## A Validated Mathematical Model of FGFR3-Mediated Tumor Growth Reveals Pathways to Harness the Benefits of Combination Targeted Therapy and Immunotherapy in Bladder Cancer

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#### Abstract

Bladder cancer is a common malignancy with over 80,000 estimated new cases and nearly 18,000 deaths per year in the United States alone. Therapeutic options for metastatic bladder cancer had not evolved much for nearly four decades, until recently, when five immune checkpoint inhibitors were approved by the FDA. Despite the activity of these drugs in some patients, the objective response rate for each is less than 25%. At the same time, fibroblast growth factor receptors (FGFRs) have been attractive drug targets for a variety of cancers, and in 2019 the FDA approved the first therapy targeted against FGFR3 for bladder cancer. Given the excitement around these new receptor tyrosine kinase and immune checkpoint targeted strategies, and the challenges they each may face on their own, emerging data suggest that combining these treatment options could lead to improved therapeutic outcomes. In this paper, we develop a mathematical model for FGFR3-mediated tumor growth and use it to investigate the impact of the combined administration of a small molecule inhibitor of FGFR3 mutation reduces the effectiveness of anti-PD-L1 immune checkpoint. The model is carefully calibrated and validated with experimental data before survival benefits and dosing schedules are explored. Predictions of the model suggest that FGFR3 mutation reduces the effectiveness of anti-PD-L1 therapy, that there are regions of parameter space where each monotherapy can outperform the other, and that pretreatment with anti-PD-L1 therapy always results in greater tumor reduction even when anti-FGFR3 therapy is the more effective monotherapy.

**Original** Article

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## <sup>1</sup> Abstract

Bladder cancer is a common malignancy with over 80,000 estimated new cases and nearly 18,000 2 deaths per vear in the United States alone. Therapeutic options for metastatic bladder cancer had 3 not evolved much for nearly four decades, until recently, when five immune checkpoint inhibitors 4 were approved by the FDA. Despite the activity of these drugs in some patients, the objective 5 response rate for each is less than 25%. At the same time, fibroblast growth factor receptors 6 (FGFRs) have been attractive drug targets for a variety of cancers, and in 2019 the FDA approved 7 the first therapy targeted against FGFR3 for bladder cancer. Given the excitement around these 8 new receptor tyrosine kinase and immune checkpoint targeted strategies, and the challenges they 9 each may face on their own, emerging data suggest that combining these treatment options could 10 lead to improved therapeutic outcomes. In this paper, we develop a mathematical model for FGFR3-11 mediated tumor growth and use it to investigate the impact of the combined administration of a 12 small molecule inhibitor of FGFR3 and a monoclonal antibody against the PD-1/PD-L1 immune 13 checkpoint. The model is carefully calibrated and validated with experimental data before survival 14 benefits and dosing schedules are explored. Predictions of the model suggest that FGFR3 mutation 15 reduces the effectiveness of anti-PD-L1 therapy, that there are regions of parameter space where 16 each monotherapy can outperform the other, and that pretreatment with anti-PD-L1 therapy always 17 results in greater tumor reduction even when anti-FGFR3 therapy is the more effective monotherapy. 18

## <sup>19</sup> 1 Introduction

Bladder cancer is one of the 10 most common cancers in the United States and in advanced stages 5-year survival rates are low (below 35%) [1]. For more than 30 years, therapeutic strategies have focused on the use of systemic chemotherapy before, during, or after loco-regional therapy [2]. Unfortunately, outcomes with chemotherapy are poor in advanced cases [3]. For this reason, researchers have turned their attention to targeted therapies.

<sup>25</sup> Members of the fibroblast growth factor receptor (FGFR) family have become a successful <sup>26</sup> therapeutic focal point for bladder cancer [4]. Genomic analysis of bladder cancer has identified

frequent alterations of FGFRs, including over-expression and mutations of FGFR3 that activate 27 the receptor via ligand-independent dimerization [4]. Under normal conditions, heparin bound 28 fibroblast growth factor (FGF) mediates FGFR3 dimerization, leading to kinase activation and 29 stimulation of the extracellular-signal-regulated kinase (ERK) and protein kinase B (AKT) signaling 30 pathways, followed by increased cell proliferation and cell survival [4]. FGFR3 mutations that lead 31 to constitutive activation of downstream signaling pathways in the absence of FGF are commonly 32 found in bladder cancers. Urothelial bladder carcinoma has the most established association with 33 altered FGFR3 signaling, with up to 80% of low-grade tumors harboring FGFR3 mutations [5]. 34 Clinical trials using small molecule inhibitors (SMIs) of FGFR3 show promising clinical responses 35 for patients with FGFR3 mutations and in 2019, the FDA approved the first therapy targeted 36 against FGFR3 [4]. 37

At the same time, immunotherapy has now emerged as an exciting domain for exploration for 38 many cancers including bladder cancer. The recent success of programmed cell death protein 1 39 (PD-1) and programmed death-ligand 1 (PD-L1) blockade in cancer therapy illustrates the impor-40 tant role of the PD-1/PD-L1 checkpoint in the regulation of anti-tumor immune responses [6]. In 41 particular, monoclonal antibodies (mAbs) targeting the PD-1/PD-L1 pathway have resulted in fa-42 vorable outcomes in advanced bladder cancer and 6 immune checkpoint inhibitors (ICIs) targeting 43 this pathway were approved in 2015-2018 [7]. Despite the approved potential of ICIs, only a minority 44 (approximately 20%) of bladder cancer patients respond favorably to these therapies and median 45 survival with second line immunotherapy remains shorter than 1 year [8]. Figure 1 is a schematic 46 diagram showing the impact of FGFR3 mutations and PD-1-PD-L1 checkpoints on tumor growth 47 and tumor cell - T cell interactions. 48

Given the potential and challenges ICIs on their own, it is possible that the co-acting combination of potent immune checkpoint inhibitors and specific FGFR3 inhibitors can offer much-needed improvements in targeted therapeutics for bladder cancer. The the rationale for combining FGFR3targeted therapy with immunotherapy is confirmed in preclinical and correlative literature and animal models suggest potential synergies between these two mechanisms [8]. When attempting to combine two very different therapeutic approaches that target distinct pathways, treatment out-



FIGURE 1. Microenvironment of tumor cell showing the dynamics of FGFR3 mutation on tumor cells (phosphorylation of the kinase region leads activation of AKT, ERK, STAT, and MAPK proteins which result into target DNA transcription leading to cell proliferation and cell survival), the activation of T cell by tumor cells, and suppression of T cell activation and proliferation by PD-L1 binding with PD-1.

comes can depend on the order and timing in which therapies are administered. Experimental studies of the most appropriate strategy for FGFR3 inhibition in the context of ICI therapy (either through sequencing or combination) are generally in early clinical stages. Data driven mathematical modeling is an ideal tool for analyzing novel drug combinations for clinical cancer treatment and here we design a model to investigate FGFR3 mediated tumor growth and response to combination targeted and ICI therapy. The sections below describe the details of model development, sensitivity and identifiability analysis, parameter estimation, and therapeutic predictions.

## <sup>62</sup> 2 Model Formulation

<sup>63</sup> Our mathematical model is based on the current biological understanding of bladder cancer growth <sup>64</sup> when the FGFR3 mutation is present. We first develop a pretreatment model that describes the <sup>65</sup> impact of ligand-independent activation of FGFR3 on tumor growth and CTL mediated death. <sup>66</sup> Next, we extend the pretreatment model to include anti-PD-L1 therapy alone and in combination with FGFR3-targeted therapy. These models are used to predict the impact of therapy on survival
outcomes and to suggest the best dose scheduling regimes for therapeutic efficacy.

#### <sup>69</sup> 2.1 Model Formulation with FGFR3 and Immune Checkpoints

The pretreatment model, described in detail below, captures the local evolution of free FGFR3 (R)and active FGFR3 dimer complexes (D) on tumor cells (T) as well as PD-1  $(P_D)$  and PD-L1 (L)

mediated immune cell (Y) kill. The model variables and their units are described in Table 1.

TABLE $1$ .	Description	of	Variables
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Variable	Description	$\mathbf{Units}$
R	Free FGFR3 monomer receptors	nmol
D	Active FGFR3 dimer complexes	nmol
T	Tumor cells	cells
Y	Cytotoxic T cells (CTL)	cells
$P_D$	PD-1	nM
L	PD-L1	nM

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The equations in (1) below describe the ligand-independent dimerization of FGFR3. Parameters that mediate these FGFR3 dynamics include the receptor association rate  $(k_f)$  and dissociation rate  $(k_r)$ . It is also known that activated receptors undergo stimulated endocytosis but can continue to signal along the endocytic pathway [9] so we also include terms for receptor internalization and recycling rate  $(k_p)$ . For a full list of parameters see Table 2.

$$\frac{dR}{dt} = -2k_f R^2 + 2k_r D + 2k_p D + R_T \mathscr{P}(T, \phi_D) - \frac{R}{R + 2D} R_T \mathscr{D}(T, Y, \phi_D)$$

$$\frac{dD}{dt} = k_f R^2 - k_r D - k_p D - \frac{D}{R + 2D} R_T \mathscr{D}(T, Y, \phi_D)$$
(1)

These ODEs must account for changes in receptor number due to cellular proliferation and apoptosis. The last two terms in the equation for free receptors (R) describes the generation of new receptors as cells divide and the loss of receptors as cells die, respectively, where  $R_T$  is the total number of FGFR3 molecules on tumor cells and  $\frac{R}{R+2D}$  is the fraction of free FGFR3 that is removed from the loss of tumor cells (T) by cytotoxic T cells (Y). The FGFR-dependent proliferation growth and death rates of tumor cells (i.e.,  $\mathscr{P}(T, \phi_D)$  and  $\mathscr{D}(T, Y, \phi_D)$  are defined in the temporal dynamics of the tumor cells described below, where  $\phi_D$  is the fractional occupancy of active FGFR3 dimer per cell defined by:

$$\phi_D = \frac{1}{R_T} \frac{D}{T}.$$
(2)

Equation (3) below models the temporal dynamics of the tumor cells

$$\frac{dT}{dt} = (\alpha_1 + \alpha_2 \phi_D)T - \frac{\delta_1 Y}{1 + \gamma_T \phi_D}T \equiv \mathscr{P}(T, \phi_D) - \mathscr{D}(T, Y, \phi_D)$$
(3)

The first term in Equation (3) describes tumor cells with high antigenicity proliferating exponentially 87 with a natural growth rate  $\alpha_1$ , and an FGFR-mediated tumor growth rate  $\alpha_2$ . The second term in 88 Equation (3) describes the killing of tumor cells by cytotoxic T cells (Y) modified by the impact of 89 FGFR3 on tumor survival, where  $\delta_1$  is the death rate of a tumor cell by cytotoxic T cells, and  $\gamma_T$  is 90 the sensitivity of fractional occupancy of FGFR. This formulation assumes that the total number 91 (converted to nmol using molecular weight) of receptors per tumor cell  $R_T$  remains constant. This 92 means that the total amount of FGFR3 in the system should be conserved. We can ensure that the 93 model equations do conserve FGFR3 by considering the sum of the equations of the model (1): 94

$$\frac{dR}{dt} + 2\frac{dD}{dt} = R_T \left[ \mathscr{P}(T, \phi_D) - \mathscr{D}(T, Y, \phi_D) \right] = R_T \frac{dT}{dt},$$

<sup>95</sup> Therefore, upon integration, we have

$$R + 2D = R_T T.$$

<sup>96</sup> The equation for the change in cytotoxic T cells (Y) is given by:

$$\frac{dY}{dt} = \left(\mu + \alpha_Y \frac{T}{\kappa + T}Y\right) F(P_D, L) - \delta_2 TY - \delta_Y Y \tag{4}$$

<sup>97</sup> The first term in Equation (4) represents a constant recruitment/activation of T cells at a rate,  $\mu$ . <sup>98</sup> The second term describes proliferation that occurs as the result of antigenic stimulation by the <sup>99</sup> tumor cells. The maximum proliferation rate is  $\alpha_Y$  and  $\kappa$  represents the population of T at which the

immune cells lyse tumor cells at half of their maximum killing rate [10]. The factor  $F(P_D, L)$ , which 100 is described in greater detail below, represents the suppression of T cell activation and proliferation 101 via the PD-1/PD-L1 checkpoint. The variables  $P_D$  and L denote the molar concentrations of PD-1 102 and PD-L1, respectively, expressed by cells within the model. The molar concentrations are obtained 103 by first calculating the PD-1 expression on all T cells and the PD-L1 expression on all T cells and 104 tumor cells as outlined in the Appendix found in [10]. Our formulation of  $F(P_D, L)$  in Equation 105 (7) below ensures that as  $P_D$  and L increases so does the number of PD-1/PD-L1 complexes within 106 the tumor region. This increase corresponds to a smaller  $F(P_D, L)$  value, modeling the inhibition 107 of T cell activity. Finally, the last two terms describe how CTLs can die. Specifically, interaction 108 with tumor cells can result in death at a rate  $\delta_2$  as was done in [11], but which sets our model apart 109 from [10, 12, 13]. CTLs can also die naturally at a rate  $\delta_Y$ . 110

We assume that all T cells express PD-1 and that the temporal dynamics of this cell-bound protein is proportional to the rate of change of the T cells on which they reside as described by Equation 5. This is the same approach used in [10, 12, 13].

$$\frac{dP_D}{dt} = \rho_P \frac{dY}{dt} \qquad \Rightarrow \qquad P_D = \rho_P Y \tag{5}$$

where,  $\rho_P$  is the cell rate of expression of PD-1 on T cells. Again, following [10, 12, 13], the molar concentration of PD-L1 (*L*) within the tumor micro-environment is given by

$$L = \rho_L(Y + \epsilon T) \tag{6}$$

where  $\rho_L$  is the is the molar concentration of PD-1 per T cell and the parameter  $\epsilon > 1$  reflects the fact that the expression of PD-L1 is upregulated on tumor cells (and depends on the specific type of tumor). Finally, we choose the following functional form for T cell suppression via PD-1 signaling,  $F(P_D, L)$ , just as in [10, 12, 13] by

$$F(P_D, L) = \frac{1}{1 + P_D L/K_{YQ}}.$$
(7)

<sup>120</sup> The parameter values and their sources for the full pretreatment model are provided in Table 2.

Variable	Description	Range of value (Baseline)	$\mathbf{Units}$	Source
FGFR3-related				
$k_f$	FGFR3 association rate	$1-5 \times 10^{11} (4.16 \times 10^{11})$	$\mathrm{nmol}^{-1}\mathrm{d}^{-1}$	[14]
$k_r$	FGFR3 dissociation rate	10 - 2000 (864)	$d^{-1}$	[14]
$k_p$	FGFR3 recycling rate	10 - 150  (112.32)	$d^{-1}$	[14]
$R_T$	Total FGFR3 receptors	$1.49 - 1.74 \times 10^{-11} (1.66 \times 10^{-11})$	$\mathrm{nmol}\mathrm{cell}^{-1}$	[15]
Tumor-related				
$\alpha_1$	Proliferation rate	0.12 - 0.51  (0.337)	$d^{-1}$	Best fit
$lpha_2$	FGFR3-mediated prolif-	0.001 - 0.1  (0.00774)	$d^{-1}$	Best fit
	eration rate			
$\delta_1$	CLT-mediated death	$1 - 2.5 \times 10^{-7}  (1.1 \times 10^{-7})$	$\operatorname{cell}^{-1} \operatorname{d}^{-1}$	[11]
	rate			
$\gamma_T$	FGFR3-enhanced sur-	0.1 - 0.5  (0.3018)		Best fit
	vival sensitivity			
T cell-related				
$\mu$	Activation/recruitment	$1 - 2  imes 10^4  (1.3  imes 10^4)$	$\operatorname{cell} \mathrm{d}^{-1}$	[11]
	rate			
$lpha_Y$	Max proliferation rate	0.1 - 0.5 (0.3044)	$d^{-1}$	[11, 12, 16]
$\kappa$	Proliferation half-	$10^6 - 3  imes 10^7 (2.019  imes 10^7)$	cell	[11]
	saturation constant			
$\delta_2$	Tumor-mediated death	$2 - 4 \times 10^{-10} \left( 3.422 \times 10^{-10} \right)$	$\operatorname{cell}^{-1} \operatorname{d}^{-1}$	[11]
	rate			
$\delta_Y$	Natural death rate	$0 - 0.05 \ (0.0412)$	$d^{-1}$	[10]
$ ho_P$	PD-1 per cell	$10^{-9} - 10^{-7} (1.258 \times 10^{-8})$	nM	[10]
$ ho_L$	PD-L1 per cell	$10^{-9} - 2 \times 10^{-7} (2.51 \times 10^{-8})$	nM	[10]
$\epsilon$	Tumor - immune PD-L1	1 - 100 (50)		[10, 12, 16]
	ratio			
$K_{YQ}$	Immune checkpoint inhi-	$10^{-4} - 10^{-2} (1.296 \times 10^{-3})$	$\mathrm{n}\mathrm{M}^2$	[10]
	bition constant			

#### TABLE 2. Pretreatment Parameter Values

# <sup>121</sup> 2.2 Model Formulation with FGFR3, Immune Checkpoints, and Combination <sup>122</sup> Therapy

<sup>123</sup> In this section, we extend our pretreatment model equations to incorporate the therapeutic admin-<sup>124</sup> istration of an immune checkpoint inhibitor (ICI) in the form of a monoclonal antibody against PD-L1 and a small molecule inhibitor (SMI) targeting the FGFR3 pathway. We refer to the former
as anti-PD-L1 therapy and the latter as anti-FGFR3 therapy and our goal is to study the response
of tumor cells to these therapies alone and in combination. See Figure 2 for a schematic description
of a tumor cell undergoing anti-FGFR3 and anti-PD-L1 combination therapy.



FIGURE 2. Microenvironment of tumor cell with combination therapy of anti-FGFR3 drug plus anti-PD-L1 antibody. We assume that anti-FGFR3 drug binds with both FGFR3 monomers and dimers. Anti-PD-L1 antibody targets PD-L1, thus inhibiting its binding with PD-1 and enabling T cell activation and proliferation.

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An anti-PD-L1 antibody (A) binds to PD-L1 and inhibits the formation of the PD-1-PD-L1

<sup>130</sup> complex. Following [10, 12, 13], the equation for the change in anti-PD-L1 antibody is given by:

$$\frac{dA}{dt} = -\mu_{LA}LA - \delta_A A,\tag{8}$$

with an initial condition, A(0), that represents the amount of anti-PD-L1 antibody administered via intraperitoneal injection at different time points,  $\mu_{LA}$  is the depletion rate of anti-PD-L1 antibody through binding with PDL-1 (L) and  $\delta_A$  is the natural degradation rate of anti-PD-L1 antibody. Upon administration of an anti-PD-L1 antibody, the equation for the change in cytotoxic T cells (given in Equation (4)) is modified and given by:

$$\frac{dY}{dt} = \left(\mu + \alpha_Y \frac{T}{\kappa + T}Y\right) F(P_D, L, A) - \delta_2 TY - \delta_Y Y.$$
(9)

136 The functional form F(P, L, A) given by:

$$F(P_D, L) = \frac{1}{1 + \frac{P_D L}{K_{YQ}} \left(1 - \frac{A}{A + K_D}\right)}.$$
 (10)

where  $K_D$  is the dissociation constant of the PD-L1/anti-PD-L1 complex. The factor  $F(P_D, L, A)$ represents the impact of an anti-PD-L1 by reducing the number of PD-1/PD-L1 complexes within the tumor region. In the absence of an anti-PD-L1 antibody (i.e., A = 0), the factor  $F(P_D, L, A)$ becomes  $F(P_D, L)$  given by Equation (7). See Appendix A for the full derivation of  $F(P_D, L, A)$ .

By binding to the kinase activity region of the receptors, an anti-FGFR3 drug (rogaratinib) 141 inhibits the phosphorylation of the FGFR3 kinase domain and the downstream signaling of AKT, 142 MAPK, ERK, and STAT [17, 18, 19]. To incorporate the therapeutic administration of rogaratinib, 143 we designed a pharmacokinetic model with oral administration of rogaratinib. We assume that the 144 tumor resides in a pharmacokinetic compartment of its own, and rogaratinib is transferred into the 145 tumor from the systemic circulation at the same rate as the peripheral tissue. The pharmacokinetics 146 of rogaratinib and the system of equations (and all the underlying assumptions) governing the 147 dynamics of FGFR3 in the tumor cell in the presence of rogaratinib are given in Appendices B and 148 C, respectively. 149

Overall, the temporal dynamics of the tumor cells in the presence of combination therapy of anti-FGFR3 and anti-PD-L1 is given by:

$$\frac{dT}{dt} = (\alpha_1 + \alpha_2 \phi_D^C)T - \frac{\delta_1 Y}{1 + \gamma_T \phi_D^C}T \equiv \mathscr{P}(T, \phi_D^C) - \mathscr{D}(T, Y, \phi_D^C)$$
(11)

where  $\phi_D^C$  is the fractional occupancy of active FGFR3 dimer per cell in the presence of anti-FGFR3 drug (described in Appendix A) and the temporal dynamics of cytotoxic T cells (Y) are given by Equation (9).

#### 155 **3** Pretreatment Results

#### <sup>156</sup> 3.1 Parameter Sensitivity

We use uncertainty and sensitivity analysis to determine the parameters that have the greatest 157 effect on tumor growth in the FGFR3 mutation model without treatments (Equations (1), (3). 158 and (4)). Global sensitivity analysis quantifies the impact of the variations or sensitivity of each 159 parameter of the model on the model outcomes [20, 21, 22]. In particular, following [21, 22], Latin 160 hypercube sampling (LHS), and the partial rank correlation coefficient (PRCC) will be used for 161 this analysis. The sensitivity analysis of the model is carried out using the tumor volume (in 162 mm<sup>3</sup>) at the final time point, which is defined as  $\frac{T(t_f)}{10^6}$  where  $t_f = 25$  d. The range and baseline 163 values of the parameters, tabulated in Table 2, will be used. The result depicted in Figure 3 shows 164 that the parameters that significantly affect the tumor growth dynamics are the natural growth 165 rate of tumor cells ( $\alpha_1$ ), the CTL mediated death rate of tumor cells ( $\delta_1$ ), and FGFR3-mediated 166 tumor proliferation ( $\alpha_2$ ), and the sensitivity of tumor survival to FGFR3 ( $\gamma_T$ ). Overall, these 167 results indicate that therapies (monotherapies or combination therapies) that reduce the natural 168 growth rate of tumor cells, increase the death rate of tumor cells by cytotoxic T-cells (e.g. the use 169 of antibodies to target the immune checkpoint PD-1/PD-L1 pathway to active cytotoxic T-cells). 170 and/or decreasing fractional occupancy of FGFR3 dimer complexes on tumor cells (e.g., the use 171 of anti-FGFR3 drugs to target the FGFR3 pathway) will be effective in controlling and treating 172 bladder cancer with FGFR3 mutation. 173



FIGURE 3. Sensitivity analysis of models (1), (3), and (4) showing PRCC values for the model parameters using the tumor volume as a response function. The baseline and range of parameter values used are given in Table 2.

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#### <sup>175</sup> 3.2 Pretreatment Identifiability

To determine which model parameters, if any, can be uniquely estimated from a given data set (and to what degree of certainty), we employ identifiability analysis [23]. This toolkit allows us to determine the subset(s) of identifiable parameters and explore their interplay without even using experimental data for parameter estimation and model calibration [24]. We examine both structural and practical identifiability of the model parameters.

#### 181 3.2.1 Structural Identifiability

First, we perform a structural identifiability analysis to determine whether or not it is possible to obtain a unique solution for the parameters while assuming perfect data (noise-free and continuous in time and space) [25, 26, 27, 28]. Specifically, we consider the subset of the sensitive parameters identified in Section 3.1 and determine if they can be uniquely estimated from measurements of values of all the model variables (active dimer complexes on tumor cells, tumor volume, and the number of cytotoxic T cells). The structural identifiability of the model is analyzed using the <sup>188</sup> MATLAB package GenSSI (see [25, 28] for complete details).

We obtained an identifiability tableau in Figure 4A that shows 8 non-zero rows-indicated by 189 black regions and corresponding to non-zero generating series coefficients-that depend on the sen-190 sitive parameters. If any parameters from the identifiability tableau can be computed as functions 191 of the power series coefficients and eliminated, then a reduced tableau is obtained [25], as shown in 192 Figure 4B. Using the GenSSI algorithm, we obtained unique solutions for all the sensitive parame-193 ters  $(\alpha_1, \delta_1, \alpha_2, \gamma_T)$ , that is, they are globally identifiable. Thus, the model is globally structurally 194 identifiable, which indicates that error-free time series data of all the model variables would be 195 sufficient to identify a unique subset of the four parameters. 196



FIGURE 4. Model identifiability results with the subset of four most sensitive parameters the model. (A) Identifiability tableau. (B) Results and reduced tableau. All four parameters shown are globally identifiable.

#### <sup>197</sup> 3.2.2 Practical Identifiability

In practice, complete time-series and noiseless experimental data for structural identifiability are not available. Therefore, in this section, we carry out a practical identifiability analysis to determine whether the most-sensitive parameters are identifiable from noisy experimental data of tumor volume. To do this, we seek to determine whether a distribution with a clear mode can be determined for each of the sensitive parameters given such data. We used the Markov chain Monte Carlo

(MCMC) method with Metropolis-Hastings sampling [25]. Given simulated data for the system 203 output, prior distributions of the parameter values, and a likelihood function, the MCMC samples 204 the posterior distributions of the parameter, and the Metropolis-Hastings updating scheme accepts 205 the new sample with probability given by the ratio of the new likelihood to the old likelihood [25]. 206 Specifically, we use uniform distributions as prior distributions on the parameters within the 207 ranges given in Table 2. To create the likelihood functions, we use the experimental data for 208 tumor volume without FGFR3 mutation – to determine the practical identifiability of  $\alpha_1$  and  $\delta_1$  – 209 and the experimental data for tumor volume with FGFR3 mutation – to determine the practical 210 identifiability of  $\alpha_2$  and  $\gamma_T$ . The tumor volume for each day is assumed to be log-normally distributed 211 about the mean tumor volume at each time point and truncated to be within one standard deviation 212 of this mean. The joint probability distribution of these is then used to create the likelihood 213 functions for the two applications of the MCMC method. We first used MCMC to estimate the 214 posterior distributions for  $\alpha_1$  and  $\delta_1$  and then separately used it for  $\alpha_2$  and  $\gamma_T$ . In both cases, we 215 used a chain length of 10,000 to sample from the posterior distributions. 216

The result depicted in Figure 5A in the form of one-dimensional histograms and two-dimensional 217 heat maps shows that  $\alpha_1$  has a normal distribution and  $\delta_1$  has a broad distribution within its range 218 in Table 2, thus indicating that  $\alpha_1$  is practically identifiable and  $\delta_1$  is not practically identifiable. 219 Then, by sampling from this posterior distribution and forward simulating, we generate model 220 predictions of tumor volume distributions without FGFR3 mutation at the sample time points 221 that are tightly controlled and match the corresponding distributions from the data (Figure 5B). 222 Similarly, using experimental data for mean tumor volume with FGFR3 mutation, our simulation 223 showed that  $\alpha_2$  and  $\gamma_T$  have a normal and a broad distribution, respectively (Figure 5C), within 224 its range in Table 2. Hence,  $\alpha_2$  is practically identifiable, and  $\gamma_T$  is not practically identifiable 225 given the available experimental data. We again sample from the posterior distribution and forward 226 simulate to generate tumor volume distributions with FGFR3 mutation, and again we see that these 227 distributions are tightly controlled and match the corresponding data distribution (Figure 5D). 228



FIGURE 5. (A) Matrix of two-dimensional heat maps with one-dimensional histograms on the diagonal showing the parameter distributions of  $\alpha_1$  and  $\delta_1$  using experimental data of tumor volume without FGFR3 mutation. (B) The distributions of tumor volume for the MCMC chain, for five time points using experimental data of tumor volume without FGFR3 mutation. (C) Matrix of two-dimensional heat maps with one-dimensional histograms on the diagonal showing the parameter distributions of  $\alpha_2$  and  $\gamma_T$  using experimental data of tumor volume with FGFR3 mutation (D) The distributions of tumor volume for the MCMC chain, for five time points using experimental data of tumor volume with FGFR3 mutation (D) The distributions of tumor volume for the MCMC chain, for five time points using experimental data of tumor volume with FGFR3 mutation (D) The distributions of tumor volume for the MCMC chain, for five time points using experimental data of tumor volume with FGFR3 mutation.

#### 229 3.3 Pretreatment Experimental Studies

For mouse experiments, 6-8 week old female C57BL/6 mice were obtained from Jackson laboratory. 230 Mice were housed in a specific pathogen-free animal facility at the University of Chicago. The MB49 231 cell line is a carcinogen-induced urothelial carcinoma cell line derived from a male C57BL/6 mouse, 232 which was generously provided by Timothy L. Ratliff, Purdue University. The MB49-FGFR3G370C 233 cell line was were generated by retroviral transduction using the pMXs-IRES-GFP vector and sorted 234 4 times for GFP expression. For tumor growth experiments, mice were injected subcutaneously with 235  $1\times10^{6}$  MB49-FGFR3G370C tumor cells or GFP vector control MB49 tumor cells. Tumor volume 236 was measured two times per week until endpoint. All experimental animal procedures were approved 237

<sup>238</sup> by the University of Chicago Animal Care and Use Committee (IACUC).

#### 239 3.4 Pretreatment Parameter Estimation

Having determined the identifiability properties of the most significant parameters both structurally 240 and practically, we turn to estimating these parameters from experimental data. Specifically, we fit 241 the mathematical model to two growth curves of MB49 bladder cancer cell lines, with and without 242 mutant FGFR3 as described above. We use experimental data of tumor volume vs time (5 time 243 points) for 5 mice without mutant FGFR3 to estimate the FGFR3-independent tumor growth rate 244  $(\alpha_1)$ . We use the MATLAB lsqcurvefit function with ode15s solver, and an initial condition, given 245 by  $T(0) = 10^6$  cells and  $Y(0) = 3.2 \times 10^5$  cells [11], to carry out the data-fitting process. By 246 calibrating Equations (3) and (4) with  $\alpha_2 = \gamma_T = 0$  with the experimental data (Figure 6A - green 247 curve), we obtained the best fit value for  $\alpha_1 = 0.337 \, d^{-1}$ , which corresponds to a bladder tumor 248 doubling time of 2.1 days in mice. The box-plot of the residual vector shown in Figure 6B indicates 249 that the model can accurately predict temporal changes tumor volume in mice without the FGFR3 250 mutation. 251

With FGFR3-independent parameters estimated, we next calibrate the model with FGFR3 252 mutation (Equations (1), (3), and (4)). Specifically, we use experimental data of tumor volume 253 vs. time when the FGFR3 mutation is present in mice (Figure 6A - red curve) to estimate two 254 parameters associated with ligand-independent activation of FGFR3 (i.e., the FGFR3-mediated 255 tumor proliferation rate ( $\alpha_2 = 0.00774 \,\mathrm{d}^{-1}$ ) and the FGFR3-mediated survival sensitivity parameter 256  $(\gamma_T = 0.3018)$ . As before, we generated box-plots of residuals (Figure 6C), indicating that the model 257 can accurately predict tumor volume when the FGFR3 mutation is active. It is important to note 258 that growth of the experimental tumor cell line is not dependent on the FGFR3 activating mutation, 259 which was exogenously introduced. Thus, we do not expect the FGFR3 activating mutation to have 260 a significant impact on tumor growth as observed in both the data and model simulation. 261



FIGURE 6. (A) Green curve: Calibration of models (3) and (4) with  $\alpha_2 = \gamma_T = 0$  to the experimental data of tumor without FGFR3 mutation in mice (n = 5) to estimate the FGFR3-independent growth rate  $(\alpha_1 = 0.337 \,\mathrm{d}^{-1})$ . Blue curve: Calibration of models (1), (3), and (4) to the growth curve of tumor with FGFR3 mutation in mice (n = 5) to estimate FGFR3-dependent parameters  $(\alpha_2 = 0.00774 \,\mathrm{d}^{-1} \text{ and } \gamma_T = 0.3018)$ . (B, C) Residual plots showing that the models predict tumor volume without FGFR3 mutation and with FGFR3 mutation, respectively.

#### <sup>262</sup> 3.5 Relative Impact of FGFR3-dependent Pathways on Tumor Growth

With all parameters associated with tumor growth now estimated, an important question arises 263 about which FGFR3-mediated effect, increased proliferation or increased survival, results in a 264 greater measurable increase in tumor volume. We addressed this by estimating, from simulations, 265 the difference between the tumor volume on day 25 when the FGFR3 survival benefit is switched 266 off (i.e.  $\alpha_2 \in [0.001, 0.03]$  and  $\gamma_T = 0$ ) and when the FGFR3 proliferative benefit is turned off (i.e. 267  $\alpha_2 = 0$  and  $\gamma_T \in [0.1, 0.5]$ ). In this way, we compare their relative contributions to tumor growth 268 and in Figure 7 we see that parameter space is divided in two by which mechanism leads to more 269 tumor growth. It is interesting to note that the region in parameter space that corresponds to the 270 proliferation effect resulting in larger tumors is much more expansive. This region also contains the 271 point corresponding to our estimated parameters as shown by the red dot in Figure 7, though the 272 difference in the effect on tumor volume there is slight. 273



FIGURE 7. Heatmap showing the difference between tumor volume in mice on day 25 predicted from model with  $\alpha_2 \in [0.001, 0.03]$  and  $\gamma_T = 0$  and volume in mice on day 25 predicted from model with  $\alpha_2 = 0$  and  $\gamma_T \in [0.1, 0.5]$ . The red dot represents the difference between the model with  $\alpha_2 = 0.00774 \, d^{-1}, \gamma_T = 0$  and the model with  $\alpha_2 = 0 \, d^{-1}, \gamma_T = 0.3018$ , indicating that FGFR3 mutation have an almost equal effect on both tumor proliferation and survival in the experimental design. The contour plot shows the prediction of tumor volume on day 25 at the different pairs of  $\alpha_2$  and  $\gamma_T$  in the ranges [0.001, 0.03] and [0.1, 0.5], respectively.

### <sup>274</sup> 4 Treatment Results

We next turn to the question of understanding the effects of therapy on the tumor reduction. Specifically, in this section we simulate the model with immune checkpoint and FGFR3 targeted therapy alone and in combination. The dosing schedule for the therapies are presented in Figure 8 – anti-FGFR3 therapy is administered every day starting from day 7 through day 25 except on days 12, 13, 19, and 20 (these days are regarded as off-days) and anti-PD-L1 antibody is administered every 3 days starting on day 7 (except on the off-days).



FIGURE 8. Dosing schedule of anti-FGFR3 and anti-PD-L1 monotherapies.

#### <sup>281</sup> 4.1 Treatment with anti-PD-L1 Antibody Alone

In order to study the effect of monotherapy with an anti-PD-L1 immunotherapeutic agent, we cali-282 brated Equations (1), (3), and (9) with the experimental data for tumor cells without the FGFR3 283 mutation in mice (Figure 9A). In the experiments that generated this data, doses of 100 µg anti-284 PD-L1 therapeutic agent with a half-life of 48 hours was administered to mice via intraperitoneal 285 injection using the baseline schedule in Figure 8. We used this data to estimate the drug disso-286 ciation constant  $(K_D)$  and the depletion rate of anti-PD-L1 antibodies through binding to PD-L1 287  $(\mu_{LA})$ . These results are shown in Fig 9A. With the model now calibrated to data where the FGFR3 288 mutation is absent, we turn to validating the model with data where the FGFR3 mutation is active. 289 Specifically, to accomplish this validation step, we directly compared (i.e., no additional parameter 290 fitting) the model simulations with the experimental data of anti-PD-L1 therapy against FGFR3-291 mutant tumor cells (Figure 9B). The result shows an excellent correlation between the model and 292 the data without the need for parameter tuning. 293



FIGURE 9. (A) Anti-PD-L1 therapy model calibration to experimental data of anti-PD-L1 therapy on tumor cells without FGFR3 mutation in mice to estimate  $K_D = 0.1005 \text{ nM}$ ,  $\mu_{LA} = 2.6611 \times 10^{-5} \text{ d}^{-1}$ . (B) The estimated parameters are used to simulate the anti-PD-L1 therapy model with FGFR3 mutation, and directly compared with the corresponding data in mice. Parameter values used are given in Tables 2 and 4.

In Figure 10, the model output from Figures 9A and B is compared to the corresponding models without anti-PD-L1 therapy. The larger gap in 10A compared to 10B shows that mice without FGFR3 mutation receive more benefit from anti-PD-L1 therapy compared to mice with FGFR3 mutation.



FIGURE 10. Comparison of models with anti-PD-L1 therapy. Results in (A) and (B) show that mice without FGFR3 mutation receive more benefit from anti-PD-L1 therapy compared to mice with FGFR3 mutation. Parameter values used are given in Tables 2 and 4.

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#### 298 4.2 Treatment with anti-FGFR3 Inhibitor Alone

Next we investigate targeted therapy against the FGFR3 receptor using the dosing schedule in Figure 299 To estimate rogaratinib pharmacokinetic parameters, we fit a three-compartment model for 8. 300 rogaratinib bio-distribution (described in Appendix B) to experimental data of rogaratinib plasma 301 concentration in mice [17]. Using these parameter values, we simulated (see Figure 11) FGFR3 302 mutant tumor response to the following doses of rogaratinib: 25 mg/kg QD (once a day), 25 mg/kg303 BID (twice a day), 50 mg/kg QD, and 75 mg/kg QD using the dosing schedule in Figure 8. It is 304 clear from Figure 11 that the various doses of anti-FGFR3 drugs do not have substantial impacts on 305 the tumor volume. Also, the effect sizes of the doses are approximately equal. These results are not 306 surprising since this tumor cell line is not dependent on the FGFR3 activating mutation-which was 307 exogenously introduced to study its impact on anti-PD-L1 therapy as shown in Fig 10-for enhanced 308 tumor growth. 300



FIGURE 11. Simulation of anti-FGFR3 therapy model with FGFR3 mutation with no treatment, 25 mg/kg QD (once a day), 25 mg/kg BID (twice a day), 50 mg/kg QD, and 75 mg/kg QD of rogaratinib using the dosing schedule in Figure 8. (B) Model prediction of tumor volume on day 25 in mice. Parameter values used are given in Tables 2-4.

#### 310 4.3 Treatment with Combination Therapy

We simulated the model to predict the effect of combining anti-FGFR3 and anti-PD-L1 therapies on 311 tumor cells with FGFR3 mutation in mice (using the dosing schedule in Figure 8 with co-treatment 312 on days 7, 10, 14, 17, 21 and 24). The result is shown in Figure 12, along with the impact of 313 anti-FGFR3 therapy only and anti-PD-L1 therapy only. Our model predictions show that the effect 314 of each therapy is approximately additive when combined, and combination therapy reduces the 315 tumor volume on day 25 by 33.3% compared to 21.9% in the case of anti-PD-L1 therapy only. 316 Similar results were obtained when the anti-PD-L1 therapy is combined with either 25 mg/kg QD, 317 25 mg/kg BID or 50 mg/kg QD dose of rogaratinib (results not shown). 318

We further simulated the model with a wider range of the parameters that govern FGFR3 impact on proliferation ( $\alpha_2 \in [0.001, 0.03]$ ) and survival ( $\delta_1 \in [0.1, 0.5]$ ) to compare the effectiveness of anti-PD-L1 and anti-FGFR3 monotherapies when the influence of the FGFR3 mutation on tumor growth varies. The results depicted in Figure 13 show that for some combinations of  $\alpha_2$  and  $\gamma_T$ , especially in the region where the FGFR3 pathway has significant impact on tumor growth, the targeted therapy outperforms the immune checkpoint monotherapy (this result also shows the possible significant impact of anti-FGFR3 monotherapy on FGFR3 overexpressing cancers). It is also important to



FIGURE 12. (A) Simulation of model with FGFR3 mutation with no therapy (blue), anti-FGFR3 therapy only (gray), anti-PD-L1 therapy only (black) and combination therapy (green). (B) Model prediction of tumor volume on day 25 in mice. Parameter values used are given in Tables 2-4.

note, by comparing Figure 12B to Figure 13BII, that the efficacy of combination therapy can be
significantly increased in parameter ranges where there is a substantial increase in the effectiveness
of rogaratinib while anti-PD-L1 therapy retains its efficacy.

#### 329 4.4 Kaplan-Meier Survival Analysis

Kaplan-Meier survival analysis is used to measure the fraction of subjects living for a certain amount of time after treatment in an experiment or clinical trial [29]. To further estimate the effects of anti-FGFR3 monotherapy, anti-PD-L1 monotherapy, and combination therapies on tumor with FGFR3 mutation using the baseline dosing schedule in Figure 8, we carried out a Kaplan-Meier analysis by measuring the fraction of mice,  $S_t$ , surviving at time t using the formula below:

$$S_t = \frac{N - N_{TV \ge 2000 mm^3, t}}{N},$$
(12)

where N is the total number of mice and  $N_{TV \ge 2000 mm^3, t}$  is the number of mice that did not survive (i.e., mice with tumor volume (TV) above or equal to the survival threshold  $(2000 \text{ mm}^3)$ ) at time t. We generated 50 mice by randomly sampling the sensitive parameters  $(\alpha_1, \delta_T, \alpha_2, \text{ and } \gamma_T)$  within



FIGURE 13. (A) A heatmap showing the difference in effect size of anti-PD-L1 and anti-FGFR3 therapies on day 25 on tumor with FGFR3 mutation. The black dot (i) indicate an example where anti-PD-L1 therapy has larger effect size than anti-FGFR3 therapy (the values for the effect sizes are shown in Figure 12B) (B) Prediction of tumor volume and effect size of anti-PD-L1 monotherapy, anti-FGFR3 monotherapy, and combination therapy using (ii)  $\alpha_2 = 0.03 \,\mathrm{d^{-1}}$  and  $\gamma_T = 0.134$  where the effect size of anti-PD-L1 and anti-FGFR3 are approximately equal; and (iii)  $\alpha_2 = 0.029 \,\mathrm{d^{-1}}$  and  $\gamma_T = 0.47$  where anti-PD-L1 therapy has lesser effect size than anti-FGFR3 therapy. The arrow indicates the direction of increasing relative strength of anti-PD-L1 monotherapy.

their ranges of values given in Table 2. In particular, we generated normal sampling distributions for

practically identifiable parameters ( $\alpha_1$  and  $\alpha_2$ ) using their respective mean and standard deviation

and uniform sampling distributions for practically non-identifiable parameters ( $\delta_1$  and  $\gamma_T$ ). The simulation was repeated for five stochastic realizations. The result depicted in Figure 14 shows that 78 – 96% of the mice treated with combination therapy survived on day 25 compared to mice treated with anti-PD-L1 monotherapy (62 – 76%), anti-FGFR3 monotherapy (28 – 42%) or untreated mice (10 – 20%). Since the values for the FGFR3-dependent parameters are within the region where anti-PD-L1 monotherapy has more effect size than anti-FGFR3 monotherapy (Figure 13A), we expect that more mice would survive when treated with anti-PD-L1 therapy.



FIGURE 14. Kaplan-Meier survival analysis showing the fraction (mean  $\pm$  SD) of mice that survived with no treatment (blue), anti-FGFR3 monotherapy (gray), antiPD-L1 monotherapy (black) and combination therapy (green). Parameter values used are in Tables 2-4 with normal distribution of  $\alpha_1$  (with mean = 0.337 d<sup>-1</sup> and standard deviation = 0.0034 d<sup>-1</sup>), normal distribution of  $\alpha_2$ (with mean = 0.00774 d<sup>-1</sup> and standard deviation = 0.0016 d<sup>-1</sup>), uniform distributions of  $\delta_1$  and  $\gamma_T$  within their range of values in Table 2

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Figure 15 shows the distribution of parameters associated with the mice that survived until day

<sup>348</sup> 25 in the Kaplan-Meier survival analysis. These results show that the surviving mice are character-<sup>349</sup> ized by high CTL-induced death rate ( $\delta_1$ ) (i.e., slow-growing tumor cells). In particular, the only <sup>350</sup> untreated mice that survived until day 25 had a CTL-induced death rate above  $1.9 \times 10^{-7}$  cell<sup>-1</sup> d<sup>-1</sup>; <sup>351</sup> those treated with anti-FGFR3 monotherapy needed at least a value of  $1.6 \times 10^{-7}$  cell<sup>-1</sup> d<sup>-1</sup> (with <sup>352</sup> one exception). Even anti-PD-L1 monotherapy and combination therapy needed  $\delta_1$  to exceed <sup>353</sup>  $1.3 \times 10^{-7}$  cell<sup>-1</sup> d<sup>-1</sup> to give at least even odds for the mice to survive until day 25.



FIGURE 15. Distribution of sensitive parameters related to mice that survived on day 25 of survival analysis with different therapeutic conditions. The distances between each bar and the maximum frequency represent the distribution of mice that did not survive in the Kaplan-Meier analysis.

#### 354 4.5 Dosing Schedules

Next, we use the model to determine how best to administer anti-PD-L1 and anti-FGFR3 targeted therapies. To determine the most favorable combinations and to investigate the potential synergy between anti-PD-L1 and anti-FGFR3 therapies in mice with FGFR3 mutation, we simulate different dose-scheduling for anti-FGFR3 and anti-PD-L1 therapies (Figure 16).

Anti-FGFR3 Anti-PDL1

Schedule 2: Pretreatment with RTK Targeted Therapy

Schedule 3: Pretreatment with Immune Checkpoint Therapy

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
Anti-FGFR3																									
Anti-PDL1																									



In these simulations, we considered treatments of tumor cells with FGFR3 mutation with a total of 10 doses of 75 mg/kg QD of rogaratinib and 4 doses of 100 µg of anti-PD-L1 antibody using the dosing schedules 2 and 3 shown in Figure 16 (compared to 15 doses of anti-FGFR3 and 6 doses of anti-PD-L1 in the dosing schedule (baseline schedule) in Figure 8).

The ultimate goal is to determine the optimal dosing strategy that minimizes tumor growth while also minimizing the amount of drug administered. The tumor is either pretreated with anti-FGFR3 therapy or pretreated with anti-PD-L1 therapy as shown in Figure 17. The results depicted in Figures 17 show that the pretreatment of tumors with anti-PD-L1 therapy (Schedule 3) is more effective than pretreatment of tumors with anti-FGFR3 therapy (Schedule 2). This result persists throughout the  $\alpha_2 - \gamma_T$  parameter space, even in regions where anti-FGFR3 monotherapy greatly outperforms immune checkpoint monotherapy (result not shown). It is also important to note that that the outcomes for Schedule 3 are comparable to those from the baseline schedule of co-treatment, which administers five additional doses of therapy. 



Schedule 3: pre-treatment with anti-PDL1

FIGURE 17. Simulation showing effect size of the different dosing schedules (A) Simulation of model with FGFR3 mutation with no therapy (blue), treatment with baseline combination therapy (green), pretreatment with anti-FGFR3 therapy, and pretreatment with anti-PD-L1 therapy. (B) Model prediction of tumor volume on day 25 in mice. Parameter values used are given in Tables 2-4.

#### 372 5 Discussion

Until recently, systemic chemotherapy was the only recourse for people suffering from bladder can-373 cer, and outcomes remained discouraging as many patients either fail to respond to treatment 374 or suffer recurrent disease within 5 years [30, 31]. After nearly four decades of little progress, im-375 munotherapy with checkpoint inhibitors (PD-L1 and PD-1) has fundamentally shifted the treatment 376 paradigm of bladder cancer [31]. At the same time, advances in the understanding of the molecular 377 biology of bladder cancer has led to the identification of molecular pathways, such as FGFR3 sig-378 naling, upon which new therapeutic approaches can be targeted [32]. In this paper, we developed 379 an experimentally-validated mathematical model for the dynamics of bladder cancer growth and 380 response to receptor tyrosine kinase (RTK) targeted therapy alone and in combination with an 381 immune checkpoint inhibitor (ICI). This model is the first of its kind in that it incorporates the 382 molecular details of an FGFR3 mutation that initiates signaling via ligand-independent dimeriza-383 tion to enhance tumor cell proliferation and survival. Our model formulation allows us to track 384 the fraction of active FGFR3 dimens and to use this quantity to augment the rates of tumor cell 385

division and tumor cell death, which is mediated by cytotoxic T cells. A second important feature of our model is that it explicitly accounts for the formation of PD-1/PD-L1 complexes that inhibit T cell proliferation and activation.

The model is carefully calibrated and validated with experimental measures of tumor volume 389 with and without the FGFR3 mutation. In an attempt to identify which FGFR3-mediated effect 390 has more impact on tumor growth, we computed the difference between the tumor volume when 391 FGFR3 only impacts the tumor cell proliferation rate and the tumor volume when FGFR3 only 392 impacts tumor cell survival. The results suggest that FGFR3 mutation can lead to increased tumor 393 volume due primarily to either proliferation or survival effects-depending on the relative strengths 394 of these signaling pathways, i.e. the parameters. However, the proliferation effect is more influential 395 across a larger region of parameter space. Interestingly, for our estimated parameter values, the 396 effects of FGFR3 on proliferation and survival are nearly equal. 397

Based on the mechanisms of action of an immune checkpoint inhibitor targeting PD-L1 and a 398 tyrosine kinase inhibitor targeting FGFR3 (rogaratinib), we extended our model to evaluate the 399 impact of these therapies alone and in combination. Simulations of anti-PD-L1 therapy showed 400 that tumors with FGFR3 mutation are more susceptible to anti-PD-L1 therapy than wild type 401 FGFR3 tumors. This effect is likely independent of FGFR3 effects on intrinsic tumor growth and 402 survival, since both cell lines grow essentially at the same rate in the presence or absence of FGFR3 403 activating mutations. These results are in line with our reported experimental data and suggest 404 that the FGFR3 mutation can impact the effectiveness of anti-PD-L1 therapy. Furthermore, the 405 experiments described here use a tumor cell line that is not dependent on the FGFR3 activating 406 mutation, which was exogenously introduced. Thus, we did not expect the FGFR3 activating 407 mutation to have a significant impact on tumor growth. Our anti-FGFR3 monotherapy model 408 simulations clearly show that this is indeed the case for four different doses of rogaratinib. However, 409 when we simulated a wider range of the parameters that govern FGFR3 impact on proliferation 410 and survival, we saw that for realistic values of  $\alpha_2$  and  $\gamma_T$ , anti-FGFR3 therapy can not only 411 have substantial impact on tumor reduction, targeted therapy can actually outperform anti-PD-L1 412 monotherapy. 413

Despite the slight impact of rogaratinib monotherapy on tumor cells with FGFR3 mutation when 414 baseline parameters are used, our model simulations show that its combination with anti-PD-L1 415 therapy increases the effect size of the anti-PD-L1 therapy on tumor cells with the FGFR3 mutation. 416 That is, while anti-PD-L1 antibody loses efficacy when the FGFR3 mutation is active, anti-PD-L1 417 antibody impact on tumor reduction is recovered when combined with a drug that targets FGFR3. 418 In fact, Kaplan-Meier survival analysis showed that when mice with FGFR3 mutant bladder cancer 419 are treated with combination therapy, they have a much higher probability of surviving to day 25 420 compared to mice treated with either monotherapy. We also found that there are parameter ranges 421 for of  $\alpha_2$  and  $\gamma_T$  where there is a significant increase in tumor reduction due to rogaratinib and 422 only a small decrease in tumor reduction due to immune checkpoint therapy, and this leads to a 423 substantial increase in the efficacy of combination therapy. 424

In an attempt to find the most effective way of delivering combinations of these two therapies, we 425 simulated two different dose-scheduling regimens for rogaratinib and an immune checkpoint inhibitor 426 targeting PD-L1. We compared outcomes of these strategies to each other and to our baseline dose 427 schedule of co-treatment, which administers five additional doses of rogaratinib. Our results show 428 that pretreatment with anti-PD-L1 therapy leads to greater tumor reduction than pretreatment 429 with anti-FGFR3 therapy. Interestingly, even in parameter regimes where anti-FGFR3 monotherapy 430 greatly outperforms immune checkpoint monotherapy, the model predicts that it is still better to 431 pretreat with the anti-PD-L1 drug. Furthermore, our baseline schedule of co-treatment performs 432 only slightly better, with five additional doses of anti-PD-L1 therapy, than pretreatment with anti-433 PD-L1 therapy. This result suggests that some patients may benefit more from pretreatment with 434 anti-PD-L1 because fewer drug doses can be used to achieve similar outcomes. These findings have 435 direct clinical relevance given that anti-FGFR3 therapy is currently FDA approved, but it remains 436 unknown whether it is best employed prior to or after anti-PD-L1 immunotherapy. 437

This modeling study not only quantifies the influence of the FGFR3 mutation on bladder cancer growth; it also predicts various outcomes for RTK and ICI mono- and combination therapy. In the current model formulation, we are considering the total amount of FGFR3 monomers in the system and allowing all monomers to interact with each other. The resulting dimerization of monomers

allows us to quantify the temporal changes in fractional occupancy of active FGFR3 dimers in the 442 system and their impact on tumor growth dynamics. In future iterations of the model, we could 443 relax these assumptions and reformulate the model so that FGFR3 monomers only interact with 444 other monomers on the same cell. We are currently modifying this model to describe different 445 mechanisms of immune cell kill. We will also extend the model to include the impact of spatial 446 dynamics by translating this system of ordinary differential into an agent-based modeling framework. 447 Continued computational modeling of bladder cancer therapy can potentially lead to patient specific 448 optimization of combination of anti-FGFR3 with anti-PD-L1 treatments. 449

## 450 Appendix

#### <sup>451</sup> A. Formulation for PD-1/PD-L1 Complexes, Q

Following [12], the molar concentration of PD-L1 (L) within the tumor microenvironment consists of the amount of free PD-L1 and the amount of PD-L1 bound to the drug,

$$L = L_{free} + L_{bound},$$

with  $L = \rho_L(Y + \epsilon T)$ . We consider the following reaction:

$$P_D + L_{free} \xleftarrow{\alpha_{PL}}{\delta_Q} Q,$$

Following [10, 12, 13, 16], we assume that the association and dissociation of Q are fast, so applying a quasi-steady state argument, we can approximate Q using the equation:

$$Q = \frac{\alpha_{PL}}{\delta_Q} P_D L_{free} = \frac{\alpha_{PL}}{\delta_Q} P_D (L - L_{bound}).$$
(A-1)

We also considered the following reaction:

$$L_{free} \stackrel{k_{+1}}{\underset{k_{-1}}{\longrightarrow}} L_{bound}$$

where  $k_{+1}$  and  $k_{-1}$  are the association and dissociation rates of  $L_{bound}$ . By the law of mass action and assuming the process is at equilibrium [12],

$$\frac{dL_{bound}}{dt} = k_{+1}L_{free}A - k_{-1}L_{bound} = 0$$

$$L_{bound} = \frac{k_{+1}}{k_{-1}}L_{free}A = \frac{k_{+1}}{k_{-1}}(L - L_{bound})A$$

$$L_{bound} = \frac{A}{A + K_D}L$$
(A-2)

where  $K_D = \frac{k_{-1}}{k_{+1}}$  (i.e., the dissociation constant of the PD-L1/anti-PD-L1 complex). Thus, by substituting Equation (A-2) into Equation (A-1), we derived the following expression for Q, given

by:

$$Q = \frac{\alpha_{PL}}{\delta_Q} P_D L \left( 1 - \frac{A}{A + K_D} \right)$$

456 Finally, we choose the following functional form for F(Q) defined by

$$F(Q) = \frac{1}{1 + \frac{Q}{K_{TQ}}} \equiv F(P, L, A) = \frac{1}{1 + \frac{P_D L}{K_{YQ}} \left(1 - \frac{A}{A + K_D}\right)}$$
(A-3)

where  $K_{YQ} = \frac{\delta_Q}{\alpha_{PL}} K_{TQ}$  (described in Table 2) [10, 12, 13, 16]. In order to achieve agreement between the units for A and  $K_D$  in Equation A-3, we converted the dosage of anti-PD-L1 in the experiment from µg to nmol/L using the following formula:

$$c \,(\mathrm{nmol/L}) = \frac{m \,(\mu \mathrm{g})}{V \,(\mathrm{L}) \times \mathrm{molar} \,\mathrm{mass} \,(\mu \mathrm{g/nmol})},$$

where V is the carrying capacity of tumor volume without FGFR3 mutation (4000 mm<sup>3</sup> = 0.004 L), molar mass =  $1.5 \times 10^5$  g/mol =  $1.5 \times 10^2$  µg/nmol, so that 100 µg of anti-PD-L1 is equivalent to 166.67 nmol/L of anti-PD-L1.

#### <sup>460</sup> B. Pharmacokinetics of anti-FGFR3 (rogaratinib)

We developed a compartmental model to describe the pharmacokinetic profile of rogaratinib in the plasma. The pharmacokinetic model is given as follows, where  $G(t), C_S(t)$ , and  $C_P(t)$  represents the concentration of the drug in the gut, central, and peripheral compartments, respectively:

$$\frac{dG}{dt} = k_a G$$

$$\frac{dC_S}{dt} = Fk_a G - k_{12}C_S + k_{21}C_P - kC_S \qquad (B-1)$$

$$\frac{dC_P}{dt} = k_{12}C_S - k_{21}C_P$$

where  $k_a$  is the first-order absorption rate constant, k is the elimination rate constant, F is the bioavailability of the drug that accounts for the fraction of dose that reaches the central compartment, and  $k_{12}$  and  $k_{21}$  are distribution rate constants from the central compartment to the peripheral compartment and vice versa, respectively. The pharmacokinetic parameters are estimated by fitting the analytical solution of the central compartment,  $(C_S(t))$  to the experimental data of the oral administration of rogaratinib described in [17]. The best fit values and fitting are given in Table Table 3 and Figure 18, respectively.

Parameter	Description		Best fit val-		Reference
			ues		
		$75\mathrm{mg/kg}$	$50\mathrm{mg/kg}$	$25\mathrm{mg/kg}$	
$k_a$	Absorption rate	$0.4815{ m h}^{-1}$	$0.3597{ m h}^{-1}$	$0.4942{ m h}^{-1}$	Estimated
F	Bioavailabilty	$0.42{ m h}^{-1}$	$0.42{ m h}^{-1}$	$0.42{ m h}^{-1}$	[19]
$k_{12}$	Plasma-Tissue	$577.44{ m h}^{-1}$	$423.11{ m h}^{-1}$	$47.915{ m h}^{-1}$	Estimated
	transfer rate				
$k_{21}$	Tissue-Plasma	$1.2478{ m h}^{-1}$	$2.6785{ m h}^{-1}$	$0.2864{ m h}^{-1}$	Estimated
	transfer rate				
k	Elimination rate	$193.53{ m h}^{-1}$	$202.95{\rm h}^{-1}$	$309.15{\rm h}^{-1}$	Estimated

TABLE 3. Best fit pharmacokinetic parameter of rogaratinib for PK model (B-1)

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FIGURE 18. Time profiles of single-dose of 75 mg/kg QD (red dashed line), 50 mg/kg QD (blue dashed line), 25 mg/kg QD (black dashed line) and 25 mg/kg BID (green dashed line) of rogaratinib in plasma. The best fit of model is plotted together with experimental data of rogaratinib in mice described in [17]. Parameter values used are given in Tables 2-4.

#### 472 C. Model equations related to treatment with anti-FGFR3 (rogaratinib)

The system of equations governing the dynamics of the FGFR3 monomers and dimers (see Figure 19) in the tumor cell in the presence of rogaratinib is given by,

$$\begin{aligned} \frac{dR_F}{dt} &= -2k_f R_F^2 + 2k_r D_A + 2k_p (D_A + D_C^C + D_A^C) + R_T P(T, \phi_D) - k_{c,on}^R C R_F + k_{c,off}^R R_F^C \\ &- \frac{R_F}{\Sigma} R_T D(T, Y, \phi_D^C), \\ \frac{dD_A}{dt} &= k_f R_F^2 - k_r D_A - k_p D_A - k_{c,on}^D C D_A + k_{c,off}^D D_A^C - \frac{D_A}{\Sigma} R_T D(T, Y, \phi_D^C), \\ \frac{dC}{dt} &= k_{12} C_1 - k_{21} C - k_{c,on}^R C R_F + k_{c,off}^R R_F^C - k_{c,on}^D C D_A + k_{c,off}^D D_A^C, \end{aligned}$$
(C-1)  
$$\begin{aligned} \frac{dR_F^C}{dt} &= k_f (R_F^C)^2 - k_r D_C^C - k_p D_C^C - \frac{D_C^C}{\Sigma} R_T D(T, Y, \phi_D^C), \\ \\ \frac{dD_C^C}{dt} &= k_f (R_F^C)^2 - k_r D_C^C - k_p D_C^C - \frac{D_C^C}{\Sigma} R_T D(T, Y, \phi_D^C), \end{aligned}$$

where  $R_F, D_A, R_F^C$ , and  $D_A^C$  represent the free FGFR3 monomers, active dimers, monomer/rogaratinib 475 complex, and active dimer/rogaratinib complex respectively (see Figure 2 for the flowchart of the 476 mechanism of action of rogaratinib). The monomer/rogaratinib complexes dimerize to form  $D_C^C$ , 477 and C represents the concentration of rogaratinib in the tumor microenvironment. As an anti-478 FGFR3 drug, we assumed that rogaratinib binds to the kinase region of FGFR3 monomers  $(R_F)$ 479 and active dimers  $(D_A)$  on tumor cells at rates  $k_{c,on}^R$  (to form monomer/rogaratinib complex  $(R_F^C)$ ) 480 and  $k_{c,on}^D$  (to form active dimer/rogaratinib complex  $(D_A^C)$ ). These complexes dissociate at rates 481  $k_{c,off}^R$  and  $k_{c,off}^D$ , respectively. Furthermore, we assume that rogaratinib drug does not affect dimer-482 ization, dissociation, and internalization, thus, the monomer/rogaratinib complex  $(R_F^C)$  dimerizes 483 at a rate  $k_f$  to form  $D_C^C$  which can either dissociate at a rate  $k_r$ , internalized at a rate  $k_p$ . We 484 assumed that upon internalization, both monomer/rogaratinib and active dimer/rogaratinib com-485 plexes are recycled at a rate  $k_p$ , leaving behind the drug, to reproduce FGFR3 monomers  $(R_F)$ . 486 The term  $\phi_D^C$  is the fractional occupancy of active dimer on a tumor cell with anti-FGFR3 and 487  $\Sigma = R_F + 2D_A + R_F^C + 2D_C^C + 2D_A^C$ . The flowchart and parameter values for model (C-1) are given 488 in Table 4 and Figure 19, respectively. 489

The underlying assumptions for this equation are (i) the tumor resides in a pharmacokinetic compartment of its own; (ii) the binding rates are the same, independent of cell type; (iii) rogaratinib is transferred into the tumor from the systemic circulation at the same rate as the peripheral tissue,  $k_{12}$ ; and (iv) the tumor volume is negligible compared to the volume of a mouse; therefore the amount of the drug leaking into the bloodstream (at the rate  $k_{21}$ ) will not affect the concentration of free rogaratinib in the systemic circulation. Furthermore, the formulation of the model (C-1) assumes that the total number (converted to nmol using molecular weight) of receptors per tumor cell  $R_T$  remains constant. Thus, we can ensure that the model equations do conserve FGFR3 by considering the sum:

$$\frac{dR_F}{dt} + 2\frac{dD_A}{dt} + \frac{dR_F^C}{dt} + 2\frac{dD_C^C}{dt} + 2\frac{dD_A^C}{dt} = \frac{d\Sigma}{dt} = R_T \left( P(T, \phi_D^C) - D(T, Y, \phi_D^C) \right) = R_T \frac{dT}{dt}.$$

490 Therefore, upon integration, we have  $\Sigma = R_T \times T$ .

Parameter	Description	Baseline Value	$\mathbf{Units}$	Reference
Anti-FGFR3 related				
$k_{c,on}^R$	Rogaratinib-FGFR3 monomer association rate	$1.28 \times 10^{5}$	$\mathrm{nmol}^{-1}\mathrm{d}^{-1}$	[17]
$k_{c,off}^R$	Rogaratinib-FGFR3 monomer dissociation rate	95.04	$d^{-1}$	[17]
$k_{c,on}^D$	Rogaratinib-FGFR3 dimer as- sociation rate	$1.28\times 10^5$	$\mathrm{nmol}^{-1}\mathrm{d}^{-1}$	[17]
$k^D_{c,off}$	Rogaratinib-FGFR3 dimer dissociation rate	95.04	$d^{-1}$	[17]
Anti-PD-L1 related				
$\mu_{LA}$	Anti-PD-L1 depletion via binding to PD-L1	$2.66 \times 10^{-5}$	$nM^{-1}d^{-1}$	Estimated
$\delta_A$	Anti-PD-L1 natural degrada- tion rate	0.3466	$d^{-1}$	Estimated
<i>K</i> <sub>D</sub>	PD-L1-Anti-PD-L1 dissocia- tion rate	0.1005	nM	Estimated

TABLE 4. Parameter Values Related to anti-FGFR3 and anti-PD-L1 Therapy



FIGURE 19. Flowchart of rogaratinib as anti-FGFR3 treatment. (A) Rogaratinib drug associate with FGFR3 monomer on tumor cells at a rate  $k_{C,on}^R$  to form  $R_F^C$  and dissociate at a rate  $k_{C,off}^R$ .  $R_F^C$  dimerize at a rate  $k_f^C$  to form  $D_C^C$  which can either dissociate at rate  $k_r$ , or internalize and recycled into FGFR3 monomer at a rate  $k_p$ . (B) Rogaratinib bind with an active dimer  $D_A$  at a rate  $k_{C,on}^D$  to form  $D_A^C$  which dissociate at a rate  $k_{C,off}^D$ . These events lead to (1) inhibition of FGFR3 phosphorylation; and consequently, (2) inhibition of downstream signaling of AKT, MAPK, ERK and STAT.

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