

The Role of Cloud-Radiative Interaction in Tropical Circulation and the Madden-Julian Oscillation

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12 ABSTRACT: Cloud-radiative interaction (CRI) is a fundamental process that modulates tropical
13 circulation and intraseasonal variability, including the Madden-Julian Oscillation (MJO). In this
14 study, we investigate how the mean state of the tropical atmosphere and MJO respond to CRI
15 intensity changes and provide insights into the underlying mechanisms, using the aquaplanet
16 configuration in CESM2. By enhancing CRI through tuning the DCS parameter (an auto-conversion
17 threshold size in Morrison and Gettelman (2008) cloud microphysics scheme), we demonstrate that
18 DCS-induced CRI intensification is linked to a warmer troposphere, increased tropical moisture,
19 strengthened Hadley Cell (HC), stronger trade winds, and a stronger equatorward intertropical
20 convergence zone (ITCZ) with more clouds and precipitation, reflecting stronger cloud-radiation-
21 circulation feedback. The intensified CRI also leads to the intensification and slower propagation
22 of the simulated MJO-like mode despite the MJO-like signals becoming less distinguishable from
23 the background due to the influence of other waves. The MJO intensification is likely associated
24 with the mean state changes that support the development of deep convection. Moreover, the CRI
25 itself, especially the interaction with the longwave radiation, also directly influences the MJO's
26 maintenance and propagation, more contributing to the maintenance of column moist static energy
27 (MSE) and deceleration of its eastward propagation on intraseasonal timescales.

28 **1. Introduction**

29 Cloud-radiative interaction (CRI) is a broad definition of a dynamic and complex process in
30 the Earth's atmosphere, involving a complex interplay between cloud properties and the radiation
31 budget. The level, thickness, distribution, and other internal properties of clouds interact with the
32 shortwave (SW) solar radiation and the longwave (LW) radiation emitted by the Earth, producing
33 distinct radiative feedback to the atmosphere. CRI is usually recognized for its great importance
34 in regulating global-scale atmospheric circulation and diverse climate or weather events through
35 different dynamics and thermodynamics processes (e.g., Tao et al. 1996; Tian and Ramanathan
36 2003; Ceppi et al. 2014; Ceppi and Hartmann 2015; Li et al. 2015; Shaw 2019; Zhang et al. 2021).
37 The concept of CRI is analogous to the cloud-radiative feedback.

38 Although the large-scale circulations in higher latitudes can be significantly affected by CRI
39 changes, such as subtropical jet shift and intensification (e.g., Li et al. 2015; Shaw 2019), the
40 tropical atmosphere appears to be more susceptible to the CRI changes because net energy input to
41 the global climate system and concentrated water vapor always colocate at the low latitudes, leading
42 to frequent deep convection and extensive cloud coverage. Tropical extreme precipitation has been
43 proven to be greatly influenced by CRI (e.g., Medeiros et al. 2021; Zhang et al. 2021). Zhang et al.
44 (2021) also highlight the role of CRI in the spatial organization of convection and find that the
45 convective aggregation is reduced when the synoptic variabilities of CRI are disabled. Apart from
46 the extreme events and aggregated convection, the responses of the tropical mean precipitation and
47 circulations to the presence or the changes of CRI are also paid high attention. For example, Tian
48 and Ramanathan (2003) suggest that CRI drives and maintains the Hadley and Walker circulations
49 via a moist dynamic model. Harrop and Hartmann (2016) mainly focus on the tropical changes
50 using the Clouds On-Off Klimate Intercomparison Experiment (COOKIE) and find that when CRI
51 is turned on, the Hadley Cell (HC) strengthens, the intertropical convergence zone (ITCZ) contracts
52 toward the equator with higher values of precipitation, and the tropical atmosphere is moistened.

53 Other smaller-scale atmospheric processes and systems in the tropics are also controlled by
54 CRI variation. These atmospheric processes and systems are often convectively coupled, in
55 which the CRI plays its salient role through deep convective clusters and clouds. Many previous
56 studies have highlighted the role of CRI in modulating the Madden-Julian Oscillation (MJO), a
57 representative of convectively coupled tropical intraseasonal variability, first discovered by Madden

58 and Julian (1971). Various studies of CRI effects on MJO focusing on different perspectives provide
59 theoretical and technical bases for a better understanding of MJO as well as better MJO simulations
60 and predictions.

61 Some of those studies diagnose and analyze the CRI-MJO relationship based on the observations.
62 For example, Zhang et al. (2019) suggest that the CRI shows its strongest feedback to MJO compared
63 to other radiative components (e.g, water vapor feedback) and the stronger CRI associated with
64 MJO helps the MJO propagate eastward surviving the barrier effect of the Maritime Continent.
65 Kim et al. (2015) define a greenhouse enhancement factor (GEF) to measure CRI at different MJO
66 stages and find a positive correlation between the GEF strength and climate models' fidelity in
67 simulating the MJO.

68 Some other studies focus on the CRI-MJO performance in the numerical models by conducting
69 denial experiments or comparing the different simulation datasets. Hu et al. (2022) show the MJO
70 is absent in simulations without cloud-radiative effect. Crueger and Stevens (2015) select four
71 coupled climate models in COOKIE which makes clouds transparent to radiation to eliminate the
72 radiative effects on the clouds. Their findings suggest that turning on the CRI leads to stronger
73 MJO and slower propagation. Shi et al. (2018) control the LW CRI effects by prescribing zonally
74 uniform LW heating rate. Their results show that enabling the CRI variabilities can influence the
75 scale selection of MJO by strengthening low-wavenumber modes but CRI does not determine the
76 formation of MJO. Benedict et al. (2020) use the "cloud locking" method to isolate CRI impacts on
77 MJO and minimize the mean state change, showing that the disabled CRI suppresses the MJO and
78 the larger-wavenumber features, consistent with the results of Crueger and Stevens (2015) and Shi
79 et al. (2018) for MJO strength, while contrary to the results of scale selection in Shi et al. (2018).

80 The differences in simulation results about the impacts of CRI on MJO across various studies
81 might be attributed to model- or method-dependency, but they could also arise from the climate
82 system's complexity. Therefore, understanding how CRI affects MJO behavior and how it can
83 be accurately represented in numerical models to improve the MJO simulation performance re-
84 mains a pressing and popular topic, requiring further support from experiments and theoretical
85 investigations.

86 In this study, we intend to investigate how CRI modulates tropical mean circulation and MJO
87 using idealized aquaplanet simulations. The simpler aquaplanet model is often regarded as a

88 useful idealization not only for studying global general circulation but also for studying tropical
89 intraseasonal oscillations (Lee et al. 2001; Maloney et al. 2010; Leroux et al. 2016; Shi et al.
90 2018; Andersen and Kuang 2012). It provides an ocean-only lower boundary condition with
91 fixed sea surface temperature (SST) which avoids the CRI effect on mean circulation and MJO
92 being influenced by some complex processes, such as land-sea distribution and variable SST
93 patterns. Furthermore, we control the CRI intensity with a smoother transition by tuning a
94 sensitive cloud microphysics parameter, which sets our experiments apart from those CRI “on-off”
95 experiments, like COOKIE (Li et al. 2015; Harrop and Hartmann 2016) and “cloud locking”
96 (Benedict et al. 2020), or the removal of the CRI spatial-temporal variabilities by zonally uniform
97 radiation prescribing (Lee et al. 2001; Shi et al. 2018).

98 In addition, according to the previous research, the changes in HC, equatorial wind, tropical
99 moisture, and the moisture gradient are all regarded as crucial factors affecting MJO behaviors
100 (e.g., Adames and Wallace 2015; Crueger and Stevens 2015; Kang et al. 2021; Rushley et al. 2023).
101 For example, the mean state with stronger HC caused by the changes in Earth orbit parameters
102 increases the MJO precipitation variance (Rushley et al. 2023), and the larger meridional moisture
103 gradient may enhance the MJO propagation over the Maritime Continent in boreal winter (Kang
104 et al. 2021). Nevertheless, given that the CRI changes can influence the mean circulation and MJO,
105 it raises the question of whether alterations in mean circulation can serve as a linkage between CRI
106 changes and MJO variability. We will further explore this question based on our simulations and
107 attempt to explain the underlying physical mechanisms.

108 This manuscript is organized as follows. Section 2 describes the model and parameter details
109 of our simulations as well as the MJO analysis method. Section 3 describes the climate mean
110 state changes under the intensified CRI. Section 4 describes the MJO characteristic changes in our
111 simulations and discusses the possible mechanisms of MJO changes. The summary of our results
112 and further discussion follow in Section 5.

113 **2. Model and Methods**

114 *a. Aquaplanet Model*

115 In our study, we use the atmospheric component of Community Earth System Model version
116 2 (CESM2), Community Atmosphere Model version 6 (CAM6, Bogenschutz et al. 2018). The

117 aquaplanet configuration is applied to make CAM6 run above the prescribed zonally uniform SST
 118 based on the Aqua-Planet Experiment (APE, Williamson et al. 2012). The SST pattern is the
 119 “QOBS”, as defined in the APE. The maximum SST is 27 °C at the equator. The SST decreases
 120 gradually with latitude and is maintained at 0 °C between 60° and 90° in both hemispheres:

$$T(\phi) = \begin{cases} \frac{1}{2} (2 - \sin^4 \varphi - \sin^2 \varphi) \delta T + T_{\min}, & \text{if } |\phi| < \frac{\pi}{3} \\ 0, & \text{otherwise} \end{cases}, \quad (1)$$

121 where ϕ is latitude, $\varphi = \frac{\pi}{2} \frac{\phi}{\phi_{\max}}$, $\phi_{\max} = \frac{\pi}{3}$, $\delta T = T_{\max} - T_{\min}$, $T_{\max} = 27$ °C, $T_{\min} = 0$ °C. The SST
 122 distribution and meridional profile are shown in Figure 1.

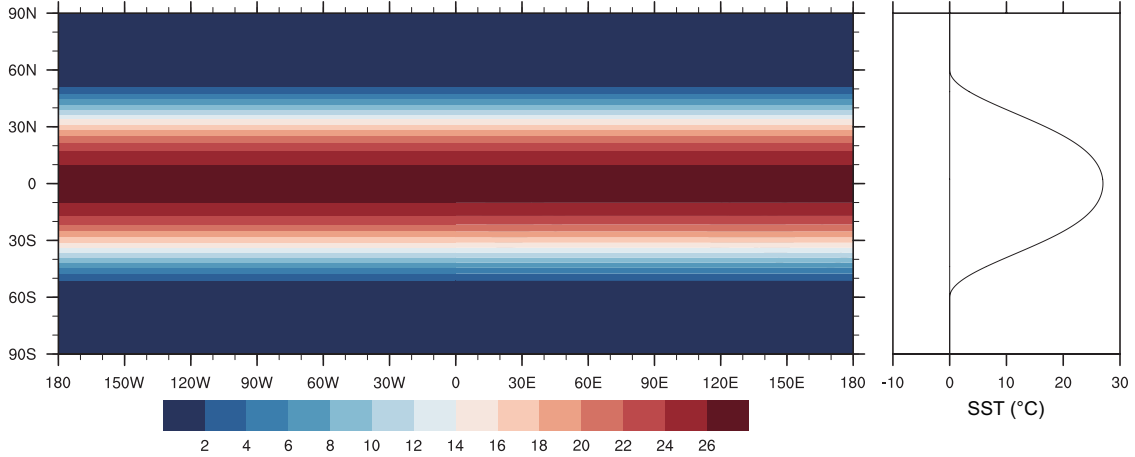


FIG. 1. SST global distribution and meridional profile (units: °C).

123 The mean state simulated by such configurations is possibly different from that in the real world,
 124 especially the zonal-uniform and hemisphere-symmetric “QOBS” SST pattern without Warm Pool
 125 and the cross-equatorial flow induced by cross-equatorial SST gradient. For example, over the
 126 Warm Pool, the mean low-level zonal wind shows a dominant westerly component, opposite to the
 127 easterlies in other tropical regions. The mean state wind in our simulations does not seem like that,
 128 which will be discussed in Section 3a. The aquaplanet configuration with “QOBS” SST reflects its
 129 limitation but meets our research purpose which focuses on the more intrinsic CRI impart without
 130 the influence of Warm Pool and cross-equator flow. Nonetheless, it is still necessary to note this
 131 issue, especially when comparing the differences between our simulations and the observations.

132 The default CAM6 aquaplanet adopts a finite volume (FV) dynamical core on a latitude-longitude
133 grid with a horizontal resolution of 0.9° latitude \times 1.25° longitude and 32 hybrid sigma-pressure
134 levels. The model uses Cloud Layers Unified by Binormals (CLUBB, Golaz et al. 2002; Larson
135 et al. 2002) scheme to parameterize the boundary layer, turbulence, shallow convection, and cloud
136 macrophysics. The Zhang and McFarlane (1995) deep convection scheme and the Gettelman and
137 Morrison (2015) cloud microphysics scheme are also adopted in the model. The radiative transfer
138 is represented by the Rapid Radiative Transfer Model for General Circulation Models (RRTMG,
139 Iacono et al. 2008). More aquaplanet-related details are documented in Medeiros et al. (2016).

140 *b. DCS and Experiment Design*

141 DCS refers to the auto-conversion threshold size defined in Equations (29) and (30) in Morrison
142 and Gettelman (2008). In this cloud microphysics scheme, cloud ice and snow are separated into
143 two categories. The DCS value determines when the auto-conversion from ice to snow takes place
144 in numerical calculations. Smaller DCS values result in a more efficient conversion of cloud ice
145 into snow, reducing the cloud ice. In contrast, larger DCS delays this conversion until the cloud
146 ice particles grow to a larger size, causing more cloud ice to remain in the atmosphere. DCS is
147 considered an effective turning parameter not only for tuning the model to reach its energy balance
148 but also for investigating the specific scientific questions related to the cloud-radiative feedback
149 (Zhao et al. 2013; Fan et al. 2021). The high sensitivity of cloud-radiative feedback to DCS has
150 been demonstrated by Zhao et al. (2013), Eidhammer et al. (2014), and Pathak et al. (2020).

151 In this study, three main experiments are conducted by setting different DCS values in the CESM2
152 aquaplanet model. The default DCS value for CESM2 aquaplanet configuration is $500 \mu\text{m}$, and
153 the DCS values are set to $200 \mu\text{m}$ and $800 \mu\text{m}$ in two additional experiments. We name the three
154 experiments DCS200, DCS500, and DCS800, respectively. All three experiments are integrated
155 for ten years, with the first two-year simulation data discarded as spin-up, the last eight-year data
156 considered as the stable state and used for analysis. The data are archived once a day.

157 *c. CRI and Cloud Forcing*

158 The concept of CRI in this manuscript is a broad definition, rather than a metric of a certain
159 quantity. For example, some studies define a cloud-radiative feedback parameter to represent the

160 role of CRI, which is often used in linear analytical models, such as Fuchs and Raymond (2002),
161 Fuchs and Raymond (2005), Sobel and Maloney (2012), and Fuchs-Stone (2020).

162 In this study, we tune the DCS parameter to control the CRI intensity with a smoother transition,
163 unlike the CRI “on-off” experiments. We suggest that the smaller DCS causes the weaker CRI due
164 to less cloud ice in the atmosphere, while stronger CRI is associated with larger DCS since more
165 cloud ice has a stronger interaction with radiation.

166 Our method (tuning DCS) causes changes in CRI itself and the mean state. The mean convection
167 changes (discussed in Section 3) are not a direct result of the cloud microphysics change, but
168 an indirect result of the large-scale circulation’s thermodynamics and dynamics adjustment in
169 response to the CRI intensity change.

170 We only care about the radiative effect on the atmosphere (not on the whole earth system or the
171 surface) associated with clouds in this study. In some other studies, they also call it the atmospheric
172 cloud-radiative effect (ACRE). When investigating the representation of CRI intensity, we calculate
173 the cloud radiative forcing (hereafter called cloud forcing). The cloud forcing is calculated as the
174 difference of column radiative convergence (the difference between net radiation flux at the top of
175 the atmosphere and that at the surface) between the clearsky and cloudy conditions. The calculation
176 of cloud forcing is considered the sum of the SW and LW components in order to present the total
177 cloud-radiative effect, though the LW component is the main contributor to the total CRI.

178 *d. MJO-Associated Regression*

179 The linear regression method (Adames and Wallace 2014a, 2015) is applied to analyze the
180 spatial structure of MJO-like disturbances, and the equation is as follows:

$$\mathbf{D} = \widehat{\mathbf{S}}\widehat{\mathbf{P}}^T/N \quad (2)$$

181 where \mathbf{D} is the regression results with the dimensional units, \mathbf{S} is a two-dimensional matrix of the
182 variable S , $\widehat{\mathbf{P}}$ is the time series of the MJO index, N is the sample size of the daily archived variable
183 S , and the superscript T represents the transposition of a matrix.

184 The MJO index is calculated as follows: we select an approximate $5^\circ \times 5^\circ$ square box of
185 precipitation rate centered at 180° on the equator. Then, we spatially average the precipitation rate

186 in this region and standardize the resulting time series. A 20 to 100-day bandpass filter is also
187 applied.

188 **3. CRI-Induced Mean State Changes**

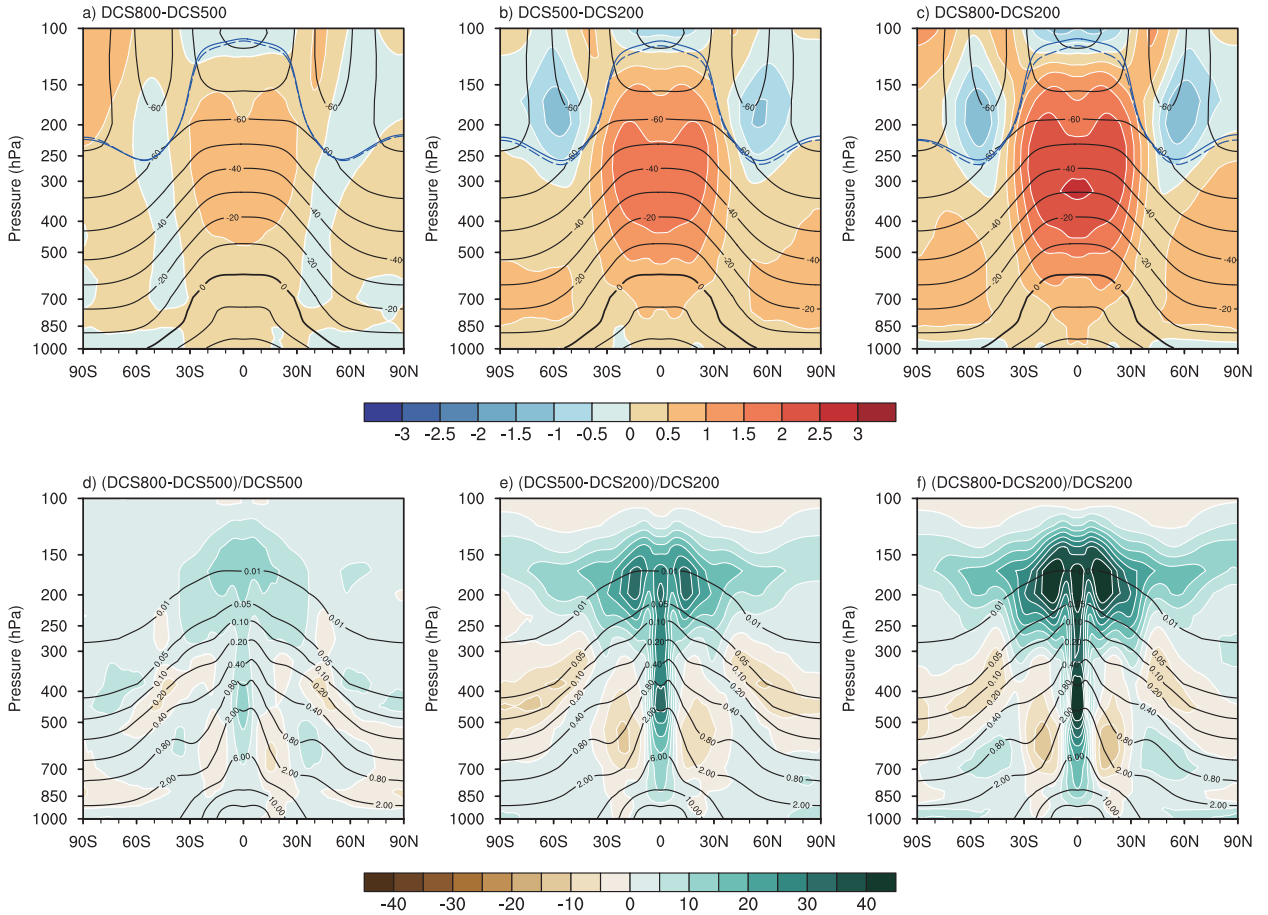
189 *a. Climate Mean State*

190 Through tuning DCS in the CESM2 aquaplanet model, the climate mean state, including the
191 thermodynamic conditions and large-scale circulations, presents discernible changes in the time-
192 and-zonal-averaged plots. In this study, we mainly discuss those changes in the tropical atmosphere.

200 The air temperature changes with DCS are shown in the upper row of Figure 2. When DCS
201 is tuned larger, the tropical tropospheric temperature significantly increases, particularly in the
202 upper troposphere (around 300-hPa) and the height of tropical tropopause becomes higher. Such
203 tropospheric warming may be attributed to the enhanced condensational heating and radiative
204 heating and we will further discuss it in the following text. The tropical warming also leads to
205 a larger temperature meridional gradient, driving a stronger poleward meridional heat transport.
206 This warming pattern shares some similarities with greenhouse gas-caused warming in that the
207 upper troposphere warms faster than the lower troposphere.

208 Figure 2d-f show the fractional changes of moisture with DCS. The moisture amount generally
209 expands with increasing DCS in the deep tropics, especially having larger fractional changes in the
210 middle and higher troposphere. It means that more moisture transports upward from the boundary
211 layer to the higher altitudes and converges therein. We also notice that there is a moisture decrease
212 between 10°S/N–30°S/N outside the deep tropics, enhancing the meridional moisture gradient
213 between deep tropics and subtropics.

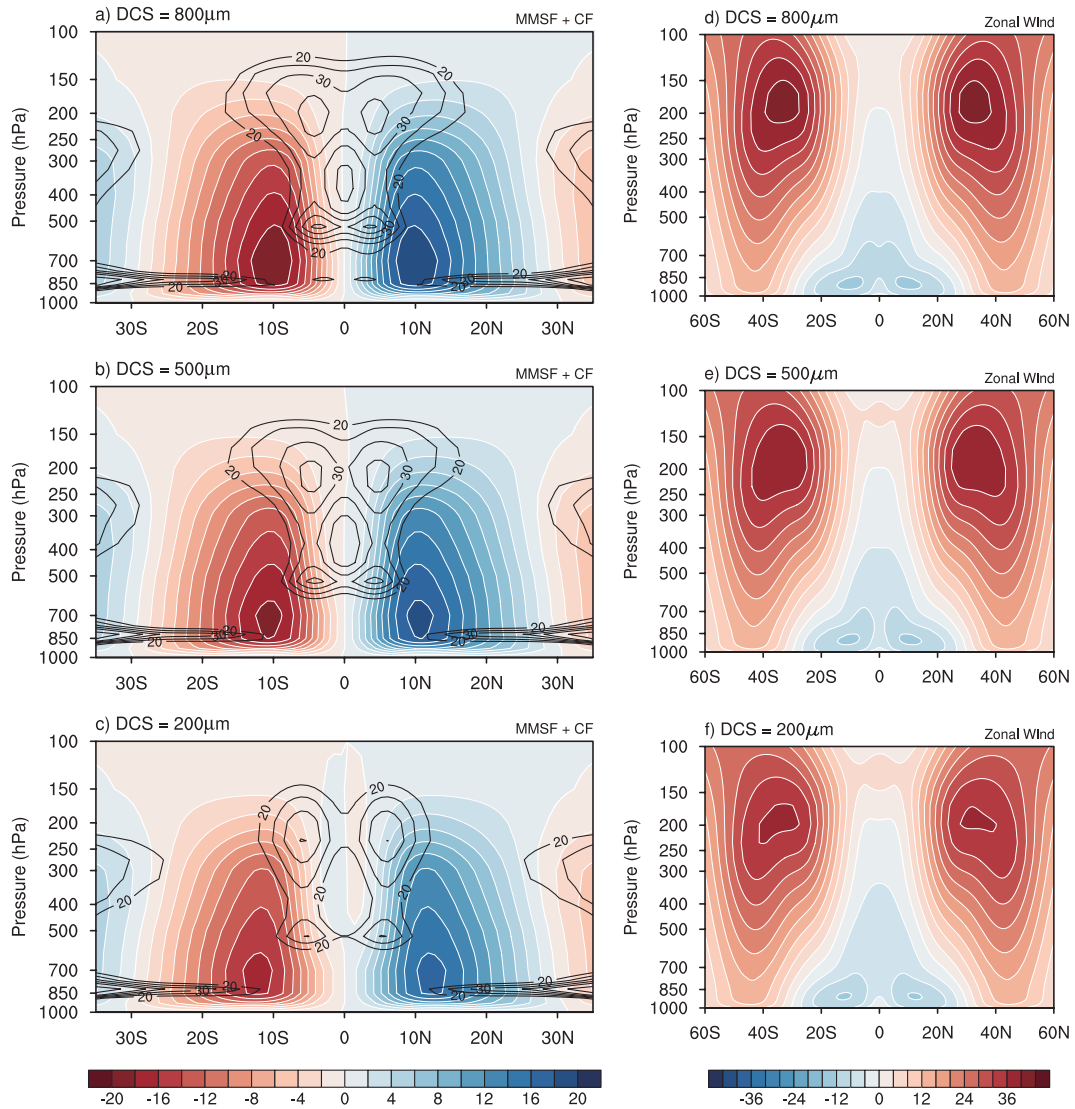
218 Figure 3 depicts the time-mean, zonal-mean meridional mass stream function (MMSF), cloud
219 fraction, and zonal wind with varying DCS values. As DCS increases, more cloud ice in the
220 tropical atmosphere leads to a larger cloud fraction (the left column of Figure 3), particularly in the
221 middle and upper troposphere. Concurrently, the positive/negative MMSF in the lower latitudes
222 of the Northern/Southern Hemisphere (NH/SH) intensifies with increasing DCS, reflecting the
223 strengthening of the HC. The changes in HC also explain the moisture distribution change in
224 Figure 2d–f. The deep tropics moistening is due to the strengthening of the ascending branch of the



193 FIG. 2. (a, b, c) The changes of climatological zonal-averaged air temperature (color, units: $^{\circ}\text{C}$) and (d, e,
 194 f) the fractional changes of climatological zonal-averaged specific humidity (color, units: %) between different
 195 cases. The climatological zonal-averaged air temperature and specific humidity of the DCS500 case are plotted
 196 as a reference (contour, units: $^{\circ}\text{C}$ for air temperature and g/kg for specific humidity). The interval of temperature
 197 contour is 10°C and the interval of specific humidity contour is not evenly spaced (0.01, 0.05, 0.1, 0.2, 0.4, 0.8,
 198 2, 6, 10, 14). The overlaid blue curves in the upper row represent the height of the tropopause. The blue solid
 199 curves are calculated in the larger-DCS case, and the blue dashed curves are calculated in the smaller-DCS case.

225 HC, and drying in the subtropical mid-troposphere is due to the strengthening of the descending
 226 branch.

227 The right column of Figure 3 shows the zonal wind changes in different experiments. When
 228 DCS increases, the subtropical westerly jet, the trade winds, and the equatorial low-level easterly
 229 strengthening are likely a result of the enhanced baroclinicity (equator-to-pole temperature gradient)
 230 and HC intensification, which enhances poleward momentum transport. The averaged easterlies

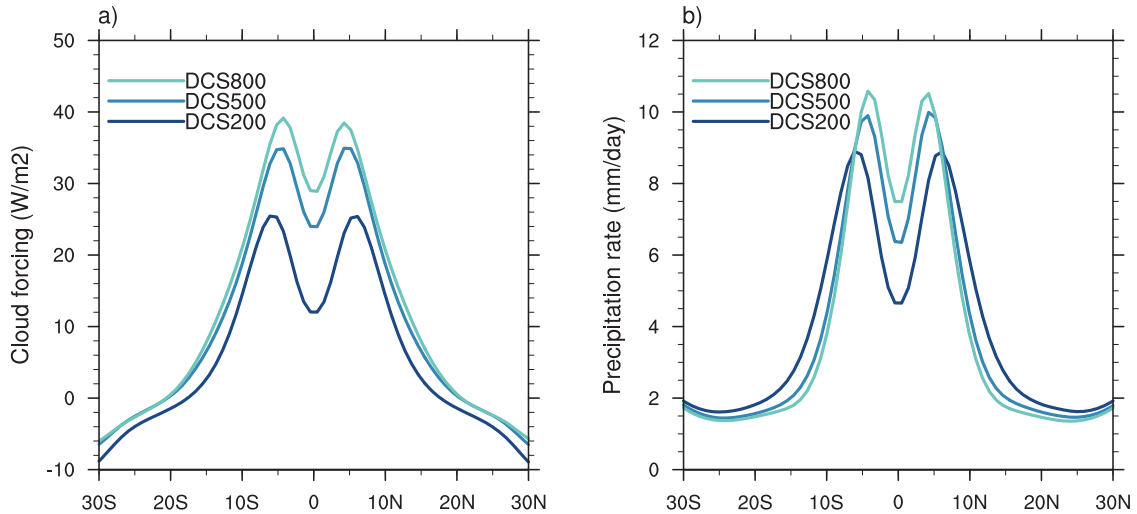


214 FIG. 3. The climatological zonal-averaged (a, b, c) meridional mass stream function (MMSF, color, units: 10^{10}
 215 kg/s) and cloud fraction (contour, units: %), and (d, e, f) zonal wind (units: m/s). The positive MMSF represents
 216 the clockwise circulation, while the negative MMSF represents the counter-clockwise circulation. The interval
 217 of the cloud fraction contour is not evenly spaced (20, 25, 30, 35, 40, 50, 60).

231 below 700-hPa within 5°S – 5°N are 7.76 m/s, 6.90 m/s, and 5.18 m/s for the simulations of DCS800,
 232 DCS500, and DCS200, respectively. Different from the observational equatorial low-level winds
 233 with a westerly component over the Warm Pool, the low-level equatorial winds in our aquaplanet
 234 simulations are easterlies at all longitudes (figure not shown).

235 *b. Forcings Causing the Changes*

236 In the tropical atmosphere, diabatic heating primarily arises from two major sources: atmo-
 237 spheric radiative heating and condensational heating. Tuning the DCS parameter directly alters the
 238 cloud-radiative effect, which can be represented by the changes in cloud forcing in Figure 4a. The
 239 cloud forcing significantly increases in the tropics with DCS, leading to stronger cloud radiative
 240 heating. To be specific, the deep-tropical (5°S – 5°N) averaged cloud forcing is 16.94 W/m^2 , 29.41
 241 W/m^2 , and 34.16 W/m^2 for DCS200, DCS500, and DCS800, respectively. It increases by 101.7 %
 242 from DCS200 to DCS800. The tropical atmosphere is heated by CRI intensification, which may
 243 contribute to the changes in tropical temperature and its meridional gradient in Figure 2. Mean-
 244 while, the strengthened HC (Figure 3) is associated with the enhanced meridional temperature
 245 gradient and stronger meridional heat transport since HC is a thermal-driven overturning circula-
 246 tion. The study of Bischoff and Schneider (2016) and that of Harrop and Hartmann (2016) suggest
 247 that the stronger HC occurs when the equatorial energy input is larger, consistent with our results.
 248 It is worth noting that the atmospheric mean state, diabatic forcings, and other climate systems are
 249 all coupled, and the changes in HC can also feedback to the cloud forcing and temperature changes.
 250 However, cloud forcing cannot be zonally symmetric. Thus, it should be made clear that the
 251 changes in Figure 4a are introduced by changing the clouds of individual convection systems,
 252 which collectively change the mean state of the atmosphere.

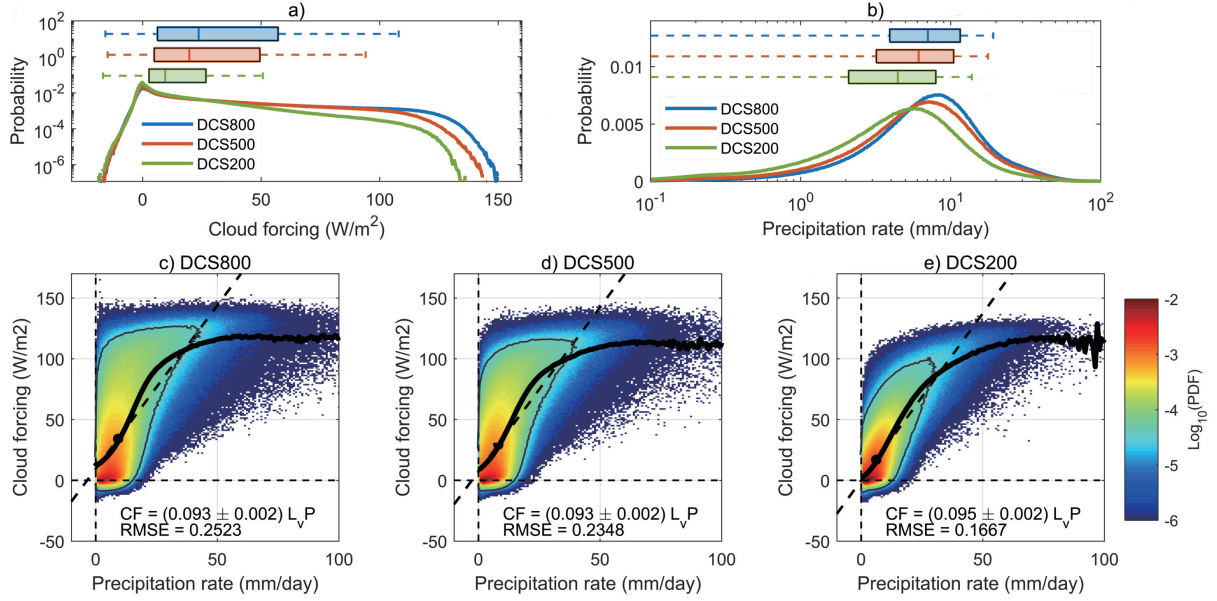


253 FIG. 4. The climatological zonal-averaged (a) total (LW + SW) cloud forcing (units: W/m^2), and (b) precipi-
 254 tation rate (units: mm/day). Positive cloud forcing values indicate radiative heating.

255 Figure 4b shows the climatological latitudinal precipitation distribution. By tuning DCS larger,
256 the precipitation increases in the deep tropics with two peaks on both sides of the equator, exhibiting
257 a stronger and narrower ITCZ. Further calculation shows that the averaged precipitation rate
258 within 5°S – 5°N is 6.17 mm/day, 8.18 mm/day, 9.19 mm/day for DCS200, DCS500, and DCS800,
259 respectively, increasing by 48.9 % from DCS200 to DCS800. The location and the strength of
260 ITCZ are closely related to the HC changes (Ceppi et al. 2013; Bischoff and Schneider 2016). In
261 our simulations, the stronger and equatorward HC shift corresponds well to the ITCZ changes. The
262 strengthened HC enhances dynamical conditions for ITCZ and the increased radiative heating as
263 well as moisture amount also directly provides the thermodynamic conditions. Due to the coupling
264 of HC and ITCZ, the ITCZ interacts with HC, and its change also provides feedback to HC. As
265 to the displacement of ITCZ, the equatorward contraction of maximum zonal mean convective
266 available potential energy (CAPE) may account for ITCZ contraction (figure not shown), which is
267 associated with the changes in temperature and moisture responding to the CRI changes according
268 to Harrop and Hartmann (2016). The general circulation changes like the contraction of HC can
269 also influence the ITCZ displacement. Similar results are found by Harrop and Hartmann (2016),
270 who suggest that ITCZ contracts toward the equator and the precipitation in the deep tropics
271 increases when turning on the CRI.

282 To show more details of the changes in the distributions of cloud forcing and precipitation within
283 the tropics, and to better understand the role of DCS, Figure 5a presents the probability density
284 function (PDF) of tropical cloud forcing. We can see that the changes in tropical cloud forcing
285 with DCS mainly show a higher frequency of large cloud forcing events, especially the part larger
286 than 100 W/m^2 . It seems that the increased probability of large cloud forcing dominantly accounts
287 for the mean cloud forcing changes while the weak cloud forcing has less contribution. As to the
288 changes in tropical precipitation PDF distribution, Figure 5b shows that the median of probability
289 shifts to the heavier precipitation in the tropics with the increasing DCS. The enhanced CRI leads
290 to the increased probability of heavy precipitation and decreased probability of weak precipitation.
291 The extremely large values do not dominate the mean precipitation changes due to the probability
292 being more concentrated in its median, following a log-normal distribution-like pattern.

293 The joint PDF in Figure 5c–e illustrates the changes in the radiation-precipitation (R-P) relation.
294 This cloud forcing and precipitation do not follow a linear relationship, exhibiting an “S” shape



272 FIG. 5. The comparison of probability density function (PDF) of (a) tropical (5°S – 5°N) total cloud radiative
 273 forcing (units: W/m^2) and tropical precipitation rate (units: mm/day) for different DCS cases. The y-axis in
 274 (a) and the x-axis in (b) are on a log scale. Boxplots of different DCS cases are shown over the PDF curves.
 275 The left and right edges of the box indicate the 25th and 75th percentiles. The vertical lines in the boxes are
 276 the median. The whiskers extend to a maximum of $1.0 \times$ interquartile range beyond the boxes. (c, d, e) The
 277 joint PDF of tropical precipitation rate (units: mm/day) and tropical cloud forcing (units: W/m^2) with varying
 278 DCS. The probability density is scaled by \log_{10} . The black dots correspond to the mean precipitation rate and
 279 mean cloud forcing. The black dashed lines indicate the linear regression of all colored bins. The thick black
 280 solid lines indicate the averaged cloud forcing binned by precipitation rate. The value of gray contours is -4.5 ,
 281 representing the region of relatively high probability density.

295 (refer to the black solid curves in joint PDF plots). Near the median (black dots), the cloud forcing
 296 increases very fast with the precipitation increase. If we perform a linear regression between
 297 cloud forcing and precipitation rate within the gray contour of $\log_{10}(\text{PDF}) = -4.5$, the slopes are
 298 0.106 , 0.113 , and 0.116 for DCS200, DCS500, and DCS800 respectively. The slopes are unitless
 299 since the units of precipitation are set to watts per square meter by multiplying the latent heat of
 300 vaporization L_v . Obviously, the slope increases from DCS200 to DCS800, which means the cloud
 301 forcing grows faster with increasing precipitation, leading to a stronger cloud-radiative effect per
 302 unit precipitation.

303 However, if the linear regression is performed among all colored bins, the slope from DCS200
304 to DCS800 does not change much (decreases from 0.095 to 0.093), which is contributed by the
305 fact that the cloud forcing saturates when precipitation becomes very strong. The cloud forcing
306 distribution can not further grow when precipitation increases with the strongest cloud forcing
307 being limited below 150 W/m^2 .

308 We still notice that the highest probability density regions with dark red are located at the lower
309 left of black dots, away from the mean values. In spite of the lighter red color with the increasing
310 DCS, the highest probability density regions do not have significant shifts following the shift of
311 mean values. Accordingly, the black dot becomes farther away from the red region, and the
312 probability density distribution becomes more dispersed, leading to larger variability.

313 Based on the analyses of Figure 5 above, the changes in cloud forcing can be partially reflected
314 in the non-linear R-P relation changes. Although the R-P relation does not change substantially,
315 the probability density of cloud forcing distributes more towards the regions with extremely large
316 values in all rainfall ranges, making the “S” shape have a larger curvature with increasing DCS.
317 Nonetheless, the cloud forcing can not grow infinitely with the precipitation increase. It also
318 reflects that increasing CRI intensity by tuning DCS has limits, especially when DCS is extremely
319 large.

320 The large cloud forcing is likely associated with deep convection or the stratiform region of the
321 convective systems, which can be inferred from the statistical relationship between cloud forcing
322 and precipitation (black solid lines in Figure 5c–e). If we separate the LW and SW components of
323 cloud forcing (figure not shown), it is obvious that the large cloud forcing mainly comes from the
324 LW component which is often associated with high clouds. It is understandable because we adjust
325 the only process related to cloud ice. Cloud ice changes mainly occur in the cold upper troposphere
326 where the deep convection can reach.

327 In our simulations, the changes in cloud forcing are firstly caused by the varying cloud micro-
328 physical processes through the DCS tuning. Larger DCS delays snow generation until ice particles
329 grow to a larger size and thereby keep more cloud ice in the atmosphere. The increased cloud ice
330 induces stronger CRI, strengthening the cloud forcing but with an upper limit. Consequently, the
331 mean cloud forcing changes.

332 On the other hand, the changes in cloud forcing could be partially attributed to the mean
333 state changes: warming and moistening of the tropical atmosphere strengthen HC, favorable for the
334 development of deep convection in the tropical atmosphere and the generation of convective clouds.
335 The increased cloud cover results in stronger cloud forcing, which, in turn, provides feedback to
336 the mean state. This establishes a cloud-radiative-circulation feedback mechanism.

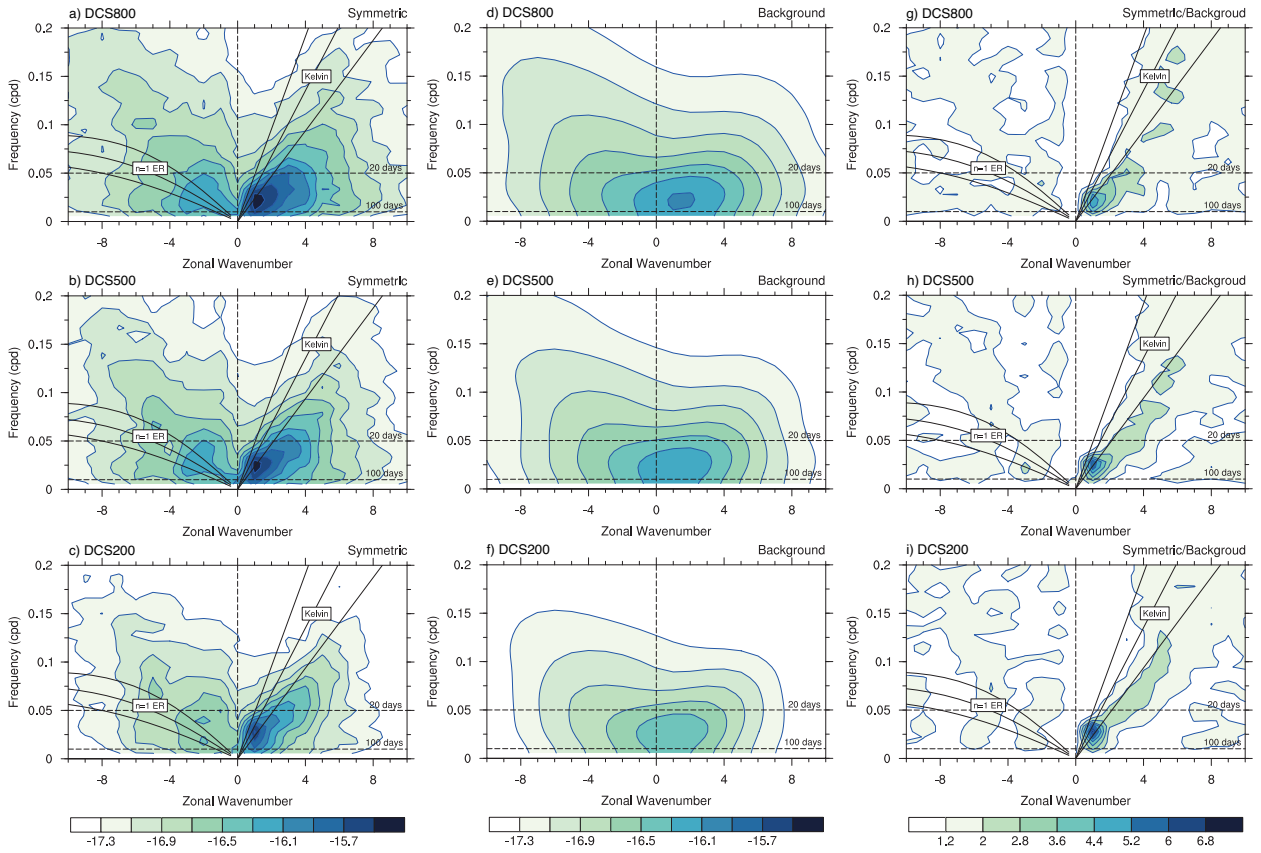
337 **4. MJO Responses to CRI Changes**

338 *a. MJO Characteristics Changes*

339 The Wheeler-Kiladis wavenumber-frequency spectra (Wheeler and Kiladis 1999, hereafter
340 WK99) of tropical precipitation are shown in Figure 6 to qualitatively measure the scale and
341 strength of convectively coupled equatorial waves. In our aquaplanet simulations, the Kelvin
342 wave-like disturbances are weak with relatively slow propagation speed compared to other models
343 and observations. The relatively broad meridional SST structure in our simulations may account for
344 these differences. Though the power of westward-propagating waves shows some changes with the
345 increasing DCS, the convectively coupled equatorial Rossby (ER) waves seem not distinguishable
346 from the background power. As to other tropical waves like tropical depression (TD)-type waves,
347 mixed Rossby-gravity (MRG) waves, and inertio-gravity (IG) waves, they are also not significant
348 in the spectra of our aquaplanet simulations (some of them are not shown).

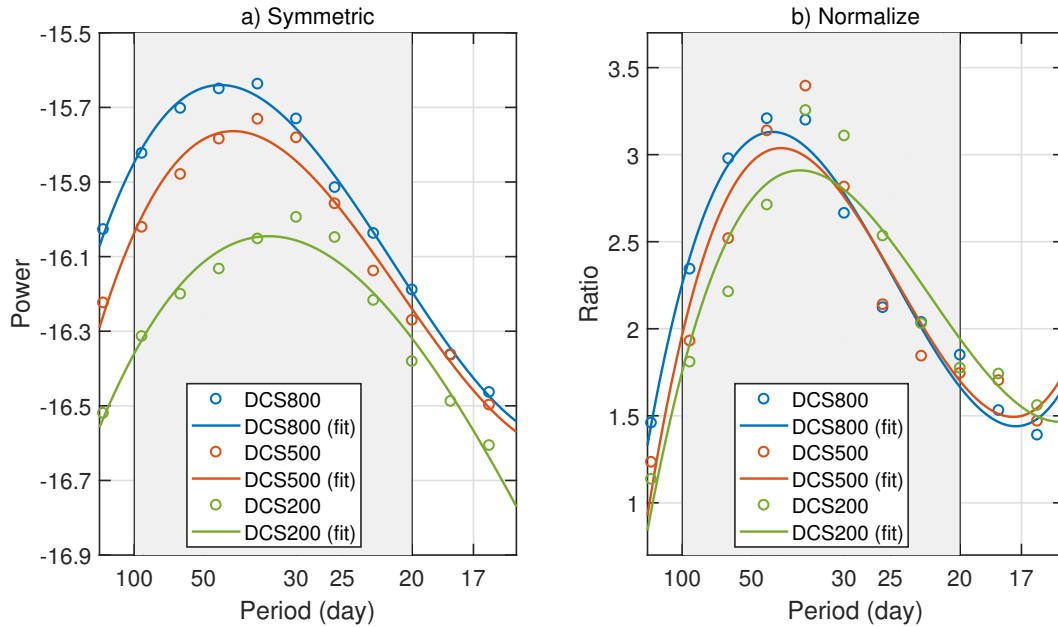
349 Apart from those tropical waves simply discussed above, the most significant power exists
350 within the “MJO band” (eastward propagation; wavenumber one to three; period of 20 to 100
351 days) in the WK99 spectra, peaking at wavenumber one. In both the symmetric and normalized
352 (symmetric/background) spectra, MJO-like signal power extends to higher wavenumbers and shifts
353 to lower frequencies with increasing DCS. The growth of higher-wavenumber signals may imply a
354 smaller horizontal scale.

355 To see the frequency changes more clearly, we averaged the power of wavenumber one to three
356 and fit the resulting power-frequency relation to a third-order polynomial around the intraseasonal
357 time scale. For the fitting of the symmetric spectra (Figure 7a), the peak periods are 45, 41, and
358 34 days for the simulations of DCS800, DCS500, and DCS200, respectively. The stronger CRI
359 associated with larger DCS indeed leads to slower propagation of MJO-like mode. Similar period
360 changes can be also found in the fitting of normalized spectra (Figure 7b).



361 FIG. 6. The Wheeler-Kiladis wavenumber-frequency spectra of precipitation rate within 5°S – 5°N : (a, b, c) the
 362 symmetric spectra, (d, e, f) the background spectra, and (g, h, i) the normalized spectra (symmetric power divided
 363 by background power). The symmetric spectra and background are scaled by \log_{10} . The superimposed black
 364 solid lines are the dispersion curves. The temporal window length is 180 days, and the overlapping temporal
 365 segments are 90 days.

373 It is quite interesting that the power at wavenumber one in the symmetric and normalized
 374 spectra responds differently as the CRI intensity changes. The signals within the MJO band
 375 in the normalized spectra become weaker when DCS is larger, but the opposite trend exists in
 376 symmetric spectra. Such significant difference, rarely found and discussed in previous studies,
 377 needs attention and emphasis. When DCS increases, the stronger power within the MJO band in
 378 the symmetric spectra shows a stronger intensity of MJO-like mode, as the precipitation increases
 379 in this spatiotemporal scale. The stronger eastward-propagating low-frequency signal power with
 380 the increasing DCS in the background spectra (Figure 6d–f) can explain the weaker MJO signals

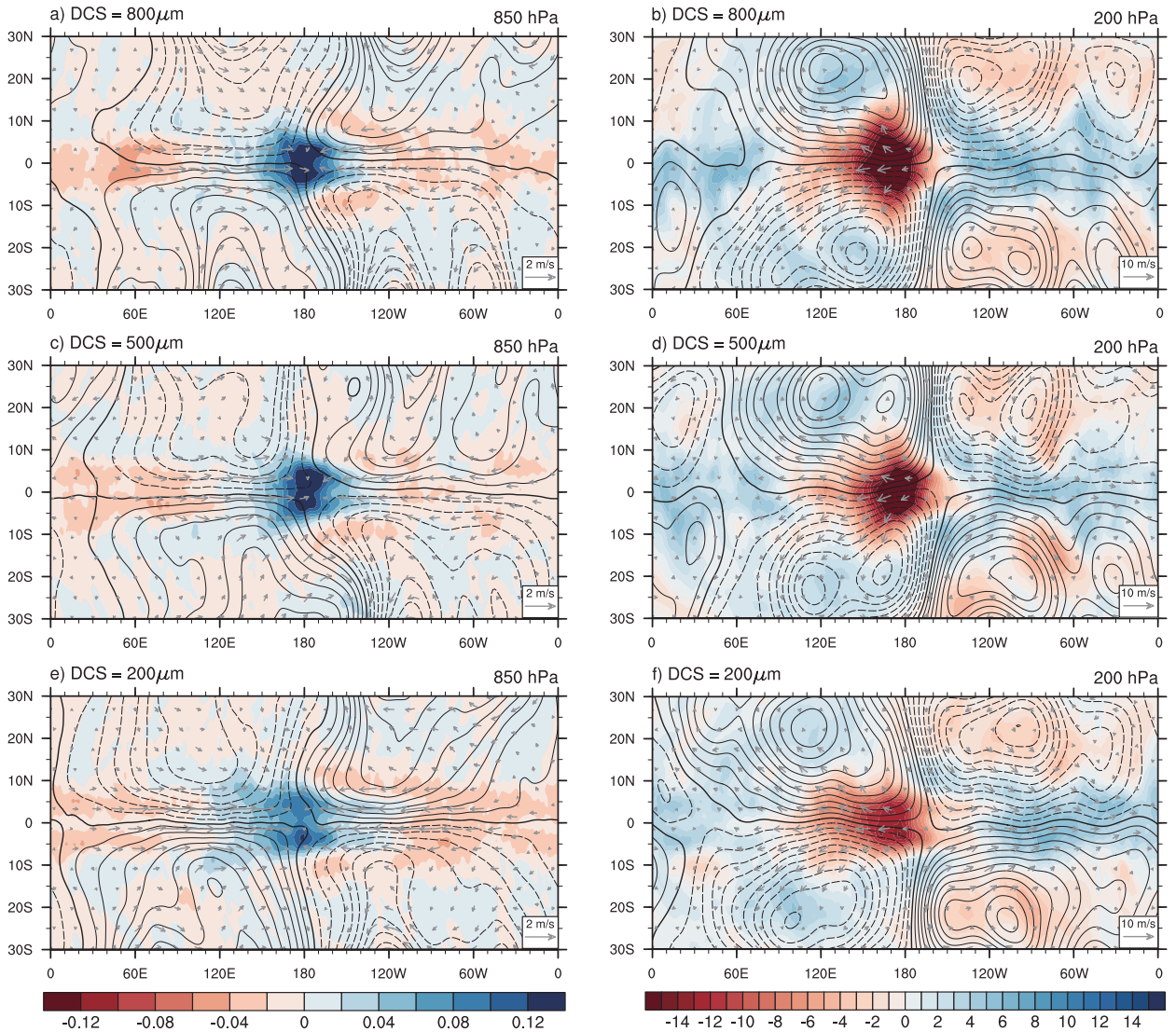


366 FIG. 7. (a) The tropical (5°S – 5°N) precipitation power (averaged within wavenumber 1–3) changes with period
 367 ($1/\text{frequency}$, units: day) and their polynomial fitting curves based on the symmetric spectra in Figure 6. The
 368 periods at the maximum fitted power are 44.64 days, 41.15 days, and 34.13 days, corresponding to DCS800,
 369 DCS500, and DCS200, respectively. The power in the y-axis is scaled by \log_{10} . (b) The ratio (averaged within
 370 wavenumber 1–3) changes with the period and their polynomial fitting curves based on the normalized spectra
 371 in Figure 6. The periods at the maximum fitted ratio are 43.48 days, 41.32 days, and 37.04 days, corresponding
 372 to DCS800, DCS500, and DCS200 respectively.

381 in the normalized spectra. It means that the MJO-like signals become less prominent and more
 382 indistinguishable compared to the background, rather than suggesting a weaker MJO-like wave.

383 It seems that the DCS-induced CRI changes not only influence the MJO-like waves but also
 384 influence the waves in other scales, as shown by the growth of precipitation power in symmetric
 385 spectra. The changes in other-scale waves contribute to the mean state changes. Meanwhile,
 386 the waves in different scales have interactions and energy transfer (c.f., Wallace et al. 2023),
 387 also influencing the representation of MJO-like signals and their scale selection. Therefore, the
 388 relatively less prominent MJO-like signals with increasing DCS are possibly influenced by the
 389 changes in other waves.

395 In the regression maps (Figure 8), all fields represent approximate wavenumber one spatial
 396 structure centering at $(0^{\circ}, 180^{\circ})$ where the positive precipitation anomalies (left column) and the



390 FIG. 8. The regression of (a, c, e) precipitation rate (color, units: mm/hr), (b, d, f) OLR (color, units: W/m^2),
 391 wind (vector, units: m/s), and stream function (contour, units: $10^6 m^2/s$) onto the MJO index. For wind and
 392 stream function, the left column is at approximate 850-hPa, and the right column is at approximate 200-hPa.
 393 Dashed contours indicated negative values. Contour intervals for stream function at 850-hPa and 200-hPa are
 394 $0.2 \times 10^6 m^2/s$ and $0.5 \times 10^6 m^2/s$, respectively.

397 negative OLR (right column) anomalies are at maximum amplitudes. The regressed precipitation
 398 fields exhibit weak “swallowtail” shapes to the west of heavy precipitation centers, consistent with
 399 the observational MJO (Zhang and Ling 2012; Adames and Wallace 2015). From the low-level
 400 wind fields and stream function fields (left column), it is apparent that the CESM2 aquaplanet model

401 can well produce the poleward anomalous flows to the east of convective centers and the wind-
402 convection coupling in 850-hPa without phase differences, superior to some simulations (Zhang
403 2005; Shi et al. 2018) that simulate the unrealistic poleward flows or unrealistic convergence to the
404 west of convective centers. The high-level wind and stream function in the right column of Figure
405 8 also shows relatively reasonable wind-convection coupling compared to the observational MJO.
406 With the increasing DCS, the wind vectors near the convective centers show a stronger poleward
407 anomalous transport, indicating a stronger divergence corresponding with the stronger convection.

408 As for how CRI effects manifest in the horizontal structure of MJO-like mode, the enhancement
409 of precipitation and OLR anomalies at the convective center with the increasing DCS can be seen
410 in Figure 8. Less LW radiation escaping into space means more radiative heating results from
411 the high-level cloud increase. The heavier rainfall and more intense radiative heating imply that
412 the MJO convection intensifies and the MJO precipitation variance increases. In addition, when
413 $DCS = 200 \mu\text{m}$, the flow field is dominated by wavenumber one, showing a more stable and
414 broader structure than other cases, and the "swallowtail" shape in the precipitation field has a wider
415 zonal span. Higher-wavenumber disturbances (especially the structure of wavenumber 2–3), by
416 contrast, appear in the flow fields when DCS increases; meanwhile, the "swallowtail" shape shrinks
417 zonally with shorter tails or even disappears. The emergence of higher-wavenumber signals and
418 the smaller-scale patterns are consistent with the implications from the WK99 spectra (Figure 6),
419 likely associated with the enhancement of convection in all scales due to the mean state changes.

420 The vertical structure of MJO-like mode in our simulations is also shown in the regression maps
421 (Figure 9). We make an average over the deep tropical region (5°S – 5°N), and similar features
422 can be obtained if the range is expanded to 10°S – 10°N . In the intraseasonal scale, the positive
423 cloud fraction anomalies increase at the convective center in the mid- and upper-troposphere
424 (shading in Figure 9a–b). More clouds are produced in the larger-DCS experiments, leading to
425 the stronger MJO-associated cloud forcing, which can be inferred from the cloud radiative heating
426 rate anomalies (contour in Figure 9d–f). The radiative heating associated with clouds deducts the
427 clearsky component for removing the radiative heating induced by moisture. Its anomalies at the
428 convective centers show significant increases below the high clouds and extend to higher levels
429 with the increasing DCS.

430 The temperature vertical cross sections (shading in Figure 9d–f) exhibit pronounced positive
 431 anomalies at the convective center above the middle troposphere with negative anomalies aloft
 432 and below, showing “boomerang”-like shapes, consistent with the observational MJO (Adames
 433 and Wallace 2014b). The stronger temperature positive anomalies with DCS may come from the
 434 changes in radiative heating, latent heating, and advection around the MJO centers. It seems like
 435 the warmer higher-level troposphere tends to reduce the buoyancy and weaken the instability, while
 436 the stronger low-level moisture convergence with the increasing DCS (figure not shown) provides
 437 the moisture and dynamics forces for supporting stronger convection, which overcomes the reduced
 438 potential of free convection induced by the weakened buoyancy.

445 The mass flux vector in Figure 9a–c measures how the air mass transports vertically. It can be
 446 regarded as resolved mass flux, but not the parameterized convective mass flux. In the DCS200
 447 case (Figure 9c), the mass flux has a relative upright updraft at 180° longitude, while the mass flux
 448 gradually tilts westward with height as the DCS increases with longer arrows, showing a stronger
 449 vertical transport and a stronger mass divergence above the mid-troposphere. It is also evidence
 450 supporting the strengthening of MJO convection.

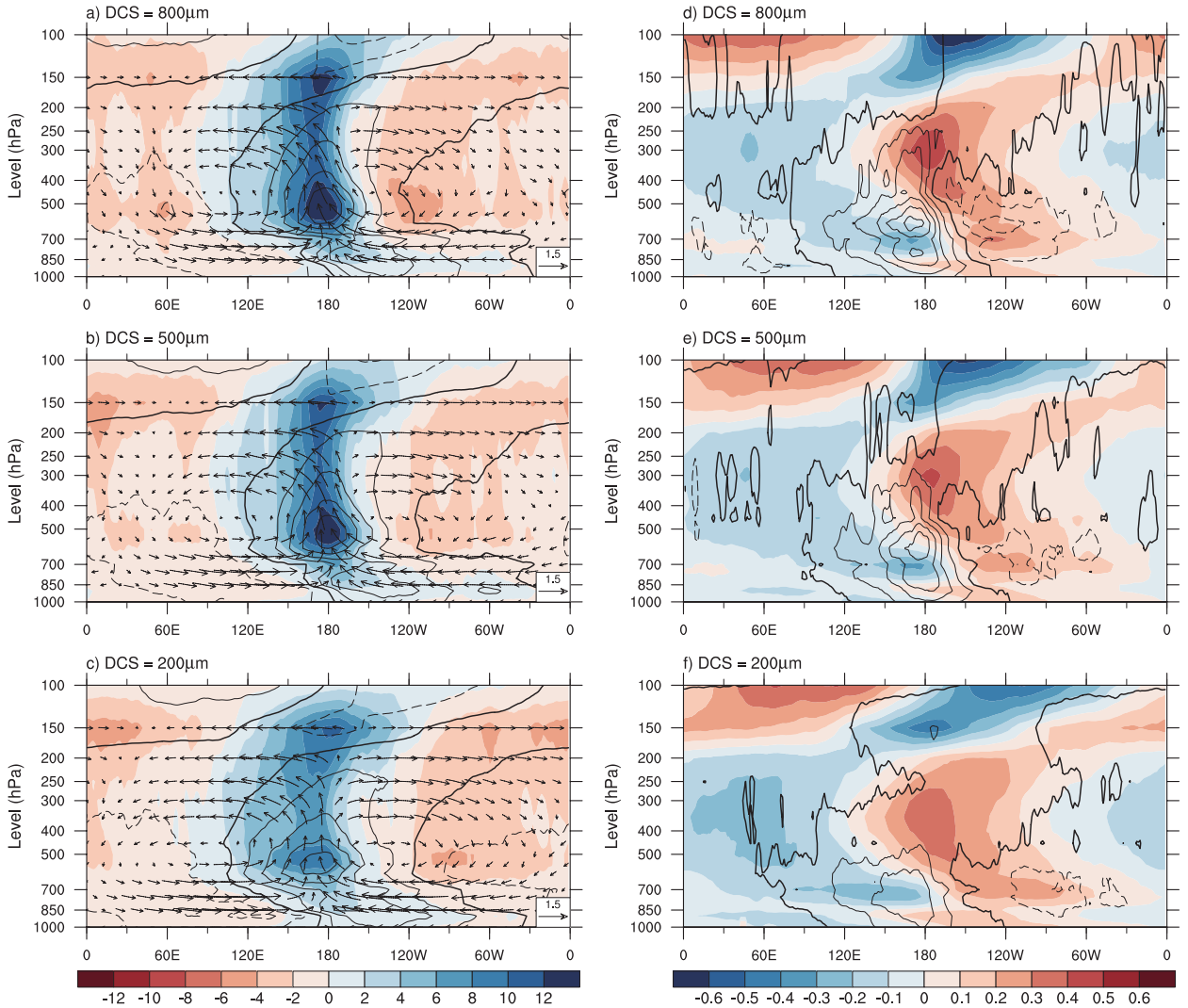
451 Another quantity often used in MJO studies is moist static energy (MSE), also referred to as
 452 frozen MSE. It is denoted by h , and defined as

$$h = C_p T + gZ + L_v q - L_f q_i, \quad (3)$$

453 where C_p is the specific heat at constant pressure, T is the temperature, g is the gravitational
 454 acceleration, Z is the height, L_v is the latent heat of vaporization, q is the specific humidity of
 455 water vapor, L_f is the latent heat of sublimation and q_i is the specific quantity of ice. The MSE is
 456 conserved in moist adiabatic processes.

457 The vertical regression of MSE associated with MJO is also shown in Figure 9a–c. At the MJO
 458 convective centers, the MSE exhibits positive anomalies in most tropospheric atmosphere, peaking
 459 at around 500-hPa. When DCS is larger, MSE positive anomalies increase, especially in the
 460 middle troposphere. It means that more energetic weather systems can generate, also confirming
 461 the MJO-intensification.

462 To sum up, the most pronounced changes in the characteristics of MJO-like mode associated with
 463 the increasing CRI are 1) intensification, 2) slower propagation, and 3) smaller zonal scale. Such a



439 FIG. 9. (a, b, c) The vertical regression of cloud fraction (color, units: %), moist static energy (MSE, contour,
 440 units: 10^2 J m^{-2}), and mass flux (ρu and ρw , vector, units: $\text{kg m}^{-2} \text{ s}^{-1}$) within 5°S – 5°N onto the MJO index.
 441 (d, e, f) As in (a, b, c), except the regressed quantities are air temperature (color, units: $^\circ\text{C}$) and total (LW + SW)
 442 cloud radiative heating rate (contour, units: K/day). The dashed contour indicates negative values. The contour
 443 interval for MSE is $3 \times 10^2 \text{ J m}^{-2}$ and for radiative heating rate is 0.05 K/day . ρw is multiplied by a factor of
 444 250.

464 simulated space-time relationship is consistent with the observational results of Lyu et al. (2021)’s
 465 study, which suggests a broader extension of MJO is associated with a faster propagation, and vice
 466 versa. In the following analysis, we mainly focus on the mechanisms of MJO intensification and
 467 slower propagation.

468 *b. Physical Processes Causing the Changes*

469 1) MSE MAINTENANCE AND PROPAGATION

470 The general consensus of previous studies suggests the importance of moisture in MJO mecha-
471 nisms. To better understand the MJO-like mode's maintenance and propagation, we can infer the
472 key processes from the MSE budget analysis.

473 The mass-weighted column-integrated MSE is the integral of MSE from the bottom to the top
474 of the atmospheric column as follows:

$$\langle h \rangle = \int_{p_{\text{top}}}^{p_{\text{sfc}}} h \frac{dp}{g}, \quad (4)$$

475 where $\langle \dots \rangle$ represents the mass-weighted vertical integral, p is the pressure, p_{sfc} is the surface
476 pressure and p_{top} is the pressure at the top of model. The other column-integrated variables all
477 follow this equation.

478 The column-integrated MSE tendency can be expressed in the budget style:

$$\left\langle \frac{\partial h}{\partial t} \right\rangle = -\left\langle \omega \frac{\partial h}{\partial p} \right\rangle - \langle \mathbf{V} \cdot \nabla h \rangle + LH + SH + \langle LW \rangle + \langle SW \rangle, \quad (5)$$

479 where \mathbf{V} is the horizontal wind vector on a pressure level, ∇ is the gradient operator, ω is the
480 pressure velocity, LH and SH are the latent heat flux and the sensible heat flux from the surface
481 into the atmospheric column, and the $\langle LW \rangle$ and $\langle SW \rangle$ are the column-integrated LW and SW
482 radiative heating rates. The term on the left of Equation 5 is the local $\langle h \rangle$ tendency (MSE tendency
483 term), where the daily data is used for calculating the tendency. On the right of Equation 5, the
484 first term is column-integrated vertical advection of h (vertical advection term), the second term
485 is column-integrated horizontal advection of h (horizontal advection term) and the rest four terms
486 are the heating sources. The residual term is calculated as the differences between the directly
487 calculated $\langle \partial h / \partial t \rangle$ and the sum of six budget terms to the right of Equation 5, representing the
488 numerical errors.

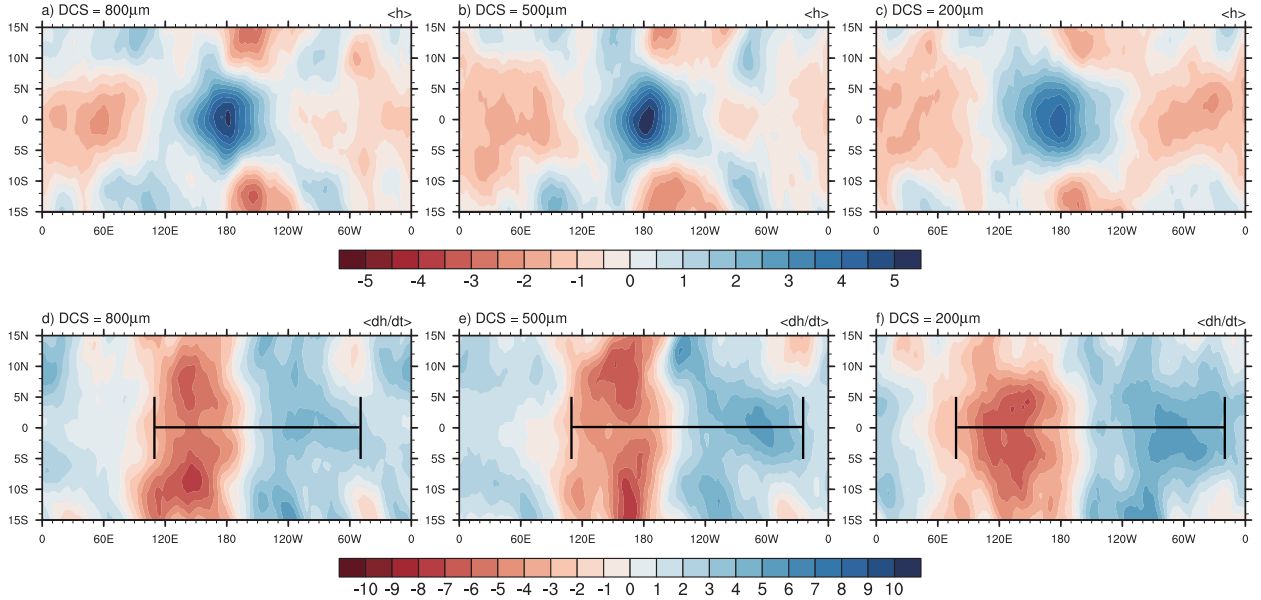
489 The patterns of column-integrated MSE anomalies and column-integrated MSE tendency anoma-
490 lies associated with MJO are shown in Figure 10. The upper row of Figure 10 exhibits positive
491 MSE anomalies at the MJO center with the two dry anomalous regions on both sides of the east

492 and west. This pattern is similar to the precipitation and OLR fields in Figure 8, also reflecting the
493 basic spatial structure of MJO-like mode. The column MSE anomalies at the MJO center increase
494 from DCS200 to DCS800 generally, while the DCS500 case seems to exhibit higher maximum
495 values. It is somewhat surprising but reasonable and the results of the following MSE budget
496 analysis won't be affected much.

497 If we look at the regressed MSE vertical distribution (Figure 9a–c), we can find that the stronger
498 negative MSE anomalies in the upper troposphere account for the smaller column-integrated MSE
499 in DCS800 compared to DCS500. According to the definition of MSE (Equation 3), MSE is
500 determined by temperature, height, and moisture. Among the three quantities (the figures related
501 to the geopotential height and moisture anomalies are not shown), only the temperature provides
502 negative contributions to the MSE changes in the upper troposphere, as shown by the cooling
503 anomalies near the tropopause in Figure 9d–f. In fact, the cooling anomalies are centered in the
504 stratosphere (not shown). When DCS increases, the cooling anomalies become stronger. We
505 speculate that the stronger cooling anomalies may be attributed to two aspects. First, the stronger
506 CRI with more clouds in the upper troposphere traps the anomalous heating within the troposphere,
507 preventing upwelling radiative flux to the stratosphere over the convective centers. Second, the CRI
508 changes may also influence the waves in the stratosphere which can interact with the tropospheric
509 atmosphere. However, it is beyond the scope of our study.

510 In the lower row of Figure 10, the distribution of MJO-regressed column MSE tendency shows
511 approximately a quarter phase difference ahead of column MSE. The MSE tendency has positive
512 anomalies to the east of the convective center with negative anomalies to the west. The config-
513 uration of MSE and its tendency anomalies determines the eastward propagation of MJO. When
514 CRI intensifies, the zonal range of strong tropical MSE tendency anomalies shrinks toward the
515 convective center (the estimation of the zonal range is described in the caption of Figure 10) and
516 the amplitude of tropical MSE tendency anomalies becomes lower (the amplitude can be estimated
517 by the difference of the maximum positive and negative MSE tendency anomalies around the
518 convective center within 5°S–5°N). The local MSE changes with time become slower when DCS
519 increases, implying a slower propagation of MJO-like mode, consistent with our previous results.
520 We also notice that the MSE tendency anomalies in DCS200 peak on the equator, while double

521 peaks straddling the equator occur in DCS500 and DCS800. The vertical advection anomaly
 522 changes (Figure A1d–f) mainly contribute to it.



523 FIG. 10. The regression of (a, b, c) column-integrated MSE (units: 10^6 J/m^2) and (d, e, f) column-integrated
 524 MSE tendency (units: $10^5 \text{ J m}^{-2} \text{ day}^{-1}$) onto the MJO index. The width of the thick black lines estimates the
 525 zonal range of the strong tropical MSE tendency anomalies around the convective center. The ends to both sides
 526 of the black lines are located at the contour equal to around $\pm 4 \times 10^5 \text{ J m}^{-2} \text{ day}^{-1}$.

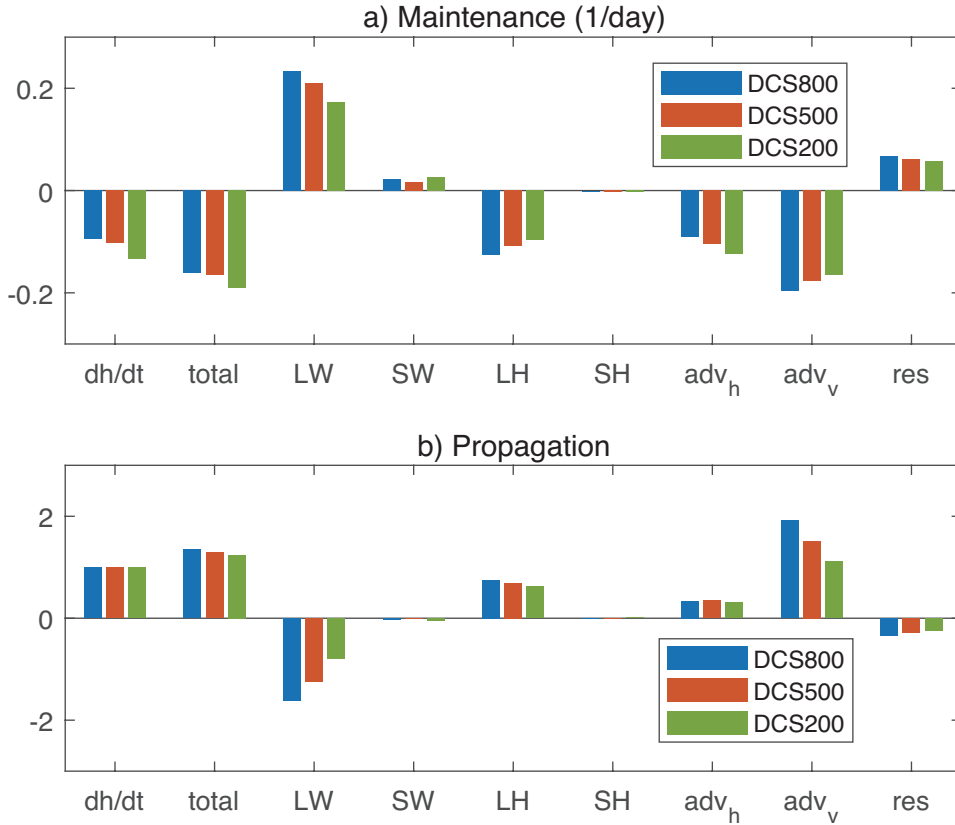
527 To examine how the MSE budget terms contribute to the maintenance and propagation of MJO-
 528 like mode, we follow the projecting method proposed by Andersen and Kuang (2012). Figure 11
 529 illustrates the calculation results which are the fractional contributions of MJO-regressed MSE
 530 budget terms to the MJO-regressed column MSE and MSE tendency. They can be calculated as

$$S_m(x) = \frac{\|x \cdot \langle h \rangle\|}{\|\langle h \rangle^2\|}, \quad (6)$$

$$S_p(x) = \frac{\|x \cdot \langle \partial h / \partial t \rangle\|}{\|\langle \partial h / \partial t \rangle^2\|}, \quad (7)$$

532 where S_m and S_p represent the projection onto the maintenance and propagation respectively, x
 533 is MJO-regressed MSE budget term, $\|y\| = \int \int y dA$ is the integral of y over the deep tropics
 534 A (5°S - 5°N , all longitudes). If we enlarge the integral region to 10°S - 10°N , similar results are
 535 obtained. The sum of six projection terms is named “total” in Figure 11. The differences between

536 “total” and the MSE tendency term are due to the existence of the residual term. Although the
 537 residual term is not small enough to be ignored, it does not affect our qualitative analysis of other
 538 terms.



539 FIG. 11. The projection of MSE budget terms onto the MJO-regressed (a) column MSE and (b) column MSE
 540 tendency. The MJO-regressed fields used for projection calculation are all longitudes within 5°S–5°N.

541 In Figure 11a, the column MSE tendency $\langle \partial h / \partial t \rangle$ has a negative contribution to the MSE
 542 maintenance. The opposite phases between MSE anomalies and MSE tendency anomalies (shown
 543 in Figure 10) account for the negative contribution. In the convective center of MJO-like mode, the
 544 column MSE tends to export, showing negative MSE tendency anomalies. When DCS increases,
 545 the negative contribution of $\langle \partial h / \partial t \rangle$ reduces, meaning a reduced MSE export. The anomalous
 546 MSE import maintains the MJO-like mode.

547 As we can see from Figure 11, the LW radiation term is distinguishable from other sources,
 548 contributing to the maintenance of column MSE and slowing down its eastward propagation. The
 549 SW radiation term has the same effect, however, its contribution is not significant. Regarding the

550 two terms as total radiative heating source, the MSE budget bar chart obviously highlights the role
551 of CRI in MJO-like mode. When DCS increases, the CRI has a larger positive contribution to the
552 maintenance and a larger negative contribution to the propagation. It is the only term among the
553 MSE budget terms that is well consistent with the characteristic changes of the MJO-like mode,
554 intensifying the MJO-like mode and retarding its eastward propagation with the increasing DCS.

555 The horizontal and vertical advection terms are the sink for maintaining the column MSE (Figure
556 11a), which is consistent with the results in Andersen and Kuang (2012). The advection terms show
557 different phases compared to the column MSE anomalies (Figure 10a–c), with negative advection
558 (both for horizontal and vertical advection) anomalies at the convective centers (Figure A1a–c and
559 Figure A1d–f). It implies that the MSE tends to export from the air column, especially exporting
560 MSE in the high levels due to the strong outflow if the convection is mature. Thus, the convection
561 is strong at the MJO convective centers but tends to dissipate, showing a negative contribution to its
562 maintenance. When DCS increases, the role of horizontal advection in dissipation weakens, while
563 the role of vertical advection strengthens. The opposite changes in response to the DCS increases
564 make their effects offset each other and make their total effect insignificant in MJO changes.

565 For the MJO propagation (Figure 11b), the advection terms are the source of eastward propaga-
566 tion, especially the vertical advection term with the largest contribution. When DCS increases, the
567 contribution of horizontal advection to the propagation barely changes. Instead, the vertical ad-
568 vection term exhibits much more significant changes with the increasing DCS, accelerating MJO's
569 eastward propagation. Such acceleration effect is opposite to our simulation results, meaning that
570 the role of the vertical advection must be overcome by other factors. Among the six budget terms,
571 only the LW radiation term can provide a completely opposite effect against vertical advection.
572 This result further demonstrates that the CRI dominates the slower propagation of MJO-like mode
573 in our simulations, although the role of MSE advection associated with the column MSE conver-
574 gence or divergence can not be neglected. In some sense, the vertical advection and LW terms
575 should be combined as they both come from the same process (convection).

576 The surface latent heat flux (SLHF) anomalies associated with the wind-induced surface heat
577 exchange (WISHE) feedback are often regarded as an important driver for the destabilization of
578 MJO, even more dominant than the CRI (e.g., Fuchs and Raymond 2017; Jiang et al. 2020).
579 However, the LH term does not seem a dominant contribution to the MSE budget in Figure 11,

580 and the negative contribution of the LH term to the MJO maintenance is against the previous
581 understanding of the role of WISHE feedback. In our simulations, the negative SLHF anomalies
582 associated with MJO-like mode occur within and to the west of the convective centers and the
583 positive SLHF anomalies occur to the east (Figure A1g–i), which is almost opposite to the phase
584 of column MSE anomalies (Figure 10a–c), accounting for the negative contribution. Such a
585 distribution of SLHF anomalies is unlike (even opposite to) the observed distribution which shows
586 positive SLHF anomalies within and to the west of the MJO convective center and negative SLHF
587 anomalies to the east, at least over the Warm-Pool longitudes (Jones and Weare 1996; Gao et al.
588 2019; Sentić et al. 2020). Given that our simulations provide a uniform equatorial easterly mean
589 state unlike the westerlies over the Warm Pool, the disparity of the role of LH term in the MJO
590 maintenance between our simulations and the observations is likely attributed to the mean state
591 wind differences.

592 To summarize the MSE budget analysis, it confirms the crucial role of CRI in changing MJO-
593 like mode in our simulations. The maintenance and propagation changes in MJO-like mode are
594 dominantly determined by CRI, though other processes, such as the low-level moisture convergence,
595 are also important to MJO-like mode. When DCS increases, more clouds with more cloud ice are
596 generated and persist longer at the convective center in the intraseasonal scale, producing stronger
597 radiative heating there (dominated by the LW radiative heating). The stronger radiative heating
598 anomalies in air columns appear in phase with the column MSE positive anomalies, acting as
599 a dominant energy source for the maintenance of MJO-like mode. Thus, the MJO-like mode
600 tends to intensify and generate more precipitation under the stronger CRI. CRI intensification also
601 decelerates the MJO-like mode, which is mainly because of the phase difference between the LW
602 radiative heating and the MSE tendency (Figure A1j–l and Figure 10), manifesting as the LW
603 radiative heating center lagging behind the positive MSE tendency center.

604 2) INFERENCES FROM MEAN STATE CHANGES

605 The CRI not only influences the MJO behavior directly in the intraseasonal scale but also likely
606 influences MJO through the DCS-induced mean state changes.

607 In Section 3, we find that the DCS-induced CRI intensification warms and moistens the tropics
608 (Figure 2), meanwhile causing a strengthened HC (Figure 3). The changed CRI and atmospheric

609 mean state stimulate cloud-radiative-circulation feedback, which provides favorable conditions for
610 the development of deep convection and generation of convective clouds in the tropics, consistent
611 with the precipitation power changes in the symmetric and background WK99 spectra (Figure 6).
612 In those spectra, we can see the power intensifies almost in all spatial-temporal scales.

613 MJO is an organized convective system traveling in the tropics. In such an environment conducive
614 to convection, MJO is more likely to generate stronger convection and precipitation. As shown
615 in the symmetric WK99 spectra, the power signal within the MJO band becomes stronger as the
616 signals in other scales do. It can be regarded as a pathway that the mean state change influences
617 MJO.

618 What's more, the subtropical mid-troposphere dries as we increase DCS (Figure 2d–f). This
619 enhances meridional moisture gradient, and might further accelerate MJO propagation based on
620 Kang et al. (2021), who discuss the MJO propagation over the Maritime Continent in boreal
621 winter. However, the changes in MJO propagation in our simulations are inconsistent with Kang
622 et al. (2021). Our idealized simulations without zonal asymmetry, seasonal cycle, and land-sea
623 distribution may partially account for this discrepancy, which is worth further investigation.

624 **5. Summary and Discussion**

625 This study investigates how CRI affects tropical mean state and MJO-like mode by tuning
626 a sensitive cloud microphysics parameter (DCS) in the CESM2 aquaplanet model. The findings
627 suggest that DCS-induced CRI changes play a crucial role in altering the mean state and modulating
628 the MJO characteristics.

629 Increasing DCS results in changes in cloud properties, leading to more high clouds with a
630 stronger CRI. It greatly changes the tropical mean state by increasing the tropospheric temperature
631 and moisture in the tropics, increasing the meridional heat and moisture gradient, as well as
632 strengthening the Hadley circulation and trade winds. The tropical precipitation and cloud radiative
633 forcing are also enhanced under the CRI intensification. On the one hand, the mean state changes
634 in our simulations can be explained by the direct role of cloud microphysics parameterization
635 changes. On the other hand, the mean state changes with CRI can be also elucidated from the
636 so-called cloud-radiative-circulation feedback. The DCS-induced mean state changes create a
637 favorable environment for deep convection, generating more convective clouds. The CRI, thereby,

638 can be also strengthened via cloud-radiative-circulation feedback, which is a different pathway
639 from the manual parameter tuning.

640 The unique role of DCS in cloud forcing and precipitation is also examined from the probability
641 density function. Increasing DCS strongly affects the extreme cloud forcing (larger than 100 W/m^2),
642 and shifts the median of precipitation PDF to a heavier precipitation range. As to the relationship
643 between them (call it R-P relation), it exhibits a non-linear “S” shape. If the cloud forcing and
644 precipitation are near the median, the cloud forcing grows faster with increasing precipitation when
645 DCS is larger, leading to a stronger cloud-radiative effect per unit precipitation. However, the cloud
646 forcing saturates when precipitation is very strong, which indicates that the cloud forcing has its
647 limit and the effect of tuning DCS is also limited when the DCS value is over a reasonable range.

648 The DCS-induced CRI changes can also influence the MJO-like mode characterized by eastward
649 propagation, wavenumber-1 features, and a 20-100-day period. With the strengthened CRI, the
650 MJO-like mode intensifies with a stronger precipitation power in WK99 symmetric spectra and
651 propagates more slowly with a lower frequency. However, the MJO-like signals are more difficult
652 to be distinguished from the background possibly due to the stronger background precipitation and
653 the influence of other waves.

654 According to the MSE budget analysis, the CRI, especially the LW radiative forcing, directly
655 influences MJO on the intraseasonal scale, dominating its intensity and propagation changes. With
656 the increasing DCS, LW radiative heating has a larger positive contribution to MJO maintenance and
657 a larger negative contribution to MJO eastward propagation. As to other terms in the MSE budget,
658 some of them offset each other, some of them barely change, and some of them are overcome
659 by the radiation term. The role of CRI can be also explained as follows: when strengthening
660 CRI, more clouds, more precipitation, stronger radiative heating, stronger upward motion, and
661 larger MSE in the middle troposphere are diagnosed at the MJO convective center, supporting the
662 intensification of MJO-like mode. The phase difference between LW radiative heating and MSE
663 tendency accounts for the deceleration.

664 The CRI not only influences MJO directly through the adjustment between radiation and con-
665 vection on the intraseasonal scale but also influences MJO through the DCS-induced mean state
666 changes. The mean state changed by CRI intensification provides a warmer, moister tropical

667 atmosphere with stronger HC, beneficial to the tropical convection in all spatial-temporal scales,
668 including the convection within “MJO band” shown in WK99 spectra.

669 We note the special method that simply uses the DCS parameter to control the CRI. It provides
670 a novel perspective for considering the effects of CRI. However, the DCS parameter behaves with
671 different values in different models (Zhang et al. 2013; Fan et al. 2021) and is chosen arbitrarily
672 (Eidhammer et al. 2014) due to no physical and observational basis (Eidhammer et al. 2017).
673 Efforts are underway to address this uncertainty. It may be worth exploring the realistic conditions
674 under which DCS can increase to establish a connection between DCS and the real world before
675 new approaches replace the “auto-conversion” in parameterization schemes.

676 Although we mainly focus on the significant role of CRI when discussing the changes in MJO-
677 like mode, it does not mean that other processes are not important to MJO, especially the WISHE
678 feedback. In fact, which mechanism, CRI or WISHE, determines MJO has not been well answered
679 yet and lacks consensus (e.g., Jiang et al. 2020). As discussed in Section 4b, we observe the
680 disparity of the WISHE effect on MJO between our aquaplanet simulations with an equatorial
681 low-level easterly mean state and the observations with equatorial westerlies over the Warm Pool.
682 In this study, we do not discuss too much on the WISHE feedback due to the unrealistic mean
683 state induced possibly unrealistic SLHF anomalies. Nevertheless, how do the mean state winds
684 influence the intraseasonal variabilities? When MJO propagates eastward passing through the
685 Maritime Continent and perhaps undergoing a transition between different mean wind states, how
686 does the role of WISHE change? More studies are still needed on these questions.

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697 *Data availability statement.* The CESM2 model can be accessed from the official website
698 (<https://www.cesm.ucar.edu/models/cesm2/>). Due to the substantial data size, cloud-storage for
699 our simulation data is inconvenient. For inquiries about accessing our simulation data, please
700 contact us for sharing.

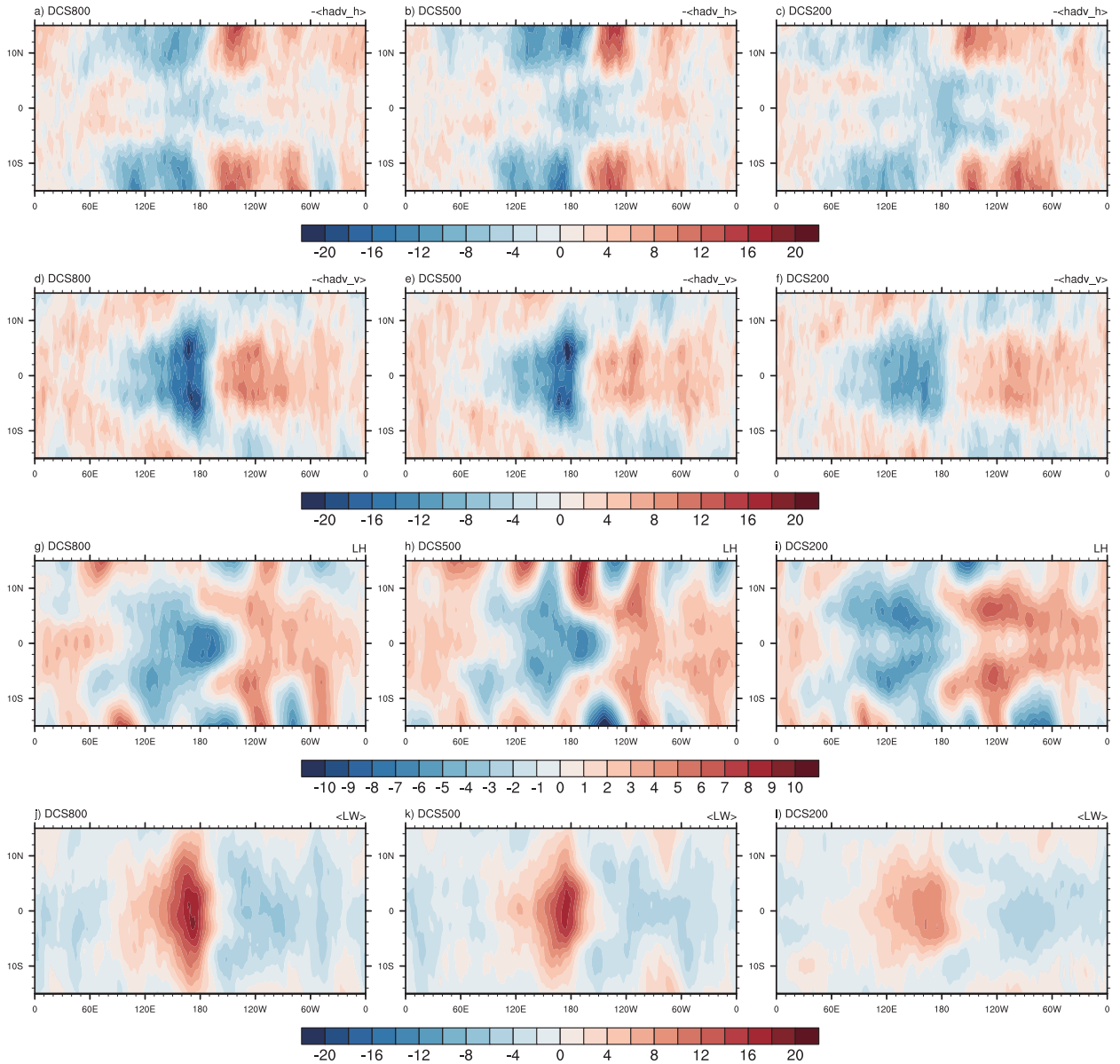
701 APPENDIX

702 MSE Budget Terms

703 The MSE budget terms are regressed onto the MJO index before calculating the their fractional
704 contribution to the MJO maintenance and propagation. Four main terms (horizontal advection
705 term, vertical advection term, LH term, and LW radiation term) with the significant contributions
706 are shown in Figure A1.

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707 FIG. A1. The regression of different MSE budget terms onto the MJO index: (a, b, c) horizontal advection
 708 term, (d, e, f) vertical advection term, (g, h, i) LH term, and (j, k, l) LW radiation term. Units: W/m^2 .

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