent evolutionary history, with reduced levels of incomplete lineage sorting and no ongoing hybridization. Although these species lack any geographic barriers, use the same macrohabitat and are morphologically similar, several studies of *Mugil* species have shed some light on possible reproductive barriers that might contribute to the strong reproductive isolation reported here. Although these species occur mostly in sympatry, previous studies have shown that they differ in spawning time and habitat preferences (Albieri, Araújo, & Uehara, 2010; Mai, dos Santos, Lemos, & Vieira, 2018), possibly constituting a behavioral isolation barrier. Diet studies have shown that sympatric species of *Mugil*, although all limno-benthophagous, can present differential particle size selection to avoid competition (LeLoc'h, Durand, Diop, & Panfili, 2015), possibly constituting an ecological isolating barrier. Furthermore, cytogenetic studies have shown that some of these species differ in the number and arrangement of chromosomes (Harrison, Nirchio, Oliveira, Ron, & Gaviria, 2007; Nirchio et al., 2017; Rossi et al., 2005), possibly constituting a genetic isolating barrier. Although the relative contribution of these barriers has not been tested, our results show that they result in complete reproductive isolation between sympatric *Mugil* species.

4.3 Species differ in their relative abundance and demographic expansion

Information regarding population size change is fundamental for understanding the evolutionary history of a species and to delineate conservation strategies (Dussex & Robertson, 2018; Ramakrishnan, Hadly, & Mountain, 2005). Determining the effective population size (N_e) is a good alternative for inferring census population size because it can be estimated from genetic data and can inform us about the demographic history of the

species over their evolutionary time, helping to evaluate the probabilities of extinction or maintenance of the populations (Dussex & Robertson, 2018; Wang, Santiago, & Caballero, 2016).

Using genomic data we show that, according to every diversity index, *M. brevirostris* has the lowest diversity, reflecting the smallest effective population size of the five species (Table S4). *Mugil liza* has the highest diversity in H_e , θ and π , corresponding to twice the N_e of *M. brevirostris* (Fig. 4A). Considering these indices, *M. rubrioculus*, *M. curema* and *M. curvidens* have intermediate intraspecific diversity, corresponding to ~ 1.3 to 1.7 times the N_e of *M. brevirostris*.

Our demographic analyses are consistent with a strong demographic expansion over the evolutionary history of all species. We found a large number of singletons that are reflected in negative Tajima's D (Fig. 4B). Considering Tajima's D , only *M. curvidens* shows significant departures from the neutral expectation of a constant population size ($p < 0.05$). However, our demographic modelling conclusively shows that none of the five species have had stable effective population sizes and are indeed expanding. According to the weighted AIC values (Fig. 4C), the neutral demographic model was rejected for every species in favor of two similar models allowing a single change of N_e over time: a sudden change of N_e (*two-epoch* model) for *M. liza* and *M. rubrioculus*, and an incremental change of N_e (*bottlegrowth* model) for *M. brevirostris*, *M. curema*, and *M. curvidens*. Although for all species the observed patterns of variability are strongly different from those expected under constant N_e , they fit very similarly to the variability simulated under these two models (Fig. S10), reflecting in similar uncorrected AIC values (Table S5) and thus caution is needed in trying to distinguish between such similar models. Regardless of the model, our demographic analyses always infer a large expansion of N_e relative to the ancestral, between 2.7-fold for *M. liza* to 10-fold

increase for *M. curvidens* and *M. brevirostris* (Table S6). The most complex model (three-epoch) did not improve the fitting of the observed data after penalizing for the extra parameter and therefore was not supported for any species.

Taken together, our results show that all focal species of *Mugil* have experienced a demographic expansion over their evolutionary history, probably reflecting post-glacial demographic expansions that characterized most terrestrial and marine organisms (e.g. Alexandri et al., 2012; Kuchta & Tan, 2005; Silva, Horne, & Castilho, 2014). Although N_e is not similar across species, this measure reflects effective population size averaged over evolutionary time and thus is affected by historical demography. Our results show that the magnitude of the demographic expansion was likely much stronger in *M. curvidens* and *M. brevirostris*, suggesting that historically these species had a much smaller effective population size than they have today, strongly determining their current genetic diversity. Genomic studies in other fish species of high ecologic and economic interest have found similar demographic scenarios of a bottleneck followed by an expansion (e.g. in the Atlantic herring (Barrio et al., 2016)), or a simpler expansion model (e.g. in the North American Lake Whitefish (Rougeux, Bernatchez, & Gagnaire, 2017)). This suggests that current patterns of genetic diversity in wild populations are highly determined by historical changes in N_e associated with the Quaternary ice age, and thus future studies of genetic diversity in protected areas must consider the effect of these important historical events.

4.4 Implications for conservation

Human overexploitation of natural resources has placed conservation and management of natural resources as one of the most pressing challenges in modern societies, particularly for highly vulnerable marine organisms. Although governmental institutions and legislators

are willing to implement new science-based regulations, we lack a fundamental knowledge on the number of species and an estimation of the relative abundance of each species, both largely unknown in tropical regions, particularly in cryptic species. Mugilidae are the main fish target in tropical artisanal fisheries and these exploit a higher species diversity relative to industrial fisheries (Batista, Fabré, Malhado, & Ladle, 2014). Our results on *Mugil* fishes from the largest MPA in Brazil provide important guidelines for conservation and for the sustainable management of tropical mullets' fisheries.

Our results revealed the presence of five *Mugil* species, showing that *M. incilis* is absent from this MPA, in agreement with a previous study based on morphological and mitochondrial data (Menezes et al., 2015). This implies that conservation efforts inside the Costa dos Corais MPA should focus only on five *Mugil* species and that *M. incilis* has a more restricted distribution than previously thought in northern waters.

Currently, fisheries in northeastern Brazil report capture of all *Mugil* species under the same category (9,219.5 tons in 2007; IBAMA, 2007) and thus it remains unclear how the five sympatric species of this MPA are differently targeted by fisheries. Since commercial value is largely determined by body size, *M. liza* is expected to be the most targeted species, followed by *M. curema* and *M. rubrioculus*. Although *M. brevirostris* and *M. curvidens* are not particularly targeted, bycatch due gillnets of varied mesh sizes (Torres et al., 2007) leads to an impact in these species. Irrespective of their unknown current census population sizes, our results suggest that these species do not have similar effective population sizes. Surprisingly, the most targeted species, *M. liza*, shows the largest N_e , and the least targeted species, *M. brevirostris*, shows the smallest N_e . However, these results must not be interpreted as supportive of unregulated fisheries, as we show that none of these species has had stable population sizes over time, and thus current levels of genetic diversity likely reflect

demographic change over thousands of years, well before human impact in this region. Recent studies using data of fishing effort and catch per unit effort showed a reduction of stocks of *M. liza* and *M. curema* in the South-eastern Brazil due to fisheries exploitation (Mendonça & Bonfante, 2011; Sant’Ana et al., 2017; Vieira et al., 2019). Future studies of fishing effort through landing monitoring and historical data (Verba, Pennino, Coll, & Lopes, 2020) or paleogenomic studies using time series (Dehasque et al., 2020; Fages et al., 2019) may infer how such populations have responded to the impact of fishing at a more proximal temporal scale. Such studies are particularly challenging in morphologically cryptic species such as *Mugil* sp., yet our finding of a large agreement between species assignment using thousands of genomic markers and using a 569 bp fragment of a COI gene show that this marker provides a cost-effective tool for future monitoring studies that can be scaled up to monitor fisheries bycatch.

Lack of population structure between the two estuaries suggests that short distance (38km) is not enough to prevent gene flow in *M. curvidens*, despite the ecological heterogeneity of the habitat in between. This species has the most restrictive distribution (Barletta & Dantas, 2016), and presumably the lowest dispersal abilities of our focal species. Thus, finding no population differentiation in this species ($F_{ST} = 0.005$) is a conservative proxy for the genetic structure expected in the other co-distributed species that have broader distribution and higher dispersal capabilities. This finding implies that designing MPAs with areas of integral reserve interposed by areas where fisheries are allowed could maintain gene flow along the ecosystem and thus maintain stable populations of these species. Taking into consideration the practical difficulties of identifying *Mugil* species at fishing landings and establishing fishing quotas, such a design of MPAs can be an effective way to preserve species that cannot be accurately identified in the landing area without genetic data, and

where the establishment of species-specific quotas is not a viable solution. With this information it is possible to direct a science-based management plan to guarantee the maintenance of *Mugil* and co-distributed species in a sustainable way.

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Table 1. Genetic differentiation (F_{ST}) of *Mugil* species estimated with 6sp_0MD (987 SNPs).

	<i>M. liza</i>	<i>M. brevisrostris</i>	<i>M. rubrioculus</i>	<i>M. incilis</i>	<i>M. curema</i>	<i>M. curvidens</i>	SA
<i>M. brevisrostris</i>	0.951						
<i>M. rubrioculus</i>	0.94	0.855					
<i>M. incilis</i>	0.936	0.939	0.907				
<i>M. curema</i>	0.944	0.933	0.909	0.025			
<i>M. curvidens</i> _SA	0.941	0.922	0.891	0.891	0.89		
<i>M. curvidens</i> _MB	0.947	0.931	0.902	0.911	0.902	0.005	

SA – individuals sampled at Santo Antonio estuary;
MB – individuals sampled at Manguaba estuary.

DATA ACCESSIBILITY

All individuals sampled for this study were deposited in the ichthyology collection of the Alagoas university; Voucher number, sampling locations, morphological data and COI Genbank accession numbers are listed in Table S1. All the raw genomic data, filtered data sets, and infiles for all the analyses were deposited in Dryad doi:10.5061/dryad.ngf1vhhr6.

AUTHOR CONTRIBUTION

The project was conceived by TM and RJP. The data was produced by JMMN and analyzed by JMMN and ZJL. The results were interpreted by JMMN, ZJN, TM, and RJP. The first version of the manuscript was drafted by JMMN and RJP, and all remaining coauthors contributed to the final version.

FIGURE LEGENDS

Figure 1. Distribution of the six species of *Mugil* fishes that are potentially sympatric at the Coral Coast MPA (following Barletta & Dantas (2016) and Durand & Whitfield (2016)).

Dashed pink line indicates the area where the occurrence of *M. incilis* has been debated (Menezes et al., 2015). Dashed black line indicates that individuals of all species except *M. brevisrostris* may occur. (*M. incilis* photo by A. Carvalho).

Figure 2. Population structure analyses performed with 94 *Mugil* individuals and the dataset with 0% missing data (987 SNPs). A) PCoA analysis; B) Structure analysis. In both analyses, individuals morphologically identified as *Mugil incilis* belong to the same evolutionary lineages as *M. curema* individuals, and there is no sign of population genetic structure between *M. curvidens* sampled at the two estuaries (SA – Santo Antonio; MB – Manguaba).

Figure 3. Phylogenetic history of *Mugil* species. A) Maximum Likelihood phylogeny; numbers on nodes represent bootstrap support. B) Coalescent species tree; cloudogram representing the individual trees of 987 independent SNPs with colors related to alternative topologies; the rare cases of deep coalescence were cut off.

Figure 4. Demographic history of the 5 *Mugil* species. A) Relative effective population size according to diversity indexes relative to *M. brevisrostris*: expected heterozygosity (H_e), observed heterozygosity (H_o), θ and π . B) Departures from a demographically stable population according to demographic indexes: singletons and Tajima's D. C) Weighted support for alternative demographic models according to Akaike's information criterion (AIC).

SUPPLEMENTARY FIGURES

Figure S1. Sampling sites (red dots). Tropical Southwestern Atlantic marine province is highlighted in inset map.

Figure S2. Raw data. Lines represent the 94 *Mugil* individuals, and columns represent the 71,595 SNPs before filtering. SA – individuals sampled at Santo Antonio river; MB – individuals sampled at Manguaba river.

Figure S3. Histogram of call rate of all loci in each *Mugil* species. The bi-modal distributions show that loci are either always or never called across all individuals of the same species.

Figure S4. SNPs after filtering considering three levels of maximum missing data (MD) per locus. From the top to the bottom: 40% missing data resulted in 7,762 SNPs, 20% missing data resulted in 3,508 SNPs, and 0% missing data resulted in 987 SNPs. SA – individuals sampled at Santo Antonio river; MB – individuals sampled at Manguaba river.

Figure S5. PCoA analysis considering a maximum of 20 and 40% of missing data.

Figure S6. Log-likelihood plot of Structure analysis using the dataset with 0% missing data (987 SNPs). The Log-likelihoods were highly consistent across all replicates, showing a peak at $K=5$.

911 **Figure S7.** Structure analyses considering two partitions of the data. A) Analysis performed
912 with 80 *Mugil* individuals (excluding *M. liza* lineage) and a dataset with 0% of missing data
913 (2,332 SNPs). It is evidenced the presence of four homogeneous lineages, where the
914 individuals morphologically identified as *M. incilis* belong to the same evolutionary lineages
915 as *M. curema* individuals. B) Analysis performed only with 30 individuals *M. curvidens*
916 individuals sampled at two different rivers (Santo Antonio, SA, and Manguaba, MB)
917 considering 0% of missing data (3,240 SNPs). There is no sign of population genetic structure
918 between the two rivers at K=2.

919 **Figure S8.** Maximum likelihood phylogenetic tree performed with 1,000 bootstrap replicates
920 (bs) and GTRGAMMA model. The majority consensus tree is represented for a dataset
921 considering a maximum of 20% (left) and 40% of missing data (right). Numbers near the
922 nodes represent node support bs.

923 **Figure S9.** Bayesian phylogenetic analysis performed with the dataset with 0% missing data
924 (987 SNPs), using SNAPP. The cloudogram on the left represents the topologies estimated for
925 all independent SNPS, with the most common topology in blue, and alternative topologies in
926 green and red. The maximum credibility tree on the right shows a consensus species tree,
927 showing the posterior probabilities values at the nodes.

928 **Figure S10.** Observed (blue) and simulated (red) site frequency spectra for each species,
929 considering 4 demographic models.