# A unified framework to identify demographic buffering in natural populations

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#### Abstract

The Demographic Buffering Hypothesis (DBH) predicts that natural selection reduces the temporal fluctuations in demographic processes (such as survival, development, and reproduction), due to their negative impacts on population dynamics. However, a comprehensive approach that allows for the examination of demographic buffering patterns across multiple species is still lacking. Here, we propose a three-step framework aimed at identifying and quantifying demographic buffering. Firstly, we categorize species along a continuum of variance based on their stochastic elasticities. Secondly, we examine the linear selection gradients, followed by the examination of nonlinear selection gradients as the third step. With these three steps, our framework overcomes existing limitations of conventional approaches to identify and quantify demographic buffering, allows for multi-species comparisons, and offers insight into the evolutionary forces that shape demographic buffering. We apply this framework to mammal species and discuss both the advantages and potential of our framework.

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## 50 **Abstract** (146/150 words)

The Demographic Buffering Hypothesis (DBH) predicts that natural selection reduces the 51 52 temporal fluctuations in demographic processes (such as survival, development, and reproduction), due to their negative impacts on population dynamics. However, a 53 comprehensive approach that allows for the examination of demographic buffering patterns 54 across multiple species is still lacking. Here, we propose a three-step framework aimed at 55 56 identifying and quantifying demographic buffering. Firstly, we categorize species along a continuum of variance based on their stochastic elasticities. Secondly, we examine the linear 57 58 selection gradients, followed by the examination of nonlinear selection gradients as the third step. With these three steps, our framework overcomes existing limitations of conventional 59 approaches to identify and quantify demographic buffering, allows for multi-species 60 comparisons, and offers insight into the evolutionary forces that shape demographic 61 buffering. We apply this framework to mammal species and discuss both the advantages and 62 63 potential of our framework. 64

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Environmental stochasticity plays a pivotal role in shaping organisms' life histories (Bonsall 67 & Klug 2011). Nonetheless, how organisms will cope with the increasing variation in 68 69 environmental conditions expected under climate change (Boyce et al. 2006; Morris et al. 2008) 70 is one of the most intriguing questions of ecology and evolution (Sutherland *et al.* 2013). Evolutionary demography offers a wide array of explanations for the evolutionary processes 71 that shape the diversity of demographic responses to environmental stochasticity 72 73 (Charlesworth 1994; Pfister 1998; Tuljapurkar et al. 2009; Healy et al. 2019; Hilde et al. 2020). The 74 Demographic Buffering Hypothesis (DBH, hereafter) (Morris & Doak 2004; Pélabon et al. 2020) 75 predicts a negative relationship between the contribution of a demographic processes (e.g., 76 survival, development, reproduction) to the population growth rate ( $\lambda$ ) and their temporal variance (Pfister 1998). The emerging demographic strategy, demographic buffering, 77 accommodates variance of demographic processes to cope with the otherwise negative effects 78 of stochastic environments on  $\lambda$  (Pfister 1998; Morris & Doak 2004; Hilde *et al.* 2020). 79 A unified approach to unambiguously quantify demographic buffering is still missing. 80 Indeed, identifying demographic buffering remains challenging (Morris & Doak 2004; Doak et 81 82 al. 2005) for at least three reasons. First is the different interpretation of results from 83 correlational analyses (e.g., as in Pfister, 1998). Some authors have used the correlation coefficient as an index to order species' life histories in a continuum ranging from buffered 84 85 (Spearman's correlation  $\rho = <0$  between the sensitivity of  $\lambda$  to demographic processes and their temporal variance) to labile ( $\rho = >0$ , regardless of the "scatterness" around the 86 87 regression (McDonald et al. 2017). In contrast, other researchers interpret the absence of statistical support for demographic buffering as an alternative strategy where variance in 88 demographic process(es) is favoured to track environmental conditions (the so-called 89 90 Demographic Lability Hypothesis (DLH, hereafter; e.g., (Koons et al. 2009; Reed & Slade 2012; Jäkäläniemi et al. 2013; Hilde et al. 2020). 91

The second obstacle to obtain generalisation across species' populations regarding 92 demographic buffering is the hierarchical level at which this phenomenon is typically 93 94 examined. Some studies base their investigations of demographic buffering on the whole life history at the level of species or populations (interspecific level, hereafter), focusing on the 95 one demographic process that is the most influential for  $\lambda$  (Reed & Slade 2012; McDonald *et al.* 96 2017). At the interspecific level, a life history is referred to as demographically buffered if the 97 most important demographic process has low temporal variance (Pfister 1998; Morris & Doak 98 2004; Hilde et al. 2020; Le Coeur et al. 2022). Thus, the associated strategy is commonly 99 decided based on a *single* demographic process (e.g., adult survival), ignoring the selection 100 pressures on the rest of the demographic processes within the life cycle. However, to 101 understand how, why, and where demographic buffering occurs -or not- and how buffering 102 patterns might be modified in response to the environment, it is essential to also consider the 103 104 features within a single species' life cycle (intraspecific level, hereafter). Within a single life cycle one demographic process can be buffered against while another can be labile to the 105 environment - supporting the DLH (Koons et al. 2009; Jongejans et al. 2010; Barraquand & 106 Yoccoz 2013). Thus, for a mechanistic understanding of how environmental stochasticity 107 shapes life histories, both inter- and intra-specific levels need to be addressed. 108 The third reason limiting a holistic understanding of demographic strategies in 109

stochastic environments are the challenges inherent to examining their underlying
mechanisms. Evidence for demographic buffering exists across some long-lived organisms
with complex life cycles, (Pfister 1998; Gaillard & Yoccoz 2003; Doak *et al.* 2005; Rotella *et al.*2012; McDonald *et al.* 2017), but also in short-lived species (Pfister 1998; Reed & Slade 2012;
Ferreira *et al.* 2013). Importantly, these patterns of variation do not inform on how the life
histories were shaped by natural selection. To do so, one would need to identify the type
(linear or nonlinear) and strength of selection acting on demographic processes. Linear

selection acts on changing *the mean* value of a demographic process via a linear function
between the fitness and the demographic process. In contrast, nonlinear selection acts on *the variance* of demographic processes either increasing it, decreasing it, or
increasing/decreasing *the covariance* between two demographic processes (Brodie et al.

121 1995; Carslake et al. 2008).

The sign of the self-second derivative of  $\lambda$  determines the type of nonlinear selection 122 acting on a demographic process. For instance, a negative self-second derivative for a given 123 demographic process describes a concave form of selection, commonly referred to as the  $\cap$ -124 shaped selection (Caswell 1996, 2001; Shyu & Caswell 2014). This form of selection reduces the 125 temporal variance in said demographic process, thereby providing support for the DBH. 126 Conversely, a demographic process yielding a positive self-second derivative identifies a 127 128 convex, or U-shaped selection (Caswell 1996, 2001; Shyu & Caswell 2014). Such a selection 129 mechanism acts upon demographic processes amplifying their temporal variance, thus supporting the DLH (Koons et al. 2009; Le Coeur et al. 2022). The cross-second derivatives (not 130 discussed here, see Caswell 1996, 2001 for further details) quantify selection pressures acting 131 on the strength of correlation among different demographic processes. 132

The rich variation in demographic strategies across the Tree of Life is a result of 133 134 evolutionary processes that have shaped variance in demographic processes through time. In this context, setting demographic buffering into the adaptive landscape context of linear and 135 nonlinear selection enables us to identify and quantify the evolutionary processes that 136 generate said demographic patterns. In this way, one will better understand how increased 137 variability of environmental conditions might act on the existing -and shape novel-138 demographic strategies. However, we still lack a unified approach to quantify DBH. 139 140 Here, we present a framework that identifies and quantifies demographic buffering. Our framework provides a thorough analysis of temporal variance in demographic processes 141

affected by environmental stochasticity. This framework involves categorizing species or 142 populations along a variance continuum based on the extent to which key demographic 143 144 processes are buffered by natural selection, thereby limiting their temporal variability. The framework consists of four steps with a mix of well-known methods applied to stage-145 structured demographic information (e.g., matrix population models [Caswell 2001]; integral 146 projection models [Easterling et al. 2000]). First, we position species or populations on the 147 148 aforementioned continuum to assess the cumulative effect of the variance on their key 149 demographic processes at the interspecific level (see below). Second, we investigate the 150 presence of linear selection forces operating within the life cycle of each species or population at the intraspecific level (below). Third, we explore the impact of non-linear 151 selection forces acting within the life cycle of each species or population, also at the 152 intraspecific level. The combination of these three steps provides quantitative evidence 153 for/against the DBH, while in step four we describe how to test the DLH. 154

To demonstrate the applicability of our framework, we apply it to 40 populations of 155 34 mammal species sourced from the COMADRE database (Salguero-Gómez et al. 2016). We 156 showcase how the framework can provide valuable insights into the patterns of demographic 157 buffering across species. The framework offers novel, detailed insights into the selection 158 pressures that act within species' life cycles, thus allowing for a thorough understanding of 159 the evolutionary selection forces that shape the patterns of demographic buffering across 160 species. Beyond providing a quantitative, systematic toolset to test the DBH through three 161 steps, we have also offer an alternative fourth step that briefly outlines how to test for the 162 DLH. 163

### 165 A unified framework to assess evidence of DBH

The evidence for demographic buffering has been mainly assessed using Matrix 166 Population Models (Pfister 1998; Rotella et al. 2012). However, Integral Projection Models 167 (IPM; Rodríguez-Caro et al. 2020; Wang et al. 2023) can be equally applied for identifying 168 169 the demographic buffering signatures. Both MPMs and IPMs are stage-structured, discretetime demographic models (Caswell 2001; Ellner et al. 2016). For simplicity, here we focus on 170 MPMs, but note that the same approaches are as equally applicable to IPMs (Griffith 2017; 171 Doak et al. 2021). Throughout this manuscript, we refer to demographic processes as both 172 matrix entries  $a_{ii}$  (*i.e.*, upper-level parameters) and the vital rates that underline the matrix 173 elements (*i.e.*, lower-level parameters), and note that their conversion is straightforward and 174 described elsewhere (Franco & Silvertown 2004). The framework operates on three steps: 175

The first step of our framework involves acquiring the relative contribution of each 176 demographic process to the stochastic growth rate,  $\lambda_s$ , the so-called stochastic elasticities,  $E_{ii}^S$ 177 (Tuljapurkar *et al.* 2003) (Figure 1A). The sum of all stochastic elasticities  $(\Sigma E_{a_{ij}}^S)$ , can be 178 separated into two components to assess how temporal variance and mean values of each 179 demographic process contributes to  $\lambda_s$ . The first component represents the *sum of stochastic* 180 elasticity of  $\lambda_s$  with respect to the variance  $\Sigma E_{a_{ij}}^{S^{\sigma}}$ , and the second represents the sum of 181 stochastic elasticity of  $\lambda_s$  with respect to the mean  $\Sigma E_{a_{ij}}^{S^{\mu}}$ , where  $\Sigma E_{a_{ij}}^{S^{\sigma}} = \Sigma E_{a_{ij}}^{S^{\sigma}} + \Sigma E_{a_{ij}}^{S^{\mu}}$ . Thus, 182 the summation  $\Sigma E_{a_{ij}}^{S^{\sigma}}$  quantifies the extent to which the stochastic population growth rate ( $\lambda_s$ ) 183 is influenced by changes in the variances of the demographic processes within the population 184 matrix. 185

186 A higher sum of stochastic elasticity of  $\lambda_s$  with respect to the variance (*i.e.*, higher 187 absolute value;  $|\Sigma E_{a_{ij}}^{S^{\sigma}}|$ ) indicates that small changes in the variance of demographic processes 188 would have a substantial impact on  $\lambda_s$ . In other words, the variance of that demographic process is not constrained by selection, supporting the DLH. On the other hand, a lower (absolute) stochastic elasticity of  $\lambda_s$  with respect to the variance suggests that  $\lambda_s$  is less sensitive to such perturbations, or, that variance of such demographic process is being constrained by natural selection, supporting the DBH (Tuljapurkar *et al.* 2003; Haridas & Tuljapurkar 2005) (Fig. 1A).

194 The first step of the framework thus features the interspecific level and places species or populations alongside a continuum. Species exhibiting unconstrained variance in the most 195 important demographic process (i.e., not buffered/potentially DLH suggesting, Fig. 1A, blue 196 197 dots) are positioned on the left-hand side of the continuum. In contrast, species with constrained variance in the most important demographic process (*i.e.*, supporting the DBH, 198 Fig. 1A, yellow dots) are positioned on the right-hand side of the continuum. However, the 199 left-hand side of the continuum does not necessarily imply evidence of demographic lability. 200 This is so because demographic lability is defined as an increase in the mean value of a 201 202 demographic process in response to improved environmental conditions (Le Coeur et al. 2022). By examining  $\Sigma E_{a_{ij}}^{S^{\sigma}}$ , we can visualize an increase or decrease in *variance* of demographic 203 processes, while the mean value of a demographic process does not change. The right-hand 204 side (near 0 values for  $\Sigma E_{a_{ij}}^{S^{\sigma}}$ ) supports the DBH, while the opposite end represents the lack 205 of support for the DBH, and potentially support for the DLH. However, to undoubtedly 206 provide support for the DLH, further investigation of demographic parameters is needed, as 207 described below. 208

Step 1 of our framework examines the impacts that environmental variation has on the long-term population growth rate,  $\lambda_s$  (Tuljapurkar *et al.* 2003). This means that the resulting variance continuum in this step of the framework is based on how  $\lambda_s$  was affected by variation in the key demographic parameter across all contiguous time periods.

Steps 2 and 3 of the framework are conducted at the intraspecific level. Once species 213 or populations are positioned along the variance continuum regarding the most important 214 215 demographic process for  $\lambda_s$ , (step 1), one needs to zoom into each life cycle separately, analysing the selection pressures acting on each one of the demographic processes composing 216 217 the life cycle. In doing so, one can inspect the selection pressures that have generated the 218 patterns found in step 1. Step 2 (Fig. 1B) requires obtaining the partial derivatives of the 219 deterministic population growth rate,  $\lambda_t$ , relative to all matrix elements of the MPM of interest (*i.e.*, elasticities of  $\lambda_t$  w.r.t each demographic process in the MPM). Step 2 therefore informs 220 221 on the strength of the natural selection on each of the demographic processes.

Finally, in step 3, one assesses the pattern of nonlinear selection by using the self-222 second derivatives of  $\lambda_t$  with respect to each demographic process (Fig. 1C). This final step 223 reveals the potential nonlinear selection pressures on all the demographic processes within a 224 life cycle, rather than only the most important one. This final step is key to understanding the 225 226 evolutionary processes (*i.e.*, types of nonlinear selection) that the demographic processes are subjected to. Without understanding the evolutionary processes operating on the demographic 227 processes, the pattern observed in step 1 might be artefactual. Moreover, step 1 is founded on 228 229 the assumption that the importance of a demographic process, as indicated by its elasticity, remains unchanged over time. However, stochastic environments can substantially alter 230 elasticity patterns throughout a life cycle (e.g., Lawler et al. 2009). 231

Steps 2 and 3 of the framework feature selection pressures that have been averaged over the contiguous time periods. This means that the resulting patterns are based on how  $\lambda_t$ (obtained from averaging all sequential MPMs across the duration of the study) would be affected if a demographic process were perturbed. Therefore, steps 2 and 3 are based on a different information than step 1, and can thus complete our understanding of the role of selection pressures on shaping demographic patterns across multiple species.

238	Another important asset of step 3 above includes the notion that the relative
239	importance (elasticity) of demographic processes themselves changes with changing
240	environment (Stearns 1992). In other words, the extent to which $\lambda_t$ is sensitive to
241	perturbations in a specific demographic process is dynamic (Kroon, Hans et al. 2000). Thus, the
242	self-second derivatives generate information on how the sensitivity (or elasticity) of $\lambda_t$ –
243	based on which the entire variance continuum of species is produced in step $1 - might$
244	change. If the sensitivity (or elasticity) of $\lambda_t$ can change, then it is important to know which
245	demographic processes are most prone to trigger such a change. In the example of a
246	hypothetical wolf species (Fig. 1), this means that if the reproduction of the third age-class
247	individuals (matrix element $a_{1,3}$ ) decreased, the sensitivity of $\lambda_t$ to $a_{1,3}$ would increase (square
248	with the largest black dot, Fig. 1C). Consequently, with increased environmental variability,
249	the key demographic process used to place this species onto the variance continuum in step 1
250	might change from remaining in the fourth age class (matrix element $a_{4,4}$ , Fig. 1B) to
251	reproduction of the third age-class (matrix element $a_{1,3}$ , Fig. 1C).
252	Combining the three steps of our framework allows for the clear, quantitative, holistic
253	identification of evidence to support (or reject) the DBH. Steps 2 and 3 offer key insights as
254	to why a given species or population is placed on either the buffered or the non-buffered
255	(potentially labile) end of the variance continuum. A clear and unequivocal evidence for
256	support towards the DBH consists of: (1) a species or population being positioned near the 0
257	end of the continuum (the right-hand side) in step 1; (2) this species' or populations' life
258	cycle having one or more demographic processes with highest elasticity values in step 2; and
259	(3) the same demographic process displaying the highest elasticity in step 2 with negative
260	self-second derivative values in step 3. In this sense, Figure 1B shows that, for the chosen
261	population of a hypothetical wolf species, the most important demographic process is
262	remaining in the fourth stage (MPM element $a_{4,4}$ ), as this demographic process results in

highest elasticity value (Fig. 1B yellow square). However, Fig. 1C reveals that  $a_{4,4}$  is under little selection pressure for variance reduction. Thus, there is no evidence for DBH from the third step of the framework (*i.e.*, no concave selection forces), therefore, the lack of concave selection forces on the key demographic process within wolf's life cycle explains why this species is placed on the left-hand side of the variance continuum (Fig. 1A).

Species placed on the non-buffered end of the continuum is the first but not last step to evidence demographic lability. Indeed, locating a species on the non-buffered end of the variance continuum is a necessary but not sufficient condition for evidence in favour of the DLH. It is key highlighting here that demographic buffering and lability do not represent two extremes of the same continuum. The variance continuum allocates the species or populations from strongly buffered to non-buffered, but to test the DLH, a further step is needed.

Although not our primary goal here, we briefly introduce said step 4. To establish 274 compelling evidence for or against the DLH, it is essential to fulfil several criteria. First, 275 276 sufficient data across various environments (over time or space) are required to construct reaction norms that depict how a demographic process responds to environmental changes 277 (Morris et al., 2008; Koons et al., 2009). Second, non-linear relationships between 278 279 demographic processes and the environment must be established based on these reaction norms. Lastly, to identify demographic processes where an increase in the mean value has a 280 stronger positive impact on population growth rate than the detrimental effect of increased 281 variance. This latter condition is only achieved when the vital rate-environment reaction 282 norm is convex (U-shaped; Morris et al. 2008; Koons et al. 2009). Importantly, we note that 283 more likely than previously thought (e.g., Pfister 1998), species do not exist as purely 284 buffering or labile, but that within species, some vital rates may be buffered, other labile, and 285 others insensitive to the environment (e.g., Doak et al. 2005). Deciphering generality in this 286 likely complex pattern should attract much research attention going forward, in our opinion. 287

#### Demographic buffering in mammals: a case study using the unified framework

We demonstrate the performance of our framework using 44 MPMs from 34 mammal 290 species. Mammals are of special interest here for two reasons: (1) mammalian life histories 291 have been well studied (Gillespie 1977; Stearns 1983; Bielby et al. 2007; Jones 2011); and (2) 292 some of their populations have already been assessed in terms of buffering, particularly for 293 primates (Morris et al. 2008, 2011; Reed & Slade 2012; Rotella et al. 2012; Campos et al. 2017). 294 Together, the well-studied life histories and previous information about the occurrence of 295 296 buffering in mammals provide the necessary information to make accurate predictions and validate the performance of the proposed framework. 297 We used Matrix Population Models from 40 out of 139 studies with mammals 298 available in the COMADRE database v.3.0.0 (Salguero-Gómez et al. 2016). These 40 299 populations encompass 34 species from eight taxonomic orders. We included these MPMs in 300 our analyses because they provide values of demographic processes  $(a_{ij})$  for three or more 301 contiguous time periods, thus allowing us to obtain the stochastic elasticity of each  $a_{ii}$ . 302 303 Although we are aware that not all possible temporal variation in demographic processes may 304 have been expressed within this period, we assumed three or more transitions are enough to provide sufficient variation for population comparison. At least three contiguous time periods 305 - a common selection criteria in comparative studies of stochastic demography (Compagnoni 306 307 et al. 2023) - also allowed to test and showcase our framework. Fortunately, several long-lived species, characterized by low variation in their demographic processes, were studied for a 308 309 long time (e.g., some primates in our dataset have been studied for over 20 years – Morris et al. 2011). We removed the populations where either only survival or only reproduction rates 310 were reported, because of the impossibility to calculate the stochastic growth rate. A detailed 311 description of the analysed data and their original sources are available in supplementary 312 material (Supplementary Material, Table S1). 313

Homo sapiens was included in our analyses because it is the only mammalian species in which second-order derivatives have been applied (Caswell 1996). Therefore, *Homo sapiens* provides an ideal basis for comparisons among species. The data for *Homo sapiens* were gathered from 26 modern populations located in various cities, allowing us to construct a spatiotemporal variance. It is important to note that in this case, we are not working with true temporal variance but rather a variance that encompasses both spatial and temporal aspects.

321 For steps 2 and 3 of our framework, we utilized a subset of 16 populations (including 322 Homo sapiens) whose population projection matrices (MPMs) were organized by age. We specifically selected these populations because their life cycles can be summarized by two 323 main demographic processes: survival and contribution to recruitment of new individuals. 324 The contribution to recruitment can be interpreted as either the mean reproductive output for 325 each age class or an approximation thereof, depending on how the matrices are structured 326 327 (Ebert 1999). One advantage of using such matrices is that they encompass only two types of demographic processes, namely survival and recruitment, eliminating the need to account for 328 multiple transitions between different life stages. 329

To perform the step 1 of our framework and obtain the  $\Sigma E_{a_{ij}}^{S^{\sigma}}$  (and  $\Sigma E_{a_{ij}}^{S^{\mu}}$ ), we followed Tuljapurkar *et al.* (2003). To perform step 2 of our framework, we calculated the deterministic elasticities of each demographic process extracted using the *popbio* package. All analyses were performed using R version 3.5.1 (R Core team, 2018). Finally, to perform the step 3 of our framework the self-second derivatives were adapted from *demogR* (Jones 2007) following Caswell 1996 and applied for the mean MPM.

336 *Results* 

We ranked 40 populations from the 34 identified mammal species according to the 337 cumulative impact of variation in demographic processes on  $\lambda_s$  using the step 1 of our 338 framework (Fig. 2). Additional information is provided in the supplementary material (Table 339 S1). Most of the analysed orders were placed on the low-variance end of the variance 340 continuum (Fig. 2). The smallest contributions of variation in demographic processes (i.e., 341 maximum value of  $\Sigma E_{a_{ij}}^{S^{\sigma}}$ , note that  $\Sigma E_{a_{ij}}^{S^{\sigma}}$  ranges from 0 to -1), suggesting more buffered 342 populations, were assigned to Primates: northern muriqui (*Brachyteles hyphoxantus*,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -$ 343  $0.09 \times 10^{-4} \pm 0.12 \times 10^{-4}$ ) (mean ± standard deviation) (Fig. 2 silhouette a), mountain gorilla 344 (*Gorilla beringhei*,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.24 \times 10^{-4} \pm 0.08 \times 10^{-4}$ ) (Fig. 2 silhouette b), followed by the 345 blue monkey (*Cercopithecus mitis*,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.63 \times 10^{-4} \pm 0.06 \times 10^{-4}$ ) (Fig. 2 silhouette c). 346 The first non-primate species placed near the low-variance end of the continuum was the 347 Columbian ground squirrel (*Urocitellus columbianus*, Rodentia,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.003 \pm 0.002$ ) (Fig. 348 2 silhouette d). The species with the highest contribution of variation in demographic 349 processes placed at the high-variance end of the continuum was the stoat (Mustela erminea, 350 Carnivora,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.35 \pm 0.02$ ) (Fig. 2 silhouette e). All the 14 primate populations 351 supported the DBH, occupying the right-hand side of the variance continuum, with exception 352 of the Patas monkey (*Erythrocebus patas*, Primates,  $\Sigma E_{a_{ij}}^{s^{\sigma}} = -0.05 \pm 0.03$ ) (Fig. 2 silhouette 353 f). The snowshoe hare (*Lepus americanus*, Lagomorpha,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.29 \pm 0.16$ ) (Fig. 2 354 silhouette g) and the Bush rat (*Rattus fuscipes*, Rodentia,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.25 \pm 0.03$ ) (Fig. 2 355 silhouette h) appear on the high-variance end of the continuum. 356

As predicted for the steps 2 and 3, we could not observe a clear pattern in support of the DBH. This finding means that the demographic processes with the highest elasticity values failed to display strongly negative self-second derivatives (Fig. 3). Particularly for

majority of primates - with the lack or minor temporal variation in demographic processes -360 demographic processes with high elasticities had positive values for the self-second 361 362 derivatives (indicated by yellow squares with white dots in Fig. 3). Examples of primate species exhibiting high elasticities and positive values for the self-second derivatives and 363 include northern muriqui (Brachyteles hypoxanthus), mountain gorilla (Gorilla beringei), 364 white-faced capuchin monkey (Cebus capucinus), rhesus monkey (Macaca mulatta), blue 365 monkey (Cercopithecus mitis), Verreaux's sifaka (Propithecus verreauxi) and olive baboon 366 (Papio cynocephalus) (Fig. 3). This implies that the key demographic processes influencing 367  $\lambda_t$  are not subject to selective pressure for reducing their temporal variability. However, even 368 though the primates were positioned closer to the low-variance end of the continuum in step 369 1, the evidence from steps 2 and 3 does not support DBH. 370

The killer whale showed similar controversy between step 1 and steps 2-3 results as most primates. In step 1, the killer whale was positioned at the buffered end of the variance continuum (*Orcinus orca*, Cetacea,  $\Sigma E_{a_{ij}}^{S^{\sigma}} = -0.70 \times 10^{-4} \pm 1.04 \times 10^{-5}$ ) (Fig. 2 silhouette not shown). However, steps 2 and 3 show that the three demographic processes in killer whale life cycle with highest elasticity values (matrix elements  $a_{2,2}$ ,  $a_{3,3}$  and  $a_{4,4}$ ) are not under selection pressures for reducing their temporal variance, but the opposite (depicted by yellow and green squares with white dots, Fig. 3).

The only primate species exhibiting DBH evidence in steps 2 and 3 was human. In human, demographic parameters representing survival from first to second age class (matrix element  $a_{2,1}$ ) displayed high elasticities and negative self-second derivatives (depicted as yellow squares with black dots in Fig. 3). Evidence supporting the DBH was also found in the Columbian ground squirrel (*Urocitellus columbianus*), where, similar to humans, survival from the first to the second age class (matrix element  $a_{2,1}$ ) showed indications of selection acting to reduce its variance. Accordingly, the Columbian ground squirrel was positioned

close to the buffered end of the variance continuum in step 1. Hence, the Columbian ground
squirrel was the sole species with consistent DBH support across all three steps of the
framework.

The Soay sheep (*Ovis aries*) was the species furthest from the buffered end of the variance continuum that enabled to perform steps 2 and 3. For the Soay sheep, remaining in the third age class (matrix element  $a_{3,3}$ ) has the major influence on  $\lambda_t$  and is under selection pressure to have its variance increased. The latter characteristics reveal conditions for the DLH support even though the species is placed closer to the buffered end of the variance continuum.

Steps 2 and 3 illustrate the importance of examining DBH evidence on the intraspecific level. These two steps of the framework identify the simultaneous acting of concave and convex selection on different demographic processes but within a single life cycle. In polar bear (*Ursus maritimus*), the key demographic process (matrix element  $a_{4,4}$ ) is under convex selection, as depicted by a yellow square with a white dot in Fig. 3. However, the demographic process with the second highest elasticity value (matrix element  $a_{5,4}$ ) is under strong concave selection (depicted by a light green square with a black dot in Fig. 3).

By adding step 3 to the framework, another important information was added. The 401 high absolute values of self-second derivatives (large dots, either black or white, Fig. 3) 402 indicate where the sensitivity of  $\lambda_i$  to demographic parameters is itself prone to environmental 403 changes. For instance, if the value of  $a_{5,4}$  for polar bear increased, the sensitivity of  $\lambda_t$  to  $a_{5,4}$ 404 would decrease because the self-second derivative of  $a_{5,4}$  is highly negative (depicted by the 405 largest black dot in polar bear MPM). Vice versa holds for the  $a_{4,4}$  demographic process, 406 where an increase in the value of  $a_{4,4}$  would increase  $\lambda_t$ 's sensitivity to  $a_{4,4}$ , because the self-407 second derivative of  $a_{5,4}$  is highly positive (depicted by the largest white dot in polar bear 408

MPM). Thus, sensitivities (or equally elasticities) of demographic processes with high
absolute values for self-second derivatives can easily change - potentially changing the key
demographic process used for allocating species into the variance continuum in step 1 of the
framework.

### 413 Discussion

414 In the Anthropocene, identifying and quantifying mechanisms of species responses to stochastic environments holds crucial importance. This importance is particularly tangible in 415 the context of the unprecedented environmental changes and uncertainties that impact the 416 dynamics and persistence of natural populations (Boyce et al. 2006). Correlational 417 demographic analysis, whereby the importance of demographic processes and their temporal 418 419 variability is examined (e.g., Pfister 1998), has attempted to identify how species may buffer against the negative effects of environmental stochasticity. However, these widely used 420 approaches have important limitations (see Introduction and Hilde et al. 2020). Our novel 421 422 framework overcomes said limitations by providing a rigorous approach to test the demographic buffering hypothesis (DBH; Pfister 1998; Hilde et al. 2020). 423 Evidencing demographic buffering is not straightforward. Indeed, through the 424 analysis of stochastic population growth rate ( $\lambda_s$ ) in our application of the framework to 44 425

populations of 34 species, we identify the highest density of natural populations near the 426 427 buffered end of the variance continuum (step 1), indicating possible support for the DBH. However, we show that the same species then fail to exhibit signs of concave ( $\cap$ -shaped) 428 selection on the key demographic parameters when further analyses are performed averaging 429 430 the variation across the duration of each study (steps 2 and 3). This finding confirms that placing the species near the buffered end of the variance continuum is *necessary* but not 431 sufficient to test the DBH. Indeed, buffering occurs when concave selection forces act on the 432 433 key demographic parameter (Caswell 1996, 2001; Shyu & Caswell 2014).

Combining the three steps into a unified framework is of outmost importance. In steps 434 2 and 3 of the framework, we find relatively limited overall support for the DBH in the 435 436 examination of our 16 (out of 34 in step 1) studied animal species. Step 3 of our framework reveals that the role of natural selection shaping temporal variation in demographic processes 437 438 is more complex than expected by the DBH alone. Indeed, demographic processes within our 439 study populations are often under a mix of convex and concave selection. This mix of selection patterns was already suggested by Doak et al. (2005). Here, only two out of 16 440 mammal species revealed concave selection acting on the key demographic processes 441 442 (Columbian ground squirrel [Urocitellus columbianus], and humans, [Homo sapiens *sapiens*]). These two species were also placed near the buffered end of the variance 443 continuum, therefore meeting all the necessary conditions to diagnose clear support in favour 444 of DBH. However, finding 12.5% (two out of 16) species that meet the criteria for 445 demographic buffering is not in concordance with previous studies. Support for the DBH has 446 447 been reported across 22 ungulate species (Gaillard & Yoccoz 2003). In the one ungulate we examined, the moose (Alces alces), we find only partial support for DBH in adult survival, 448 since this species is placed near the buffered end of the variance continuum in step 1 but does 449 450 not show concave selection pressures on adult survival in step 2/3, as predicted by the DBH. Our overall findings reveal varying levels of support for the notion that adult survival 451 in long-lived species tends to be buffered. Indeed, Gaillard et al. (1998) found that adult 452 female survival varied considerably less than juvenile survival in large herbivores. This 453 finding was also supported by further studies in ungulates (Gaillard & Yoccoz 2003), turtles 454 (Heppell 1998), vertebrates and plants (Pfister 1998), and more recently across nine (out of 455 73) species of plants (McDonald et al. 2017). 456 When placing our study species along a variance continuum (step 1), primates tend to 457

458 be located on the buffered end. However, most primates displayed convex –instead of the

expected concave- selection on adult survival. Similar results, where the key demographic 459 process failed to display constrained temporal variability, have been reported for long-lived 460 461 seabirds (Doherty et al. 2004). One explanation for the unexpected convex selection on adult survival involves trade-offs, as suggested by Doak et al. (2005). When two demographic 462 parameters are negatively correlated, the variance of population growth rate ( $\lambda$ ) can be 463 increased or decreased (Evans & Holsinger 2012; Compagnoni et al. 2016). The well-established 464 trade-off between survival and fecundity (e.g., Stearns 1992; Roff & Fairbairn 2007) might 465 explain the observed concave selection signatures on late fecundity and convex selection on 466 adult survival. Because variation in primate recruitment is already constrained by 467 468 physiological limitations (Campos et al. 2017), when adult survival and recruitment are engaged in a trade-off, this trade-off might lead to our unexpected result. Here, future studies 469 may benefit from deeper insights via cross-second derivatives (Caswell 1996, 2001) to 470 471 investigate correlations among demographic processes.

Examining the drivers of demographic buffering has become an important piece of the 472 ecological and evolutionary puzzle of demography. As such, testing the DBH can help us 473 better predict population responses to environmental variability, climate change, and direct 474 anthropogenic disturbances (Pfister 1998; Boyce et al. 2006; McDonald et al. 2017; Vázquez et al. 475 2017). By setting the DBH into a broader and integrated framework, we hope to enhance 476 comprehension and prediction of the implications of heightened environmental stochasticity 477 on the evolution of life history traits. This understanding is crucial in mitigating the risk of 478 extinction for the most vulnerable species. 479

480

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- 487

#### 488 Data availability

- 489 The demographic data used in this paper are open-access and available in the COMPADRE
- 490 Plant Matrix Database (v. 5.0.1; https://compadre-db.org/Data/ Compadre). A list of the
- 491 studies and species used here is available in Supplementary Material (Table S1). If the
- 492 manuscript is accepted, the data and code supporting the results will be archived on Zenodo.
- 493 The data and code specific DOI will then be included in our "Data availability" statement.
- 494

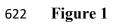
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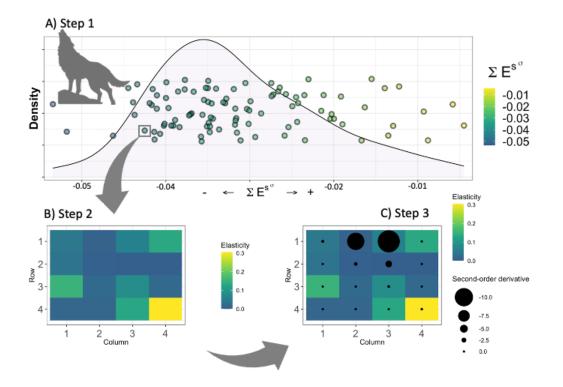
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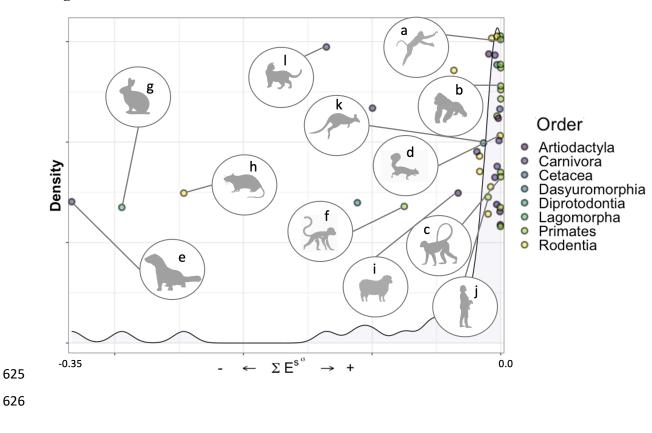
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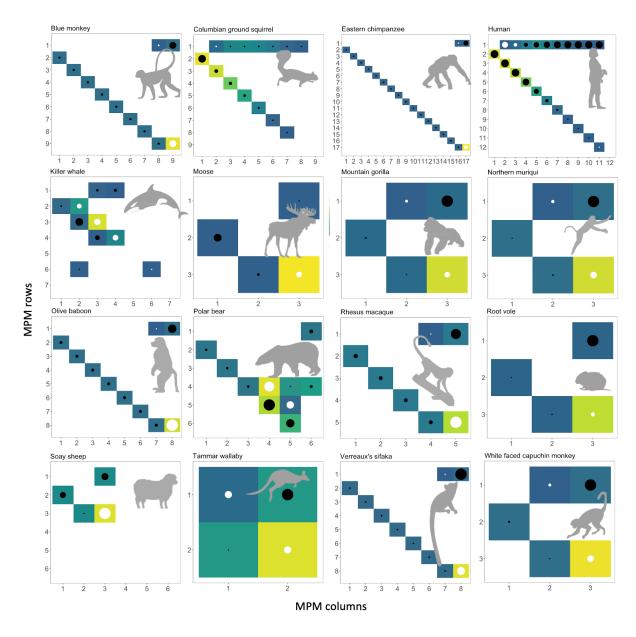
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- 620





624 Figure 2







633	Figure 1. A three-step framework proposed to: Step 1 - allocate species and/or populations
634	on a variance continuum (plot A, dots representing 50 hypothetical species). The variance
635	continuum operates at the interspecific level (see text) and is represented by partitioning the
636	sum of all the stochastic elasticities ( $\Sigma E_{a_{ij}}^{s}$ ) into two compounds: i) sums of stochastic
637	elasticities with respect to the variance $(\Sigma E_{a_{ij}}^{S^{\sigma}})$ , and ii) sums of stochastic elasticities with
638	respect to the mean $(\Sigma E_{a_{ij}}^{S^{\mu}})$ . The first step of our framework shows the variance compound of
639	the sums of stochastic elasticities forming a continuum where the right-hand side of the plot
640	represents species (or populations) where a perturbation of variance of the most important
641	demographic process results in weak or no impact on $\lambda_s$ (yellow dots). The yellow-dotted
642	species (or populations) can be classified as having buffered life-cycles (supporting the DBH)
643	– based on the most important demographic process for the $\lambda_s$ . The left-hand side of the graph
644	represents species (or populations) where a perturbation of the variance of the most important
645	demographic process results in strong impact on $\lambda_s$ (blue dots). Thus, the blue-dotted species
646	(or populations) can be classified as having unbuffered life cycles (potentially supporting
647	<i>DLH</i> , see text) – based on the most important demographic process for the $\lambda_s$ . The jitter
648	applied on the y-axis has no biological meaning. Step 2 - Access the linear selection
649	pressures for individual species or populations at intraspecific level (see text) (plot B). Step 2
650	displays the elasticities of the deterministic population growth rate ( $\lambda_t$ ) for a hypothetical
651	population of wolf and reveals the linear selection gradients. Step 3 - Access the nonlinear
652	selection pressures at the intraspecific level (see text) (plot C). In the third step self-second
653	derivatives for the corresponding demographic processes from step 2 are displayed.
654	

Figure 2. Results for step 1 of our framework showing the sum of stochastic elasticities with 655 respect to the variance  $\Sigma E_{a_{ii}}^{S^{\sigma}}$  increase caused by a perturbation in the most relevant 656 demographic process. The 40 populations from 34 species of mammals from the COMADRE 657 database are ranked into the variance continuum from strongly buffered (right-hand side, 658 659 supporting the DBH) to more variable, less buffered (left-hand side, potentially supporting the DLH, see text). Colors represent different taxonomic orders with Primates occupying the 660 right-hand side. Silhouettes: a) *Brachyteles hyphoxantus*, b) *Gorilla beringhei*, c) 661 662 *Cercopithecus mitis*, d) *Urocitellus columbianus*, e) *Mustela erminea*, f) *Erythrocebus patas*, g) Lepus americanus, h) Rattus fuscipes, i) Ovis aries, j) Homo sapiens, k) Macropus eugenii, 663 and 1) Felis catus. The jitter applied on the y-axis has no biological meaning. 664

665

Figure 3: Results from steps 2 and 3 of the proposed framework (see Fig. 2B, C). The 16 666 plots represent populations where the MPMs built by ages were available in the COMADRE 667 database (see text). The color scale represents elasticity values for each of the demographic 668 processes in the MPM, where yellow represents high and blue low elasticity values. No color 669 means elasticity=0. Because the aim of step 2 is to identify the most important demographic 670 process within each species' life cycle (the intraspecific level, see text) - not to compare the 671 elasticity values among species - each plot has its own scale (see end of legend). The black 672 dots represent negative self-second derivatives of  $\lambda_t$  - thus concave selection - and the white 673 dots represent positive self-second derivatives of  $\lambda_t$  - thus convex selection. The dot sizes are 674 scaled by the absolute value of self-second derivatives, where the smaller the dot, the closer a 675 self-second derivative is to 0, indicting weak or no selection. Large dots indicate strong 676 selection forces. Scales (Emin-max=elasticity minimum and maximum value, SSDmin-max=self-677 second derivative minimum and maximum value): Blue monkey Emin-max=0.00-0.52, SSDmin-678 679 max=-1.25-1.27; Columbian ground squirrel: Emin-max=0.00-0.23, SSDmin-max=-1.48-0.01;

- 680 Eastern chimpanzee:  $E_{min-max}$ =0.00-0.60, SSD<sub>min-max</sub>=-4.39-2.59; Human:  $E_{min-max}$ =0.00-0.18,
- 681 SSD<sub>min-max</sub>=-0.15-0.08; Killer whale:  $E_{min-max}$ =0.00-0.55, SSD<sub>min-max</sub>=-5.72-3.43; Moose:
- 682  $E_{min-max}=0.00-0.55$ , SSD<sub>min-max</sub>=-0.66-0.36; Mountain gorilla:  $E_{min-max}=0.00-0.81$ , SSD<sub>min-</sub>
- 683  $_{max}$ =-1.46-0.28; Northern muriqui:  $E_{min-max}$ =0.00-0.72, SSD<sub>min-max</sub>=-1.17-0.35; Olive baboon:
- 684  $E_{min-max}=0.00-0.54$ , SSD<sub>min-max</sub>=-0.57-1.13; Polar bear:  $E_{min-max}=0.00-0.26$ , SSD<sub>min-max</sub>=-
- 685 0.73-0.54; Rhesus macaque:  $E_{min-max}=0.00-0.51$ , SSD<sub>min-max</sub>=-0.54-0.71; Root vole:  $E_{min-max}=0.50-0.51$ , SSD<sub>min-max</sub>=-0.54-0.71; Root vole:  $E_{min-max}=0.50-0.51$ , SSD<sub>min-max</sub>=-0.54-0.71; Root vole:  $E_{min-max}=0.50-0.51$ , SSD<sub>min-max</sub>=-0.51, SS
- 686 max=0.00-0.86, SSD<sub>min-max</sub>=-2.54-0.22; Soay sheep: E<sub>min-max</sub>=0.00-0.56, SSD<sub>min-max</sub>=-0.22-
- 687 0.40; Tammar wallaby:  $E_{min-max}=0.00-0.55$ , SSD<sub>min-max</sub>=-0.64-0.34; White faced capuchin
- 688 monkey:  $E_{min-max}=0.00-0.66$ ,  $SSD_{min-max}=-2.66-1.21$ .
- 689

# 690 Supplementary material – Data available in COMADRE Version 2.0.1 and results from Step 1 of the framework

**Table S1.** The metadata used in step 1 of our framework and the respective results presented in the main text. The first four columns represent the information from where Matrix Populations Models (MPMs) were extract precisely as presented in COMADRE 2.0.1. Column titles differ from the database as "SpeciesAuthorComadre" is equivalent to "SpeciesAuthor" and "SpeciesName" is equivalent to "SpeciesAccepted" in COMADRE 2.0.1. The remaining columns present the results of step 1, where we present the raw values of  $\Sigma E_{a_{ij}}^{S^{\mu}}$  and  $\Sigma E_{a_{ij}}^{S^{\sigma}}$ , their respective standard deviation, the stochastic population growth rate  $\lambda_s$ , and the number of available matrices (# matrices). For ByAge, "TRUE" was assigned for MPMs built by age or "FALSE" if otherwise.

SpeciesAuthorComadre	SpeciesName	CommonName	Order	$\Sigma E^{S^{\mu}}_{a_{ij}}$	$\Sigma E_{a_{ij}}^{S^{\mu}}$ (sd)	$\Sigma E_{a_{ij}}^{S^{\sigma}}$	$\Sigma E_{a_{ij}}^{S^{\sigma}}$ (sd)	# matrices	λ
Homo_sapiens_subspsapiens	Homo sapiens sapiens	Human	Primates	1.003	0.003	1.003	0.004	13	1.064
Alces_alces	Alces alces	Moose	Artiodactyla	1.001	0.001	1.001	0.001	13	1.205
Antechinus_agilis	Antechinus agilis	Agile antechinus	Dasyuromorphia	1.111	0.111	1.111	0.011	2	0.931
Brachyteles_hypoxanthus	Brachyteles hypoxanthus	Northern muriqui	Primates	1.000	0.000	1.000	0.000	12	1.051
Callospermophilus_lateralis	Callospermophilus lateralis	Golden-mantled ground squirrel	Rodentia	1.054	0.054	1.054	0.055	9	2.052
Cebus_capucinus	Cebus capucinus	White faced capuchin monkey	Primates	1.000	0.000	1.000	0.000	11	1.021
Cercopithecus_mitis	Cercopithecus mitis	Blue monkey	Primates	1.000	0.000	1.000	0.000	14	1.036
Eumetopias_jubatus	Eumetopias jubatus	Northern sea lion; Steller sea lion	Carnivora	1.005	0.005	1.005	0.002	2	0.904
Felis_catus	Felis catus	Feral cat	Carnivora	1.136	0.136	1.136	0.012	1	1.948
Gorilla_beringei	Gorilla beringei	Mountain gorilla	Primates	1.000	0.000	1.000	0.000	21	1.027
Hippocamelus_bisulcus	Hippocamelus bisulcus	Huemul deer	Artiodactyla	1.002	0.002	1.002	0.001	1	0.996
Lepus_americanus	Lepus americanus	Snowshoe hare	Lagomorpha	1.294	0.294	1.294	0.165	2	0.812
Lycaon_pictus	Lycaon pictus	African wild dog	Carnivora	1.100	0.100	1.100	0.008	1	1.500
Macaca_mulatta_3	Macaca mulatta	Rhesus macaque	Primates	1.000	0.000	1.000	0.001	12	1.127
Macropus_eugenii	Macropus eugenii	Tammar wallaby	Diprotodontia	1.013	0.013	1.013	0.012	7	0.981

Marmota_flaviventris_2	Marmota flaviventris	Yellow-bellied marmot	Rodentia	1.007	0.007	1.007	0.006	4	0.890
Marmota_flaviventris_3	Marmota flaviventris	Yellow-bellied marmot	Rodentia	1.008	0.008	1.008	0.005	4	0.921
Microtus_oeconomus	Microtus oeconomus	Root vole	Rodentia	1.000	0.000	1.000	0.001	14	1.028
Mustela_erminea	Mustela erminea	Stoat	Carnivora	1.334	0.334	1.334	0.117	2	1.258
Orcinus_orca_2	Orcinus orca	Killer whale	Cetacea	1.001	0.001	1.001	0.001	24	0.999
Ovis_aries_2	Ovis aries	Soay sheep	Artiodactyla	1.033	0.033	1.033	0.020	3	1.099
Pan_troglodytes_subspschweinfurthii	Pan troglodytes	Eastern chimpanzee	Primates	1.000	0.000	1.000	0.001	22	0.982
Papio_cynocephalus	Papio cynocephalus	Olive baboon	Primates	1.000	0.000	1.000	0.000	19	1.054
Peromyscus_maniculatus_2	Peromyscus maniculatus	Deer mouse	Rodentia	1.010	0.010	1.010	0.005	2	1.107
Phocarctos_hookeri	Phocarctos hookeri	New Zealand sea lion	Carnivora	1.005	0.005	1.005	0.003	8	1.023
Propithecus_verreauxi	Propithecus verreauxi	Verreaux's sifaka	Primates	1.000	0.000	1.000	0.000	12	0.986
Puma_concolor_8	Puma concolor	Cougar	Carnivora	NA	NA	NA	NA	10	1.115
Rattus_fuscipes	Rattus fuscipes	Bush rat	Rodentia	1.246	0.246	1.246	0.029	2	1.305
Spermophilus_armatus	Urocitellus armatus	Uinta ground squirrel	Rodentia	1.016	0.016	1.016	0.011	4	1.125
Spermophilus_armatus_2	Urocitellus armatus	Uinta ground squirrel	Rodentia	1.017	0.017	1.017	0.010	3	1.095
Spermophilus_columbianus	Urocitellus columbianus	Columbian ground squirrel	Rodentia	1.036	0.036	1.036	0.025	3	1.009
Spermophilus_columbianus_3	Urocitellus columbianus	Columbian ground squirrel	Rodentia	1.003	0.003	1.003	0.006	3	1.200
Ursus_americanus_subspfloridanus	Ursus americanus	Florida black bear	Carnivora	1.003	0.003	1.003	0.003	2	1.020
Ursus_arctos_subsphorribilis_5	Ursus arctos	Grizzly bear	Carnivora	1.001	0.001	1.001	0.001	4	1.026
Ursus_maritimus_2	Ursus maritimus	Polar bear	Carnivora	1.019	0.019	1.019	0.007	2	0.941
Brachyteles_hypoxanthus_2	Brachyteles hypoxanthus	Northern muriqui	Primates	1.000	0.000	1.000	0.000	12	1.111
Cebus_capucinus_2	Cebus capucinus	WhiteNAfaced capuchin monkey	Primates	1.000	0.000	1.000	0.000	11	1.059
Chlorocebus_aethiops_2	Chlorocebus aethiops	Vervet	Primates	1.075	0.075	1.075	0.087	5	1.187
Erythrocebus_patas	Erythrocebus patas	Patas monkey	Primates	1.051	0.051	1.051	0.038	5	1.128
Gorilla_beringei_subspberingei	Gorilla beringei	Mountain gorilla	Primates	1.000	0.000	1.000	0.000	21	1.053
697									